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**MEMORANDUM**

**FOR : ASEC. JOSELINE P. NIWANE**  
Assistant Secretary  
Concurrent Head, Policy and Plans Group

**FROM : THE REGIONAL DIRECTOR**  
DSWD Field Office III

**SUBJECT : SUBMISSION OF THE FIELD OFFICE III 1<sup>ST</sup> SEMESTER CY  
2021 NARRATIVE REPORT**

**DATE : July 22, 2021**

This is to respectfully submit the Field Office III 1<sup>ST</sup> Semester **Narrative Assessment** Report using the Harmonized Planning, Monitoring, and **Evaluation (HPMES)** form 5-5A-5B.

For your reference and perusal.

Thank you.

  
**MARITES M. MARISTELA, CESO III**

Encl. a/s

# 1st CY 2021 Semester Narrative Report



**SA SERBISYONG  
PUSO ANG SENTRO,  
PAG-UNLAD AY SIGURADO.**

# EXECUTIVE SUMMARY

The DSWD- Field Office III strongly support the attainment of Ambisyon Natin 2040 through the implementation of the Philippine Development Plan 2017-2022 by enacting the DSWD Vision, Mission, and Core Values cascaded into the Regional Administrative Order No. 3, series of 2021 also known as the “CY 2021 Regional Thrusts and Priorities” which gives emphasis on serving the Filipinos through building socio-economic resilience of individuals, families, groups and communities in dealing and coping with risks and reduce vulnerabilities by increasing the people’s adaptive capacities.

Despite the onset of pandemic, the Field Office III still served for the countrymen while observing the health and safety protocols issued by the Inter-Agency Task Force on COVID 19. Stringent measures were in-placed in the agency from the regional to its field operation offices. Strong partnership and collaboration between and among the DSWD Field Office III management and staff, key players in the fields and centers and institutions, National Government Agencies (NGAs) Local Government Units (LGUs), Civil Society Organizations (CSOs), People’s Organizations and partner beneficiaries provides strong support in the attainment of the DSWD’s Thrusts and Priorities in the desire to attains its Vision, Mission, goals and objectives while observing its core values of “Maagap at Mapagkalingang Serbisyo, Serbisyonang Walang Puwang sa Katiwalian at Patas na Pagtrato sa Komunidad”.

## I. BACKGROUND INFORMATION

### ORGANIZATIONAL OUTCOME 1

#### ➤ **Pantawid Pamilyang Pilipino Program**

- From 292,361 households registered during the 1<sup>st</sup> quarter, an increase of 2,317 households were registered wherein 2,081 have been reinstated from the program, 263 were transferred residence to Region III and 617 were registered for Set 9 and 10. However, there are also households who were delisted/deactivated in the program while 130 were transferred residence outside Region III.
- An average of 97.8% of the registered household for Period 1 are compliant with the conditionalities: 98.57% for Education, 98.74% for Health and 96.09% for FDS
- A total of 5,995 under Code 26 or Existing Household were registered. Of the 5995, there are 584 or 9.74% were graduated through ceremonial graduation while 90.26% are still for schedule on their ceremonial graduation. Majority are from Set 6 with 2,924 or 48.77% followed by Set 5 with 969 or 16.16%. These households were registered in the year 2011-2012 and have been beneficiaries of the program for 9 years.
- For MCCT, as April 2021, there are 4,082 actual registered household. This is 84.74% of the **4,817 physical target** households based on the target set by the National Project Management Office for 2021.

#### ➤ **Sustainable Livelihood Program**

- 9,560 or 64.45% households were provided with program modalities (Seed Capital Funds and Livelihood Assistance Grant) out of the 14,833 target beneficiaries both for continuing and current funds which includes SLP referrals, EO70 and Livelihood Assistance Grant (LAG) beneficiaries. Of the PhP222,065,493.00 total allotted budget, PhP78,133,500 or 35.18% were disbursed.

### ORGANIZATIONAL OUTCOME 2

#### ➤ **Social Pension**

- 58,449 or 52.72% of the target 110,860 Senior Citizens were provided with Social Pension grants while 71 or 71% of the total target of 100 were provided with Centenarian.

- For the 1st quarter CY 2021 continuation on the payment for CY 2020 unpaid beneficiaries of 9,562 amounting to P36,078,000.00 were served.

#### ➤ **Supplemental Feeding Program**

- For Cycle 10 implementation, 4,068 or 100% of the target children with improved nutritional status were achieved. 590 or 14.50% are the number of severely underweight children to underweight while 3,478 or 85.50% were the number underweight children to normal weight.
- For Cycle 11, only 93 LGUs were given allocation based on the available funds. Two schemes were adopted: Transfer of funds for the 60 LGUs while 33 LGUs under regional procurement. A total of 128,396 children representing 64% of the total pre-schoolers of Region III

#### ➤ **Adoption and Foster Care**

- 25 (10 male and 15 female) or 44.64% of the target 56 children placed out for Domestic Adoption were issued with CDCLAA;
- 5 (7 male and 8 female) or 115.38% of the 13 target children are cleared for Inter country adoption;
- 3 (3 female) or 30% of the target 10 children were placed out for foster care;
- 100% or 31 (12 male and 19 female) children placed under Foster Care were provided with Subsidy;
- 6 or 100% of the target regular foster parent developed was achieved during the 1<sup>st</sup> Semester 2021
- 4 or 66.67% of the regular prospective adoptive parents were developed
- PhP1,436,914.10 or 13% of the PhP11,299,943.80 allocated budget were obligated and disbursed

#### ➤ **Community Based Services**

- **Women** – A total of 58 out of 117 or 50% of women in especially difficult circumstance c were served for the first semester of CY 2021 with the following categories, to wit: Sexually Abused- Rape -4, Victims of Illegal Recruitment (VIR)-4, Victims of Trafficking-5, Physical Abused-1, Emotionally disturbed/Abused-35, Economic Abused-6, Mentally Challenged-2, and Claim for custody case-1.

- **Children-** A total of 43 (M-20, F-23) or 28% out of 153 target children in need of special protection were served for the period January to June 2021 with the following breakdown: Abandoned- 5, neglected-13, Rape-4, Acts of Lasciviousness-1, Cyber Pornography-1, Physically abused/Maltreated-5, Victims of Child Trafficking-2, and psychologically/emotionally abused-11 and children with HIV-1, These children were provided psychosocial interventions such as counseling-33, medical 2, Educational assistance-1, legal service 6, referral to LGU for support services-12, referral to Institution--4, for financial assistance-2; and support services in coordination with the P/C/MSWDO for further case management.
- **Minors Travelling Abroad (MTA)** - A total of 557(Male- 284, Female-273) or 41% out of 1,350 target minors were served from January to June 2021.
- **Trafficked Person.** A total of 51 (Female-46, Male-5) or 68% out of 75 targeted TIPS were served for the first semester. Out of the 51 served, only 32 TIPS (Female 28, Male 4) or 63% were reintegrated to their families while 19 were referred to the 3 DSWD residential care facilities such as Regional Home for Women-7, Regional Home for Girls-8 and Reception and Study Center for Children- 4 as a result of four (4) rescue operations conducted by the following law enforcement agencies
- **OFs and their Families (ISSO)** – A total of Two Hundred Seventy-One (743) Overseas Filipinos and their families were served for the period January to June, 2021.

#### ➤ Centers and Institutions

- The six (6) CRCFs have already achieved beyond their annual target on the percentage of rehabilitated cases for the first semester. While the AMOR Village with complex categories of clients with 4% annual target has a minor deviation rehabilitated client for this semester and can achieve their target probably by the third quarter.
- 927 annual target the seven (7) CRCFs were able to serve total of 570 clients for the first semester. Majority of these clients were carried over from the previous year. Low admission is seen to be caused by the effects of the pandemic, wherein everyone has restrictions in movements.

### ORGANIZATIONAL OUTCOME 3

For the 1<sup>st</sup> semester of 2021, the DRRS continuously implements Risk Resiliency Programs under Climate Change Adaptation and Mitigation-Disaster Risk Reduction for 6th Wave (2020 fund) likewise, the 7<sup>th</sup> Wave cycle of the program was already started this 2<sup>nd</sup> quarter of 2021.

➤ **6<sup>th</sup> Wave Cycle (2020 Fund)**

- The DSWD Field Office III has a target of 34,533 beneficiaries amounting to PHP. 103,599,000.00 allocated to 95 LGUs in 7 provinces (Aurora, Bataan, Bulacan, Pampanga, Nueva Ecija, Tarlac, and Zambales) in Region III which are identified as economically and disaster vulnerable. As of June 30, the DRRS has already disbursed grants to 30,733 beneficiaries amounting to Php. 92,199,000.00 with 88.99% utilization. However, the remaining 10 LGUs with 3,800 beneficiaries are still have on-going implementation and payout was adjusted to completed on or before the end of July 2021.

➤ **7<sup>th</sup> Wave Cycle (Current Fund)**

- For this year's implementation of resiliency program, the total target is 34,133 beneficiaries amounting to 107,518,950.00 with a rate of P 315.00 per day or 75% of the Regional Wage Rate of Central Luzon which is equivalent to P3,150.00 per beneficiaries Out of the 95 total LGUs who signifies their acceptance and willingness to participate in the said project, 58 LGUs have already executed the implementation of their proposed project and activities.

➤ **Emergency Shelter Assistance Program**

- During the occurrence of Typhoon Ulysses Region III was among the Regions in the country were mostly affected. Likewise, one of the major programs of the DRMD is the provision of Emergency Shelter Assistance in preparation for the said program DRMD proposed and submitted Early Recovery and Rehabilitation Plan for Central Office among the seven (7) Provinces that there was a total of 49,482 damaged houses (Partially 44,863 and Totally 4,639) that has been reported to the Department. The Central Office initially downloaded fund is intended for totally damaged houses amounting to Php.65,831,200.00 for the 4,636 target beneficiaries.

#### **ORGANIZATIONAL OUTCOME 4**

- 17 or 29.13% of the total 58 target SWAs were registered and licensed
- 6 or 33.33% of the total 18 target SWDAs were accredited as Level 1
- 2 or 50% of the total 4 target SWDAs were accredited as Level 2
- 15 or 100% of the 15 target Private SWDAs were registered
- 10 or 66.67% of the 15 target Private SWAs and Auxiliary were licensed
- Level 2 Pre-Accreditation Assessment for AMOR Village

- 13 or 86.67% of Pre-Marriage Counsellors were accredited
- 82% of SWDAs with RLA Certificates were issued within 30 working days upon receipt of complete applications
- 100% of detected violations or complaints were acted upon within 7 working days

## **ORGANIZATIONAL OUTCOME 5**

- The year 2021 is the transition period of the second devolution in light of the Mandanas Ruling. It is also the continuation of the 3-year TARA plan implementation. Hence, the need to strengthen the capability building program for the LGUs through the LSWDOs.
- The result of the Service Delivery Capacity and Competency Assessment and the initially conducted Intermediaries Needs Assessment has been very useful for the TARA programming in the Field Office. The results were used to develop the TARA agenda that is the focus of discussion for the quarterly consultation with the LSWDOs. Capability Building Activities for CY 2021 to 2022 are also based on the assessment result where programs and services to be developed are also included in the area that needs Technical Assistance.
- This year, TARA was greatly affected by the outbreak of coronavirus/COVID-19. Hence, Capability Building Section thru its TARA provided the following activities for the LGUs/LSWDOs:
  - ✓ **Regional Monitoring Team Meeting** - Conducted online Regional Monitoring Team meeting on April 12 and May 18 to refresh the members on the Service Delivery Assessment indicators, roles and process of assessment.
  - ✓ **Online Orientation on Service Delivery Capacity and Competency Assessment on June 1, 2021** – participated by forty (40) out of fifty (50) LGUs This activity aims to inculcate that the SDCCA as a mechanism of the DSWD to assessed the needs and analyzed areas needing technical assistance.
  - ✓ **Second Quarter TARA Consultation on Social Welfare and Development Programs and Services** - The topics on the second quarter consultation were still based on the TARA agenda cull-out from the TARA plan.
  - ✓ **Orientation on Gender Based Violence in Norzaragay, Bulacan** - It was attended by the LGUs GAD TWG and Barangay VAWC. Out of 29



participants that evaluated the activity, 15 or 51.72% gave Outstanding rate and 14 or 48.28% gave Very satisfactory rating.

- ✓ **Social Welfare and Development Forum: Solo Parent and PWD** - attended by 244 participants (191 females and 53 males) consisting of DSWD Field Office and DPEO staff, NGAs, LGUs composed of MSWDO, Solo Parent, PWD Focal/PDAO. Out of the total participants, 174 evaluated the activity based on the evaluation form template for the forum. The overall rating was provided through the scale of 1 to 10, with an adjectival rating scale of *Very Dissatisfied to Very Satisfied*.
- ✓ **Training on Mental Health and Women Empowerment to DSWD FO III Leaders, LSWDOs and Other Partners** – the activity aims to advocate and incorporate gained knowledge in the policy formulation and program management of the FO.
- ✓ **Social Welfare and Development Learning Network (SWDL-Net)** – initiated and participated by the SWDL-Net Members.
- ✓ **Online Knowledge Sharing Session on Social Protection Framework Popularization** - facilitated by the CBS along with the PDPS on April 13, 2021 with the attendance of 168 (141 female, 27 male) with the resource persons from DSWD Central Office – Policy Development and Planning Bureau.
- ✓ **Regional Learning and Resource Center (RLRC)** – is continuously implemented.

## II. ASSESSMENT OF PHYSICAL AND FINANCIAL ACCOMPLISHMENT

### ORGANIZATIONAL OUTCOME 1: WELL-BEING OF POOR FAMILIES IMPROVED

#### 1. PANTAWID PAMILYANG PILIPINO PROGRAM

The **Pantawid Pamilyang Pilipino Program (Pantawid Pamilya)** is a rights-based program that focuses on human capital development through the provision of cash grants to eligible poor households. It is one of the flagship social protection programs of the national government that seek to enable poor households to meet certain human development goals, particularly on health and education outcomes, to break the inter-generational cycle of poverty. The provision of cash grants is conditional and depends on the beneficiaries' compliance to program conditions.

In Region III, the program is on its 13<sup>th</sup> year of implementation, covering the 130 Municipalities/Cities and 3, 087 barangays, benefitting the 294,678 households

from the regular Pantawid and 4,082 MCCT Homeless Street Families (HSF), Families in Need of Special Protection (FNSP) and Indigenous People in GIDA as of June 30, 2021. The program is being implemented by 924 hired staff compliment.

For CY 2021, the program's strategic indicators seek to measure the following: (1) Percentage of Pantawid Pamilya children not attending school that returned to school; (2) Percentage of Pantawid Pamilya households not availing key health services that availed key health services; and (3) Re-assessed self-sufficient (Level 3) households with transition plan as early as June 2021.

These strategic indicators serve as a blueprint through which we are expected to direct operations starting 2017 until 2022, thus, they are reliant on the operation of the program's key systems and conduct of various activities that would contribute to the attainment of the program's strategic goals.

### PHYSICAL AND FINANCIAL ACCOMPLISHMENTS

Output Indicator	Physical Target	Physical Accomplishment	Fund Allocation	Utilization
Percentage of Pantawid Pamilya children consistently noncompliant with education conditions that enrolled in school in current SY and turned compliant for at least four (4) months from June to November 2021	5,754 or 21% of 27,041 Pantawid Pamilya children consistently noncompliant	Data will be available by August 2021	N/A	N/A
Percentage of Pantawid Pamilya households not availing key health services that availed key health services, including attendance to FDS and able to accumulate at least four (4 months) of compliance from January to September 2021	1,293 or 32% of 4,042 Pantawid Pamilya households not availing key health services	A total of 1,756 beneficiaries or 43.44% out of 4,042 that were compliant for at least 4 months from January until May of 2021.	N/A	N/A

Output Indicator	Physical Target	Physical Accomplishment	Fund Allocation	Utilization
80% Re-assessed self-sufficient (Level 3) households with transition plan as early as September 2021	41,611 or 80% of 52,014 self-sufficient (Level 3) households are re-assessed	This indicator has been deferred for this semester due to back-to-back augmentation of field staff in the payout of other programs	N/A	N/A
Number of Pantawid households provided with conditional cash grants (RCCT and MCCT)	<b>RCCT</b> a) Funded: 263,1787 or 90% of 292,430 target HHs b) Paid: 98% of the total funded HHs  <b>MCCT</b> a) Funded: 4,335 or 90% of 4,817 target HHs b) Paid: 98% of the total funded HHs	Period 1 2021 <b>RCCT</b> a) Funded HHs – 290,014 or 99.17% of 292,417 b) Paid HHs – 290,014 or 100% (of the total funded 290,014)  <b>MCCT</b> a) Funded HHs – 4,020 or 83.45% of 4,817 b) Paid HHs – 4,020 or 100% (of the total funded 4,020)	7,164,869,980	1,202,557,650

## ➤ PHYSICAL ACCOMPLISHMENT

### HOUSEHOLD REGISTRATION - REGULAR CCT

**Table 1: Number of Registered Pantawid (RCCT) Households per Set  
As April 30, 2021**

PROVINCE	Set 1	Set 2	Set 3	Set 4	Set 5	Set 6	Set 7	Set 8	Set 9	Set 10	Grand Total
Aurora	25	1	906	94	5,089	1,151	337	22	433	290	8,348
Bataan	68	55	786	155	2,125	10,430	2,914	103	611	497	17,744
Bulacan	568	126	4,199	2,803	13,899	36,722	7,443	349	3,075	3,082	72,266
Nueva Ecija	5,662	26	3,160	5,276	13,682	41,501	8,120	324	4,876	2,245	84,872
Pampanga	89	59	2,682	2,153	5,310	31,386	5,401	192	2,749	2,271	52,292
Tarlac	47	14	3,883	973	7,431	22,409	2,129	130	1,926	1,047	39,989
Zambales	48	20	1,663	213	4,964	9,353	1,094	96	1,103	613	19,167
<b>REGION III</b>	<b>6,507</b>	<b>301</b>	<b>17,279</b>	<b>11,667</b>	<b>52,500</b>	<b>152,952</b>	<b>27,438</b>	<b>1,216</b>	<b>14,773</b>	<b>10,045</b>	<b>294,678</b>

From 292,361 registered households last quarter, there's an increase of 2,317 households this quarter (294,678). This is due to the reason that there are 2,081 households have been reinstated in the program, 263 households transferred residence to Region III and 617 have been registered from Set 9 and Set 10. While,

there are also 514 households delisted/deactivated in the program and 130 households transferred residence outside Region III.

## **MODIFIED CCT**

**Table 2: Number of Registered MCCT Households per Enrolment Type  
As April 30, 2021**

PROVINCE	ENROLMENT TYPE			Grand Total
	FNSP	Homeless Street Families	IPs in GIDA	
AURORA	1		810	811
BATAAN			277	277
BULACAN	2	24	143	169
NUEVA ECUIJA	7		690	697
PAMPANGA	1	13	557	571
TARLAC			562	562
ZAMBALES		9	986	995
<b>REGION III</b>	<b>11</b>	<b>46</b>	<b>4,025</b>	<b>4,082</b>

For MCCT, as April 2021, there are 4,082 actual registered household. This is 84.74% of the **4,817 physical target** households based on the target set by the National Project Management Office for 2021.

Monitoring of SSI projects and provision of Technical Assistance of the Focal person to the Field Implementers has been done in a regular basis prior to pandemic. Below are the noteworthy comments from National Program Management Office regarding the SSI implementation in FO III:

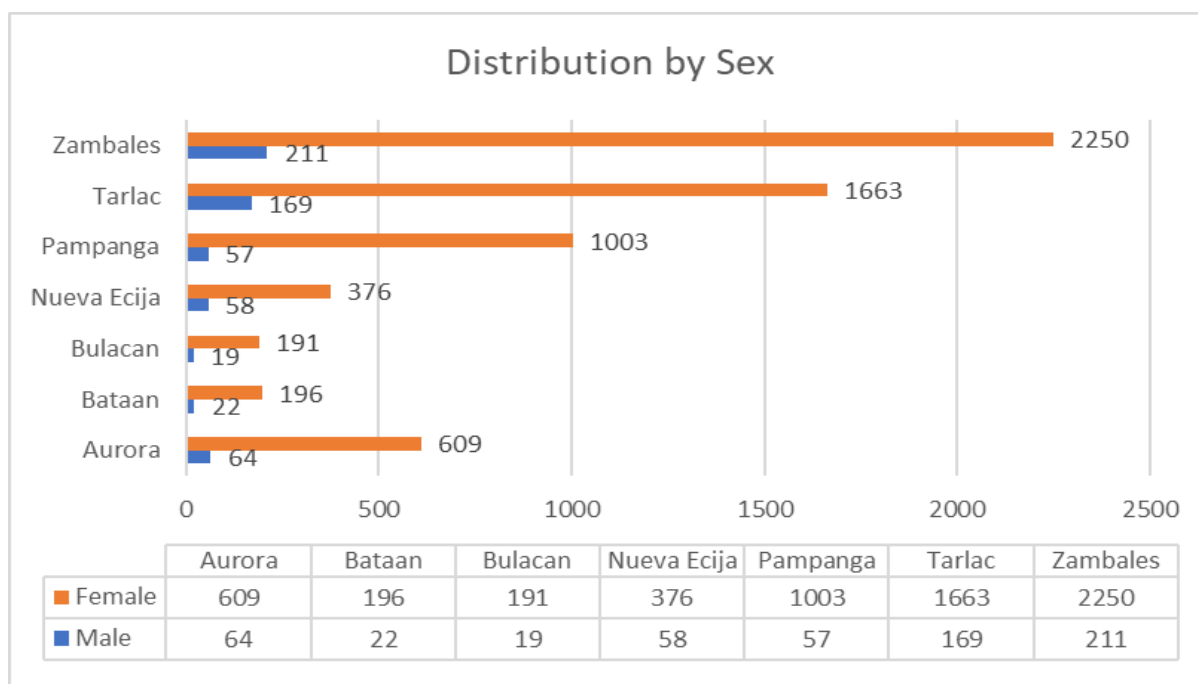
- All SSI modalities were implemented in the Region
- 1,271 beneficiaries were provided with Cash for Work project (Projects are still existing up to present)
- 61.64% of the implemented livelihood assistance are still operational hence, providing more stable source of income for MCCT Families
- The region was able to come up with regional guideline to better serve the dynamics of the IPs
- Despite the pandemic, monitoring of SSI implementation was made (Community visits and by maximizing the use of social media)

Also, with the current situation of the country due to COVID-19, the propose mode of education is through online and modular wherein most of the IP children living in GIDAs are not prepared on this kind of set-up. The challenge now is on how the MCCT program can help in ensuring that the IP children will be able to cope-up with this kind of mode of learning.

## **Indigenous Peoples**

Of the 341,816 catered Pantawid beneficiaries, 2% or 6,888 are active IP households based on the PPIS encoded and approved IP affiliations as of February 28, 2021. Seventy-seven (77) or 59.23% were the tagged dwelling places of the IP beneficiaries specifically in 286 barangays with 253 sitios. Most of the IP beneficiaries are situated in the provinces of Zambales (2,461), Tarlac (1,832) and Pampanga (1,060). Meanwhile, the provinces of Bataan and Bulacan recorded the least number of IP beneficiaries with 218 and 210 respectively.

Table 3: **Distribution by sex**



There were forty-nine (49) identified IP groups in Central Luzon as of February 2021. Majority of the IP beneficiaries are Ayta (4,681) from Zambales, Pampanga and Tarlac, Abelling (7,95) mostly from Tarlac, Dumagat (473) from Aurora and Zambales.

## **COMPLIANCE RATE**

The region, through the Compliance Verification System was able to monitor the Compliance Rate for Period 1 of 2021. For Education, average compliance rate of monitored beneficiaries is 98.57%. For Health, average compliance rate is 98.74%. Lastly, for FDS, the rate of compliance is 96.09%.

**Table 4: Compliance Rate for Period 1 per Conditionality's: Region III, CY 2021**

CONDITION	ELIGIBLE	MONITORED	COMPLIANT		AVERAGE COMPLIANCE RATE	
			FEB	MAR	ELIGIBLE	MONITORED
EDUCATION	521,337	456,212	449,949	450,175	86.70%	98.57%
HEALTH	17,713	17,219	16,961	16,955	95.61%	98.74%
FDS	291,079	291,079	280,997	280,234	96.09%	96.09%

There are 10,753 households identified as Client Status 26 based from the Beneficiary Data Management System. Our City/Municipal Links individually assessed the identified households to confirm the household's exit from the program. Out of the total households of 10,753, there are 5,995 or 55.75% (including the waived households) households ready to exit the Pantawid Program with reasons of having no 0-18 years old for monitoring, and some also are in Level 3 or has achieved the Self-Sufficient status. There are 4,267 or 39.68% recommended for reactivation due to reasons of still having 0-18 years old children for monitoring, and has not maximized yet the three children per household for monitoring.

**Table 5: Code 26 - Exiting Households, as of May 31, 2021**

PROVINCE	TOTAL NUMBER OF EXITING HOUSEHOLDS	SET 1	SET 2	SET 3	SET 4	SET 5	SET 6	SET 7	SET 8	SET 9	MCCT	GRAND TOTAL	VARIANCE
AURORA	149	-	-	24	-	112	9	4	-	-	-	149	-
BATAAN	329	2	-	31	7	40	209	36	2	2	-	329	-
BULACAN	1,587	8	2	86	35	382	930	118	11	15	-	1,587	-
NUEVA ECIJA	1,877	107	-	32	154	108	727	107	5	12	19	1,271	606
PAMPANGA	1,033	-	1	53	11	96	418	56	3	-	-	638	395
TARLAC	697	1	-	74	17	135	455	14	-	1	-	697	-
ZAMBALES	323	1	-	28	4	96	176	9	1	1	7	323	-
<b>REGION III</b>	<b>5,995</b>	<b>119</b>	<b>3</b>	<b>328</b>	<b>228</b>	<b>969</b>	<b>2,924</b>	<b>344</b>	<b>22</b>	<b>31</b>	<b>26</b>	<b>4,994</b>	<b>1,001</b>

In the data presentation above of the exiting households with registered set number, the highest number of households for exiting to the Pantawid Program mostly from set 6 with 2,924 or 48.77% of the total number, followed by Set 5 with 969 or 16.16%. These households were registered in the year 2011-2012 and have been members of the program for 9 years.

**Table 6: Status of Households with Graduation Ceremonial Rites**

PROVINCE	TOTAL HHS FOR EXITING	TOTAL NUMBER OF GRADUATE HHs THROUGH CEREMONIAL GRADUATION	WITH SCHEDULE FOR CEREMONIAL GRADUATION UNTIL END OF JUNE 2021
AURORA	149	0	149
BATAAN	329	163	166
BULACAN	1,587	144	1,443
NUEVA ECIJA	1,877	180	1,697
PAMPANGA	1,033	82	951
TARLAC	697	15	682
ZAMBALES	323	0	323
<b>REGION III</b>	<b>5,995</b>	<b>584</b>	<b>5,411</b>

As of June 11, 2021, there are 584 households officially turned-over to the concerned Local Government Units for after care. The remaining 5,411 households are with schedule of graduation ceremony until end of June 2021. The City/Municipal Links from seven provinces are continuously preparing the Case Endorsement Report so as to determine other programs and services needed by the households upon their turn-over to LGU.

Most common gaps needing augmentation from the LGUs are the Livelihood Program, scholarship grants for incoming college students, medical assistance, and Philhealth premium coverage.

## ➤ FINANCIAL ACCOMPLISHMENT

### **PAYMENT REPORT (Period 1 2021)**

For the Regular CCT (RCCT) a total amount Php **1,187,465,350** out of the funded amount of Php **1,187,465,350** of cash grants was released thru Cash Card other conduits for Period 1 of 2021. These grants were released to 290,014 households with 100% disbursement rate.

While, for the MCCT, payments were made for Period 1-6 of 2020 with Php **15,092,300** or 100% of the funded amount of Php **15,092,300**. These grants were released to 4,020 households.

In totality, the FO was able to disburse Php 1,202,557,650 amount of cash grants for Period 1 2021. This constitutes to 100% of the funded amount of Php 1,202,557,650.

## 2. SUSTAINABLE LIVELIHOOD PROGRAM

The **Sustainable Livelihood Program (SLP)** of the Department of Social Welfare and Development is a capability-building program for poor, vulnerable, and marginalized households and communities to help improve their socioeconomic conditions through accessing necessary assets to engage in and maintain thriving livelihoods.

**Table 7: Targets versus Accomplishments on Outcome Indicators**

Outcome Indicators	Accomplishments	Targets	Variance	Assessment
Participants are equipped engage in microenterprise	689	14,833	- 95.35%	Major Deviation
Participants are equipped to be employed	-			

For Table A, the Field Office III has 4.65% or 689 participants are equipped engage in microenterprise. For the 2<sup>nd</sup> quarter, the FO focuses on the disbursement (released to participants) of CO Continuing fund and CY 2020 Accounts Payable which includes the Congressional and For Later Release (FLR) funds that must be implemented until end of June 2021. For the following quarter, the FO will focus more on the monitoring of SLP implemented projects for both microenterprise and employment track.

**Table 8: Targets versus Accomplishments on Output Indicators**

Output Indicators	Accomplishments					Targets	Variance	Assessment
	Q1	Q2	Q3	Q4	T			
Number of SLP households provided with program modalities	-	9,560	-	-	-	14,833	-35.55%	Major Deviation

For Table B, it reflects that 64.45% or 9,560 households provided with program modalities including 8,795 beneficiaries from Current Fund and 765



beneficiaries from CO Continuing Fund. Though based on the SLP thrusts and priorities, the target date for full obligation is until end of July 2021 and release to participants by September 2021.

## **OTHER MAJOR ACCOMPLISHMENTS**

For CY 2021, the SLP Field Office III focused on the following activities:

### **SLP Implementation:**

- Conducted regionwide simultaneous Livelihood Assistance Grants (LAG) Pay-out to SLP beneficiaries
- 100% disbursement of CY 2020 Accounts Payable and CO Continuing Fund
- Finalization of additional CY 2021 Regional and Provincial Targets
- Completion of Grant Utilization Report for CY 2020 SLP served LAG beneficiaries (LAG 1, LAG 2, LAG FLR)
- Continuous validation, monitoring, spot-check to SLP LAG beneficiaries
- Continuous validation of Individual referrals, IDPs and walk-in clients.
- Updating and Encoding of Livelihood Assistance Grants Information System (LAGIS), Offline Baseline System (OBS) and Offline Monitoring and Assessment System (OMAS) for the SLP served beneficiaries
- Attended and participated in the TOT of SLP Mainstreaming Manual conducted by the NPMO
- Attended Project Proposal Review and Assessment (PPRA) for the submitted SLPA, Individual referrals and LAG projects.
- Monitoring and Assessment of CY 2019 SLP served beneficiaries.
- Continuous Coordination, Implementation and Monitoring of Transfer of Funds (TOF) projects to LGUs, SUCs, PGUs and renewal of Pledge of Commitment (POC) for those TOF with balances.
- For EO 70 – Awarded 20 EO 70 beneficiaries last June 30, 2021. (16 beneficiaries from Carranglan and 4 beneficiaries from Bongabon, Nueva Ecija).
- Continuous coordination with inter-agencies like DILG, DTI, and other Local Government firm to obtain and validate master list of FR beneficiaries in order to avoid duplication of service.
- Attended Monthly meeting about EO 70 implementation and Updates with the SLP Regional EO 70 Focal Persons.
- Attended consultation meeting regarding implementation of Balik Probinsya, Balik Pag-asa program (BP2)
- Conducted assessment, orientation and validation to the 21 relocatees of Balik Probinsya Balik Pag-asa (BP2) for possible livelihood assistance in Pleasant View, San San Jose Del Monte Bulacan, Capas Tarlac and Pandi Bulacan.

### **Trainings/Meetings Conducted:**

- SLP Technical Staff Meeting (April 23, May 28, June 25, 2021)
- Virtual Training re: Skills Enhancement on Effective Documentation and Practices to capacitate FPDOs on writing stories and photography.
- 1<sup>ST</sup> and 2<sup>nd</sup> Quarter Learning Session and Support Intervention on Self-Care and Personal Productivity

### **Social Marketing Updates:**

- Submitted two (2) entries for the PANATA-GAPAS Awards. The two entries, LGU of Dinalupihan for MD and LGU of Talavera for EF, were both awarded with Certificates of Recognition during the DSWD Anniversary. Meanwhile, the LGU of Talavera was able to qualify as one of the finalists for the national awards under the EF category.
- During the Women's Month Celebration in March, the Field Office was able to publish three (3) stories featuring SLP women beneficiaries on the official Facebook page and DSWD FO3 website. The Field Office also submitted its entry entitled "Moving Mountains: The Story of the Dumaguipo Women" for the Mga Kwento ng PagSibol March issue.
- For Knowledge Management, SLP was able to submit its Good Practice Documentation on the partnership initiative between DSWD and Nueva Ecija University of Science and Technology in the implementation of Dressmaking projects in various parts of Nueva Ecija which provided SLP beneficiaries with short-term employment during the Enhanced Community Quarantine period.
- Submitted five (5) entries for Sulong Bayanihan.
- Conducted Skills Enhancement on Effective Documentation and Practices to capacitate FPDOs on writing stories and photography.

### **Partnership Initiatives:**

- Conducted coordination meeting with SM Foundation's Kabalikatan sa Kabuhayan on Sustainable Agriculture for the Province of Bataan, Tarlac, Bulacan. (April 15, 19, 22, 2021)
- Participated in the conduct of Akbayanihan Eco Store Virtual meeting with NPMO.
- Consultation meeting with DAR CLAAP focal for drafting of Common Monitoring tool for DSWD-DAR CLAAP Project and come-up with joint monitoring of CLAAP livelihood project.
- Attended virtual meeting with Nutrition Food Program re: NFP Supply Mapping Technology facilitated by NPMO.

## **3. KALAHI-CIDDS NCDDP**

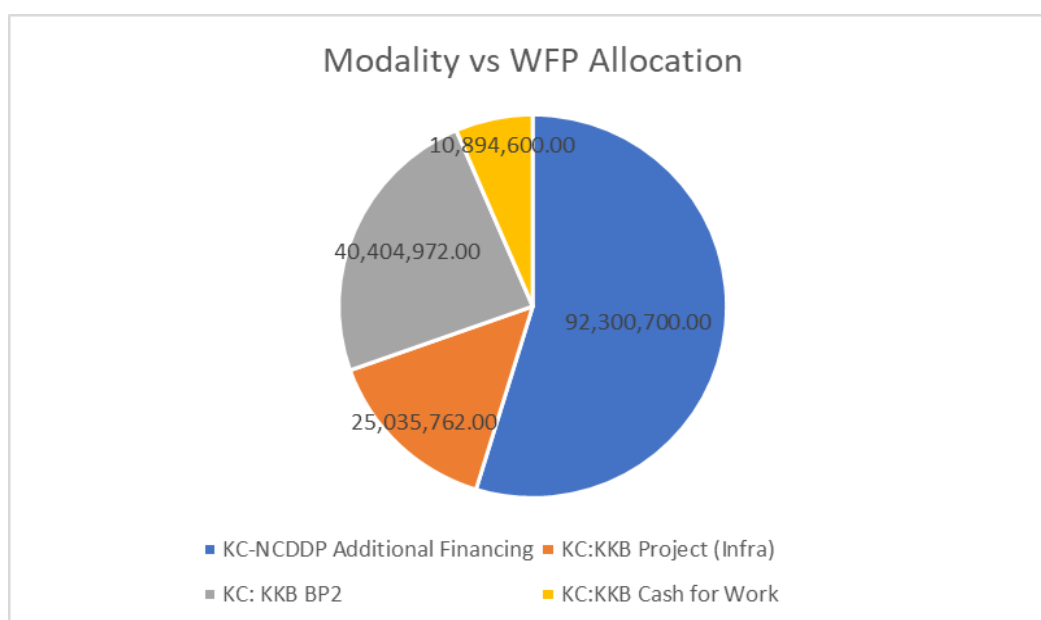
The KALAHI CIDSS NCDDP stands for ***Kapit-Bisig Laban sa Kahirapan Comprehensive Integrated Delivery of Social Services National Community***

***Driven Development is a Community Driven Development Program*** (NCDDP), an initiative of the Government of the Philippines implemented thru the ***Department of Social Welfare and Development (DSWD)***. *It seeks to reduce poverty and vulnerabilities to poverty by addressing the lack of capacity and resources at the local level and limited responsiveness of local governments to community priorities. Basically, it is a capacity building program for LGUs and communities along the attainment of poverty reduction by way of engaging communities along local development planning, budgeting and implementation of poverty reduction programs, projects and activities. Its overarching objectives is for the “Communities in targeted poor municipalities are empowered to achieve improved access to basic social services and to participate in more inclusive local planning, budgeting, implementation”.*

### **ASSESSMENT OF PHYSICAL AND FINANCIAL ACCOMPLISHMENTS:**

The program has entrusted the region with the huge amount of budget amounting to **P168,636,034.00** to implement the different identified programs and projects of the communities in response to COVID-19 pandemic. This amount is based on the following allocation:

**Table 9: Modality Versus WFP Allocation**



The KALAHI CIDSS NCDDP Additional Financing budget covers the first batch of municipalities to implement the program which include the municipalities of Pantabangan, Laur, Talugtug and Cuyapo in Nueva Ecija province and the municipality of Botolan, Zambales. The huge budget will be used to finance the identified sub-projects to address challenges of the current COVID-19 pandemic and other existing disaster related problems of the different communities. However, objectively this investment grant of the program aims to build appreciation of these LGUs on CDD and establishes the necessary mechanism and institutional

arrangement towards its institutionalization in the local planning, budgeting and implementation process among the LGUs. This is in line with the preparatory measures and activities of the program towards its devolution in year 2023. On the other hand, the KALAHY CIDSS: KKB project budget which as mentioned earlier are all government funds is intended to address the different challenges/issues on COVID-19 pandemic.

Nonetheless, despite of this huge amount which is expected to be utilized mostly within the year of the implementation, the region remains to have no significant accomplishment both on the physical and financial targets as of this quarter except for the 100% payment of the shelter cash assistance of 19 beneficiaries of the Balik Probinsya program at San Jose Del Monte Bulacan. All of the approved and funded sub-projects are still not yet started due to the number of problems encountered which mostly are not within the control of the region. Currently, the RPMO had managed to download the 1<sup>st</sup> tranche grant fund of the Pandi Bulacan for the Construction of the proposed Talipapa Building which to date already with on-going procurement activities. For the sub-project at Brgy. Diniog, Dilasag, Aurora which the Concreting of the .40 km barangay road, request for funds release is still on hold due to lacking document on the additional fidelity bond of the Barangay Treasurer which is in on-going compliance of the barangay. All other infra sub-projects especially the newly funded sub-projects are still on process of obligation of funds. For the different Cash for Work given to the KALAHY CIDSS:KKB project, the RPMO had conducted the series of orientation to the identified municipalities and communities of the program regarding the implementation procedures, guidelines and documentary requirements for the downloading of funds to the account of the barangay. Currently, they have an on-going preparation of the needed documents for the obligation of funds and its downloading to their account.

Finally, for the KC NCDDP Additional Financing, the region had just received the Notice of Concurrence from the NPMO signalling the issuance of the Notice of Proceed by the RPMO to the first batch of municipalities implementing the program. Coordination meetings were also conducted to help these LGUs prepare their local counterparts to the program and the necessary preparations for the conduct of the program launching in the municipality. The program launching will highlights the orientation of the municipality on the implementation procedures, objectives, processes and activities as well as the signing of the enhanced Memorandum of Agreement stipulating the different conditionalities of the program with the new partner LGUs and communities.

**Table 10: Targets vs. accomplishment on outcome indicators of Office/Program**

<b>Outcome Indicators</b>	<b>Accomplishments</b>	<b>Targets</b>	<b>Variance</b>	<b>Assessment</b>
WELLBEING OF POOR FAMILIES IMPROVED	0%	100%	-100%	Major Deviation

**Table 11: Targets vs. accomplishment on output indicators of Office/Program**

Output Indicators	Accomplishment			Target	Variance	Assessment
	Q1	Q2	T			
Number of communities implementing KC-NCDDP:						
Region	1	1	1	1	100%	Full Target
Province	2	2	2	2	100%	Full Target
Municipality	5	5	5	5	100%	Full Target
Barangay	141	141	141	141	100%	Major Deviation
Number of KC-NCDDP sub-projects completed in accordance with technical plans and schedule	0	0	0	71	0%	Full Target
Number of households that benefitted from completed KC-NCDDP sub-projects or Households benefitting from sub-projects	0	0	0	17,625	0%	Full Target
Percentage of completed KC-NCDDP projects that have satisfactory or better sustainability evaluation rating	0	0	0	3	0%	Full Target
No. of completed KC-NCDDP projects that have satisfactory or better sustainability evaluation rating	0	0	0	3	0%	Full Target
Percentage of women volunteers trained on CDD	0	0	0	341	0%	Full Target
No. of women volunteers trained on CDD	0	0	0	341	0%	Full Target

Output Indicators	Accomplishment			Target	Variance	Assessment
	Q1	Q2	T			
Percentage of paid labor jobs created by KC-NCDDP projects are accessed by women	0	0	0	398	0%	Full Target
No. number of paid labor jobs accessed by women	0	0	0	398	0%	Full Target
Total number of registered grievances	0	0	0	282	0%	Full Target
No. registered grievances satisfactorily resolved in line with the GRS	0	0	0	282	0%	Full Target

**Table 12: Financial Performance of Office/Program**

Program	Allocated Budget	Obligation	Disbursement	Utilization Rate (%)	
				Obligation	Disbursement
KC-NCDDP AF	P 137,019,201.00	0	0	0%	0%
KC KKB Project	P 25,035,762.00	12,500,000.00	6,750,000.00	49.9%	26.9%
KC KKB Balik Probinsya (BP2)	P 40,404,972.00	1,520,000.00	1,520,000.00	3.7%	3.7%
KC KKB (Cash for Work)	P 10,894,600.00	0	0	0%	0%

**ORGANIZATIONAL OUTCOME 2: RIGHTS OF THE POOR AND VULNERABLE SECTOR PROMOTED AND PROTECTED.**

#### 4. CENTERS/RESIDENTIAL CARE FACILITIES

DSWD Region 3 priority for this 2021 is the maintenance and sustainability as well as the level 3 accreditation of other residential care facilities in the region. Hence, all efforts to sustain and improve the current accreditation level of the seven (7) residential care facilities in the region are being addressed.

The **Regional Home for Girls** is a residential care facility for girl children victims of all forms of abuse which was granted certificate of accreditation for having complied with the third level standards in the implementation of residential-based programs and services to its target clientele on January 23, 2019.

For the start of the CY 2021, the Rehabilitation Team of the **AMOR Village**, together with the assistance of the National Inspectorate Committee (NIC) and Regional Inspectorate Committee (RIC) continued the best practices of the center to achieve the Level II accreditation, through proper delegation of task and performance of assigned responsibility to all its staff while taking consideration the welfare both of the client and staff especially during this health crisis.

For the first semester of the year 2021, the **Tarlac Lingap Center** was able to served 33 clients/residents or 73.33% accomplishment with regards to the physical targets. As against to their annual targeted plan, a difference of 26.67% is still needed to meet the 100% accomplishment. From the 33-total served, eight (8) were discharged thru RTF/RTP and job placed. In the terms of rehabilitation, for this semester, fourteen (14) rehab cases were declared rehabilitated including their discharge cases, thus the center reached a total of 42.42% rehabilitation exceeding the 30% annual target for the rehabilitated clients.

For the period under review, **Tarlac Home for Women** has served a total of 38 cases, 25 old and 13 new cases which is 43.18% as against the annual plan of 88 cases. Of the 38 cases served, 4 were already discharged from the custody of the center. Percent of discharged cases as against total served is 10.52%. All the discharged cases are rehabilitated. On the other hand, there are also 23 active cases with improved social functioning, thus considered rehabilitated. Percent of rehabilitation is 71.05%. Carry over for the 3<sup>rd</sup> quarter.

The **Reception and Study Center for Children** anticipates at least/most 39 cases of the children that will be admitted in the center for the year 2021. The total amounts per output indicator (case category) were based on the percentages of respective categories admitted as new cases from previous year (2020).

The **Regional Rehabilitation Center for Youth** continuously implementing immediate reintegration of the residents for the second quarter. As the result, the total number of the residents for the first quarter was 128 and as they entered second quarter it is very evident that the numbers of the residents decreased to 86. The Social Service Unit was striving hard to coordinate with Multi-disciplinary Team to fast track the preparation of the CICL to be reintegrated to their families and to the community.

## ASSESSMENT OF PHYSICAL AND FINANCIAL ACCOMPLISHMENTS

**Table 13: Percentage of Clients in Residential and Non-Residential Care Facilities Rehabilitated, 1<sup>st</sup> Semester, 2021**

Outcome Indicators	ACCOMPLISHMENTS	TARGET (Quarterly)	VARIANCE	ASSESSMENT
AMOR	4 or 3.44%	4%	-0.56%	Minor Deviation



Outcome Indicators	ACCOMPLISHMENTS	TARGET (Quarterly)	VARIANCE	ASSESSMENT
RRCY	83 or 57.63%	30%	27.63	Target fully achieved
RHFG	65 or 73.86%	30%	43.86%	
RSCC	33 or 35.48%	30%	5.48%	
THFW	27 or 71.05%	30%	41.05%	
TLC	14 or 42.42%	30%	12.42%	
HAVEN	42 or 72.41%	30%	42.41%	
TOTAL	268 or 356.29%	184%	172.29%	

The table above shows that six (6) CRCFs have already achieved beyond their annual target on the percentage of rehabilitated cases for the first semester. While the AMOR Village with complex categories of clients with 4% annual target has a minor deviation rehabilitated client for this semester and can achieve their target probably by the third quarter. The above cases are considered/declared active rehabilitated cases of the centers since some of these are old/carry over cases that had improved their social functioning as determined by the Social Functioning Indicator (SFI) and Rehabilitation Indicator (RI) and some of the cases are already reintegrated to their respective families.

**Table 14: Number of clients served in Residential Care Facilities 1<sup>st</sup> Semester, 2021**

Outcome Indicators	ACCOMPLISHMENTS (1 <sup>st</sup> Semester)	TARGET (Annual)	VARIANCE	ASSESSMENT
AMOR	116	129	-13	MAJOR DEVIATION
RRCY	144	240	-96	
RHFG	88	149	-61	
RSCC	93	130	-37	
THFW	38	88	-50	
TLC	33	73	-40	
HAVEN	58	118	-60	
TOTAL	570 or 61.48%	927		

Figures above show that out of 927 annual target the seven (7) CRCFs were able to serve total of 570 clients for the first semester. Majority of these clients were carried over from the previous year. Low admission is seen to be caused by the effects of the pandemic, wherein everyone has restrictions in movements. It is important to note too that the 927 annual targets of clients for 2021 does not come from the centers/facilities that have the actual experience of the trend of admission. The number is a given target from the Central Office.

**Table 15: 1<sup>st</sup> Semester CY 2021 Allotment, Obligation Versus Disbursement**

Output Indicators	ALLOCATED BUDGET	OBLIGATIONS	DISBURSEMENT	UTILIZATION RATE	BALANCE
AMOR	17,883,363.99	6,672,884.34	6,672,884.34	37%	11,210,479.65



Output Indicators	ALLOCATED BUDGET	OBLIGATIONS	DISBURSEMENT	UTILIZATION RATE	BALANCE
RRCY	14,826,419.00	5,628,202.93	5,628,202.93	38%	9,198,216.07
RHFG	15,764,085.95	5,870,657.62	5,870,657.62	37%	9,893,428.33
RSCC	11,979,126.51	5,276,500.41	5,276,500.41	44%	6,702,626.10
THFW	8,766,912.92	3,862,445.20	3,862,445.20	44%	4,904,467.72
TLC	8,850,359.55	4,219,750.29	4,219,750.29	48%	4,630,609.26
HAVEN	10,714,030.08	4,533,882.07	4,533,882.07	42%	6,180,148.01
TOTAL	88,784,298.00	36,064,322.85	36,064,322.85	41%	52,719,975.15

Figures above shows the first semester utilization rate of the seven (7) residential care facilities is 41%%. The center who got the highest utilization rate for the quarter was the Tarlac Lingap Center.

## 5. SOCIAL PENSION AND CENTENARIAN

**Social Pension Program** on a “*pandemic point of view*” has made several adjustments for our beneficiaries and risks on taking actions on the part of our service providers or duty bearers. It was not the usual activity or routine, our beneficiaries who are the most vulnerable to hazards are obligated to get out so that they will receive their pensions and benefit from it, at the expense of possible exposure to the COVID 19 virus.

### ASSESSMENT OF PHYSICAL AND FINANCIAL ACCOMPLISHMENTS

The 1st quarter CY 2021 period was a continuation on the payment for CY 2020 unpaid for 9,562 beneficiaries amounting to P36,078,000.00, as a result was able to accomplish 91.39% from the total beneficiaries of 110,860. The data showed that there were about 8.61% unserved which composed of our deceased beneficiaries needing replacements, locked downs outside the region that have no means to send their documents during pay outs to claim their grants, some in GIDA areas hard to reach out because of mobility restrictions due to pandemic, unlocated, some few which were found out to be pensioners of SSS and PVAO, etc.

While for C.Y. 2021 pay out started this April 2021 covering the 2<sup>nd</sup> Quarter period for the 110, 860 beneficiaries, and the additional 529 and 5,543 beneficiaries will be covered by this 3<sup>rd</sup> Quarter specifically July and August respectively. So that, region 3 will have a total of 116,932 beneficiaries for this year with additional 6,072 slots for beneficiaries increasing about 5.19%.

The data below illustrates that we only achieved 52.77% leaving behind 47.23% which is more than 30% and as per assessment guide we incurred a Major Deviation for regular social pension, while our Centenarian accrued 100% accomplishments achieving our full target.

**Table 16: TARGETS VERSUS ACCOMPLISHMENTS ON OUTCOME INDICATORS OF OFFICE/PROGRAM**

Outcome Indicators	Accomplishments	Targets	Variance	Assessment
Regular SocPen	52.77%	100.00%	47.23%	Major Deviation
Centenarian	100%	100.00%	0 %	Full Target Achieved

## 6. PROTECTIVE PROGRAM FOR INDIVIDUALS, FAMILIES AND COMMUNITIES IN NEED OR IN CRISIS SUB-PROGRAM

### ASSISTANCE TO INDIVIDUAL IN CRISIS SITUATION (AICS)

Assistance to Individual in Crisis Situation (AICS) under Protective Service Program (PSP) is a provision of financial augmentation to people in crisis and to sustain the basic necessities of the families severely affected by the health and socio-economic disruption brought about by the Coronavirus Disease 2019 (COVID-19) health crisis. Since the declaration of a state public health emergency in the entire Philippines, different types of community quarantine measures have been imposed resulting in an increased number of individuals and families seeking assistance from the DSWD for medical, burial, food, transportation and other support services through cash aid.

### ASSESSMENT OF PHYSICAL AND FINANCIAL ACCOMPLISHMENTS

**Table 17: Targets versus Accomplishments on Outcome Indicators of Office/Program**

Outcome	Accomplishments	Targets	Variance	Assessment
ORGANIZATIONAL OUTCOME 2: RIGHTS OF THE POOR AND THE VULNERABLE SECTORS PROMOTED AND PROTECTED				
Assistance to Individuals in Crisis Situation (AICS)	100%		100%	Full Target

**Table 18: Financial Performance of Office/Program**

P/A/P	Allocated Budget	Obligations	Disbursement	Utilization Rate (%)	
				Obligations	Disbursement
Assistance to Individuals in Crisis Situation (AICS)	1,070,472,810.13	564,292,522.20	442,549,897.83	53%	81%

## 7. UNCONDITIONAL CASH TRANSFER (UCT)

The **Unconditional Cash Transfer (UCT)** program is the biggest tax reform mitigation program of the National Government spearheaded by the Department of Social Welfare and Development (DSWD) which aims to provide unconditional cash grants over the next three (3) years (2018-2020) to poor households and individuals who may not benefit from the lower income tax rates but may be adversely affected by rising prices due to the implementation of Republic Act 10963 or Tax Reform for Acceleration and Inclusion (TRAIN) Law.

### ASSESSMENT OF PHYSICAL AND FINANCIAL ACCOMPLISHMENTS

The FO3 reached 80.53% or 404, 777 out of 445,063 target beneficiaries based on the generated payroll for CY 2020 payout. Specifically: for Pantawid program 100% or 287,455 beneficiaries, SocPen 65.87% or 45,490 out of 69,062 beneficiaries, and for Listahanan 81.12% or 71,832 out of 88,546 clen list. The variances categorized into two indicators: (a) beneficiaries for resolution due to possible duplication and (b) special cases (i.e. Deceased, OFW, Separated, Imprisoned, gone missing, unlocated). Further, there were 33,395 out of 66,016 beneficiaries have received their EMV cash card as of June 24, 2021. The cash grant for CY 2020 was already credited to their EMV cards.

For the revalidation and data cleansing of UCT Listahanan beneficiaries, we have endorsed to UCT NPMO a total of 76, 600 UCT Listahanan beneficiaries for cash card generation. However, for UCT SocPen there are remaining 19. 565 for cash generation. The UCT RPMO have already follow up this concern to the Program Management Bureau (PMB) regarding the status of the remaining list.

**Table 19a: Target versus Accomplishment on Outcome Indicators of Office/Program**

**2019 UCT Payout as of December 21, 2020**

Outcome Indicator	Accomplishment	Target	Variance	Assessment
<b>OO 2: Rights of the poor and the vulnerable sectors promoted and protected</b>	404,777	445,063	40,286	Minor Deviation
<b>a) Listahanan</b>	71,832	88,546	16,714	
<b>b) Social Pension</b>	56,135	69,062	12,927	
<b>c) Pantawid</b>	283,422	283,422	0	

**Table 19b: 2020 Revalidation Activity**

Outcome Indicator	Accomplishment	Target	Variance	Assessment
OO 2: Rights of the poor and the vulnerable sectors promoted and protected a) Listahanan	99,574	128,834	31,260	Minor Deviation

**Table 19 c: 2021 Revalidation Activity as of June 7, 2021**

Outcome Indicator	Accomplishment	Target	Variance	Assessment
OO 2: Rights of the poor and the vulnerable sectors promoted and protected a) Listahanan	132,387	145,700	13,313	Minor Deviation

**Table 19d: Targets versus Accomplishments on Output Indicators of Office/Program  
2019 UCT Payout**

Output Indicator	Accomplishment					Target	Variance	Assessment
	Q1	Q2	Q3	Q4	T			
Number of poor beneficiaries covered by UCT grants a) Listahanan b) Social Pension c) Pantawid				404,777 82,656 56,135 287,455	404,777 88,546 69,062 287,455	445,063 88,546 69,062 287,455	40,286 5,890 12,927 0	Minor Deviation

**Table 19e: 2020 UCT Payout as of June 24, 2021**

Output Indicator	Accomplishment					Target	Variance	Assessment
	Q1	Q2	Q3	Q4	T			
Number of poor beneficiaries covered by UCT grants a) Listahanan b) Social				404,777 33,681	404,777 33,681	445,063 105,581	40,286 71,900	Minor Deviation

Pension								
c) Pantawid				287,455	287,455	287,455	0	

\*86,016 Cash cards available with LBP Branches

**Table 19f: 2019 Financial Performance of Office/Program UCT Payout**

Program/Activity/ Project	Allocated Budget	Disbursement	Disbursement Rate (%)
Unconditional Cash Transfer (UCT)	9, 059, 539.83	4,911,858.46	54.22%

## 8. ADOPTION RESOURCE AND REFERRAL

The **Adoption Resource and Referral Unit (ARRU)** is primarily in-charge on the overall implementation of services relative to Alternative Parental Care by the Department. The Unit facilitates cases of Adoption and Foster Care as stated in the following legal bases: Republic Act 8552 – Domestic Adoption Law, Republic Act 8043 – Inter-country Adoption, Republic Act 9523 – Issuance of Certification Declaring Child as Legally Available for Adoption, and Republic Act 10165 – Foster Care Act and Republic Act 1122- Simulated Birth Rectification Act. Since ARRU is responsible in facilitating permanent or temporary placement of children who are abandoned, neglected and/or abused, conduct of advocacy campaign is being intensified and strengthened to raise awareness that such placements are for the protection of children. Hence, the need to increase the number of adoptive and foster families who are willing to look after their best welfare and interest.

## ASSESSMENT OF PHYSICAL AND FINANCIAL ACCOMPLISHMENTS

**Table 20: Targets Versus Accomplishments (First Quarter CY 2021)**

Output Indicators	Accomplish- ments	Targets	Variance	Assessment
1. Number of Children Placed out for Domestic Adoption Issued with CDCLAA	100%	100%	0.00%	Full Target Achieved
2. Children cleared for Intercountry Adoption	325%	100%	+225%	Exceeds Target – Major Deviation
3. Children Placed Out for Foster Care	100%	100%	0.00%	Full Target Achieved

<b>4. Children placed under Foster Care provided with Subsidy</b>	100%	100%	0.00%	Full Target Achieved
<b>5. Regular Foster Parent Developed</b>	200%	100%	+ 100%	Exceeds Targets Major Deviation
<b>6. Regular Prospective Adoptive Parents developed</b>	150%	100%	+50%	Exceeds Targets Major Deviation

**Table 21: Targets versus Accomplishments on Outcome Indicators for 1<sup>ST</sup> SEMESTER OF CY 2021**

Output Indicators	SEMESTRAL TARGET		Variance	Assessment
	1 <sup>ST</sup> SEM ACCOMPLISHMENT	TARGETS		
Number of Children Placed for Domestic Adoption Issued with CDCLAA	25	26	-3.85%	Minor Deviation
Children cleared for Inter country Adoption	15	6	250%	Minor Deviation
Children Placed Out for Foster Care	3	5	-40%	Major Deviation
Children placed under Foster Care provided with Subsidy	31	31	100%	Target Achieved
Regular Foster Parent Developed	6	3	+100%	Target achieved Major Deviation
Regular Prospective Adoptive Parents Developed	4	3	+33.33%	Target achieved Major Deviation

**Table 22: Targets Versus Accomplishments on Outcome Indicators for CY 2021**

Output Indicators	Accomplishments					Targets	Variance	Assessment
	Q1	Q2	Q3	Q4	T			
Number of Children Placed for Domestic Adoption Issued with CDCLAA	9	16	0	0	25	56	-44.64%	Major Deviation
Children cleared for Inter country Adoption	2	13	0	0	15	13	+15.4%	Major Deviation
Children Placed Out for Foster Care	0	3	0	0	3	10	-70%	Major Deviation
Children placed under Foster Care provided with Subsidy	29	31	0	0	31	31	100%	Target Achieved
Regular Foster Parent Developed	2	4	0	0	6	6	100%	Target achieved
Regular Prospective Adoptive Parents Developed	1	3	0	0	4	6	-33.33%	Major Deviation

**Table 23: 2<sup>nd</sup> Quarter Allotment, Obligation Versus Disbursement:**

Allocated Budget	Obligation 2 <sup>nd</sup> Quarter	Disbursement	Balance	Utilization Rate	
				Obl.	Disb.
11,299,943.80	3,529,208	3,529,208	7,770,735.80	31.23%	31.23%

**Assessment:**

The major variances on the respective targets of the ARRU could be attributed to the following factors:

- Slow submission and compliance of children's dossier being endorsed by different CCAs and LGUs for issuance of CDCLAA.
- Waiting for the presentation of Children cleared at the regional level in the Inter- Regional Matching before the Issuance of Clearance for Inter Country Adoption
- Supporting Documents for Foster Parents such as the Psychological and Medical Clearance Report take some time to secure

## 9. COMMUNITY BASED SERVICES

The **Community Based Services** are provided to Children in Need of Special Protection (CNSP), Minors Travelling Abroad (MTA), Women in Especially Difficult Circumstances (WEDC), Trafficking in Persons (TIP) and Overseas Filipinos and their families in response/support to the Organizational Outcome 2, whereby the rights of the poor, vulnerable, and marginalized are promoted and protected. These are being implemented by the community-based social workers of the seven (7) provinces in region 3.

**Table 24: Target versus Accomplishment on Outcome Indicators Office/Programs**

	Accomplishment				Target	Variance	Assessment
Outcome Indicators	1 <sup>st</sup> Q	2 <sup>nd</sup> Q	3 <sup>rd</sup> Q	4 <sup>th</sup> Q			
I. Number of Clients served through community-based services							
a. Women	23	35			117	-59	Major deviation
b. Children	19	24			153	-110	Major deviation
Number of Minors Travelling abroad issued travel clearance	224	333			1350	-793	Major deviation
II. Percentage of assisted individuals who are reintegrated to their families and communities							
a. Trafficked Person	100 %	63%			100%	37%	Target not fully achieved
b. Distressed Overseas Filipinos and Families	100 %	100 %			100%	100%	Full target achieved

**Women** – A total of 58 out of 117 or 50% of women in especially difficult circumstance c were served for the first semester of CY 2021 with the following categories, to wit: Sexually Abused- Rape -4, Victims of Illegal Recruitment (VIR)-4, Victims of Trafficking-5, Physical Abused-1, Emotionally disturbed/Abused-35, Economic Abused-6, Mentally Challenged-2, and Claim for custody case-1. These women belong to the vulnerable sector and need to undergo inner healing process and prevent from re-victimization.

**Children**- A total of 43 (M-20, F-23) or 28% out of 153 target children in need of special protection were served for the period January to June 2021 with the following breakdown: Abandoned- 5, neglected-13, Rape-4, Acts of Lasciviousness-1, Cyber Pornography-1, Physically abused/Maltreated-5, Victims of Child Trafficking-2, and psychologically/emotionally abused-11 and children with HIV-1, These



children were provided psychosocial interventions such as counseling-33, medical 2, Educational assistance-1, legal service 6, referral to LGU for support services-12, referral to Institution--4, for financial assistance-2; and support services in coordination with the P/C/MSWDO for further case management. They were also referred for appropriate child placement services in coordination with ARRS and partnership with Bantay Bata Hotline, media, LGUs and other private and non-government agencies and CSOs.

**Minors Travelling Abroad (MTA)** - A total of 557(Male- 284, Female- 273) or 41% out of 1,350 target minors were served from January to June 2021. There was an increase in number served as compared in 2020 whereby countries and airlines are now open for passengers/minors to go out of the country despite the COVID19 pandemic. FO 3 generated an amount of P211,500 from the 557minors issued travel clearance of which the amount of P122,700.00 was collected from 409 applicants for one (1) year validity while the amount of P88,800.00 was collected from 148 applicants for two years validity. The three (3) top counties of destination of minors issued travel clearance were the following; UAE with 308 minors while USA with 88 and Canada with 41 minors. In addition to this there are 283 minors (Male-160, Female-123) issued certificate of exemption. Forty-five (45) minors are traveling with their parents, eighty (80) holding valid pass such as dependent's/identification cards, one hundred forty-three (143) are holding valid permanent visa/immigrant visa and Fifteen (15) are holder of foreign passport. A total of 620 parents/ custodians and/or person given authority to secure travel clearance and those with exemption were requested to rate in the client Satisfaction survey on the extent of service provided to them. There are 583 (male-154,F-429) or 94% of the 620 respondents who rated very satisfactory while 37(M-13,F-24) or 6% out of 618 respondents rated Satisfactory on the said survey.

**Trafficked Person.** A total of 51 (Female-46, Male-5) or 68% out of 75 targeted TIPS were served for the first semester. Out of the 51 served, only 32 TIPs (Female 28, Male 4) or 63% were reintegrated to their families while 19 were referred to the 3 DSWD residential care facilities such as Regional Home for Women-7, Regional Home for Girls-8 and Reception and Study Center for Children- 4 as a result of four (4) rescue operations conducted by the following law enforcement agencies, namely: Luzon Field Unit with 9 rescued survivors while Anti-Trafficking In Persons of Women and Children Protection Center (WCPC) with 4 rescued survivors, Regional Anti-Trafficking in Persons Task Group 3 (RATTG3) in coordination with Local Social Welfare and Development Office (LSWDO) of Angeles City and San Jose Del Monte, Bulacan.

There is a total of 51 out of the 150 target or 34% victim survivor of trafficking served for the period of January to June of 2021. Among these, all are new cases with 46 females of which 8 are girl child and 1 male who is 9months old). These survivors were provided psychosocial intervention such as counseling, referral for legal assistance and livelihood assistance as part of aftercare program upon reintegrated to their families and relatives.

**OFs and their Families (ISSO)** – A total of Two Hundred Seventy-One (743) Overseas Filipinos and their families were served for the period January to June,

2021. These OFs were reintegrated to their respective families and provided psychosocial interventions such as referrals for a. RRPTP livelihood Assistance for inclusion for the Social Amelioration Program (SAP), referral for Expanded Assistance to Individuals in Crisis Situation, and referral for livelihood assistance under the RRPTP and Sustainable Livelihood Program (SLP). At present, these OFs were also endorsed to the LGUs for further case management. The establishment of One Stop Shop at the Clark International Airport was done in the last Quarter due to lack of PPEs, only one (1) among the seven staff was deployed. Close coordination was done with the Department of Tourism.

**Table 25: Target versus Accomplishment on Output of Indicators of Other/Program**

Output Indicators	Accomplishment				Target	Variance	Assessment
	Q1	Q2	Q3	Q4			
Number of Trafficked Person provided with social welfare services							
a. Trafficked Persons	30(46-F,5-M)	21 (M-1,F-20)			150 New	-66	Major deviation
Number of distressed and undocumented overseas Filipinos provided with social welfare services	472 M-253, F-219	271			985	-242	Full target achieved

**Trafficked Persons –** A total of 51 TIPs (Male -5;F-46. From the 46 Female, 34 are adults while 12 girl child) were served for the period January to June 2021. There are 13 returning OFs who were also found to be trafficked persons and were referred for livelihood assistance under the Recovery and Reintegration Program for Trafficked Persons (RRPTP). The following programs and services were provided, namely: Counseling -30; provision of hygiene kit to rescued victim survivors-13, referral for protective custody-13, livelihood assistance -17 and referral to LSWDOs for support services such as food and medical assistance.

**Distressed and Undocumented Overseas Filipinos –** There are 743 out 985 or 75% with 377 or 51% are male and 366 or 49% are females. Overseas Filipinos (OFs) who were reintegrated to their respective families/relatives for the period January to June 2021. These were referred to the Local Social Welfare and Development Offices for further case management. These families were provided with the following interventions, namely: Counseling-743, Financial assistance under DSWD AICS-58, Referral to OWWA for DOLE AKAP -261 and livelihood assistance under Blas Ople Reintegration Assistance Funding for Trafficked OFWs, 83 were referred/provided food and transportation assistance under the Assistance to Individual in Crisis Situation(AICS) and referral to LGUs for support services -65 and 15 were referred/provided for livelihood assistance under the Recovery and Reintegration Program for Trafficked Persons (RRPTP). The augmentation of SWO II combased at the CBSS has helped in facilitating immediate response to ISSO

referrals. SWO II –ISSO was only hired on June 14, 2021 despite our follow up to the HRMD.

**ORGANIZATIONAL OUTCOME 3: IMMEDIATE RELIEF AND EARLY RECOVERY OF DISASTER VICTIMS/SURVIVORS ENSURED**

## **10. DISASTER RESPONSE MANAGEMENT**

Republic Act 10121 or the Philippine Disaster Risk Reduction and Management Act of 2010 is an act mandated to strengthen disaster management in the Philippines, a country prone to natural disasters. DSWD, as the vice chairperson for Response Cluster during disaster operations, is mandated to work toward responding to disaster-stricken communities with vulnerable and disadvantaged individuals and families.

On disaster prevention and mitigation, Risk Resiliency Program through Cash for Work (CFW) is utilized to implement different projects and activities that strengthen resiliency of natural and urban- built systems and coordination on Climate Change Adaptation and Mitigation, and Disaster Risks Reduction. It also addresses unemployment by providing temporary source of income to economically-vulnerable segment of population.

The DRMD as the main responder during disaster is committed to work towards the promotion of safe and resilient society through the provision of appropriate assistance to victims of natural and man-made disaster. With continuous coordination and cooperation with LGUs and other partner stakeholders in delivering assistance and providing their counter-parts for such project, it guarantees that the assistance rendered to response to marginalized and vulnerable sectors has been provided.

### **10a. DISASTER RESPONSE AND REHABILITATION PROGRAM**

The Disaster Response and Rehabilitation's main objective is to provide programs and services in relation to relief augmentation during disaster response and implementation of preparedness and rehabilitation/ recovery programs, projects and services. For the 1<sup>st</sup> semester of 2021, the DRRS continuously implements Risk Resiliency Programs under Climate Change Adaptation and Mitigation-Disaster Risk Reduction for 6<sup>th</sup> Wave (2020 fund) likewise, the 7<sup>th</sup> Wave cycle of the program was already started this 2<sup>nd</sup> quarter of 2021.

In line with the recovery and rehabilitation activities, Emergency Shelter Assistance Program w/ Built-in Cash for Work is also undertaken to provide financial assistance to the families whose houses were damaged during the occurrence of Typhoon Ulysses.

## 1. Risk Resiliency Program under Climate Change Adaptation and Mitigation- Disaster Risk Reduction

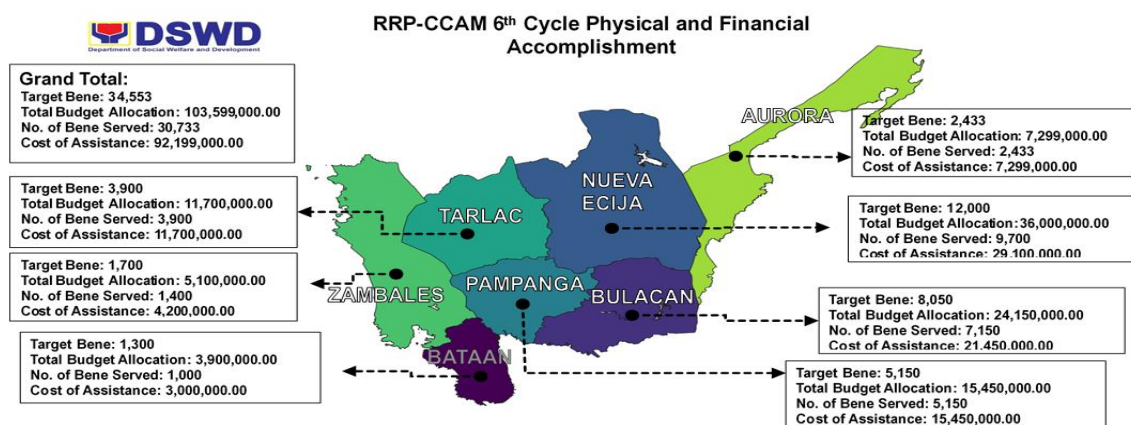
Risk Resiliency Program is known as the CCAM Cluster covers programs, activities and projects on climate change adaptation and mitigation, disaster risks reduction and management including both climatic and non-climatic risks such as earthquakes and volcanic eruptions. Its main goal is to strengthen the resiliency of both natural systems and the urban built environment as well as adaptive capacities of vulnerable groups and communities.

The RRP CCAM-DRR CFW priority activities fall under climate change adaptation, mitigation and disaster risk reduction such as, project security activities, environmental protection and project innovation for waste management as part of the COVID-19 Response initiatives.

### 6<sup>th</sup> Wave Cycle (2020 Fund)

The DSWD Field Office III has a target of 34,533 beneficiaries amounting to PHP. 103,599,000.00 allocated to 95 LGUs in 7 provinces (Aurora, Bataan, Bulacan, Pampanga, Nueva Ecija, Tarlac, and Zambales) in Region III which are identified as economically and disaster vulnerable.

As of June 30, the DRRS has already disbursed grants to 30,733 beneficiaries amounting to Php. 92,199,000.00 with 88.99% utilization. However, the remaining 10 LGUs with 3,800 beneficiaries are still have on-going implementation and payout was adjusted to completed on or before the end of July 2021.

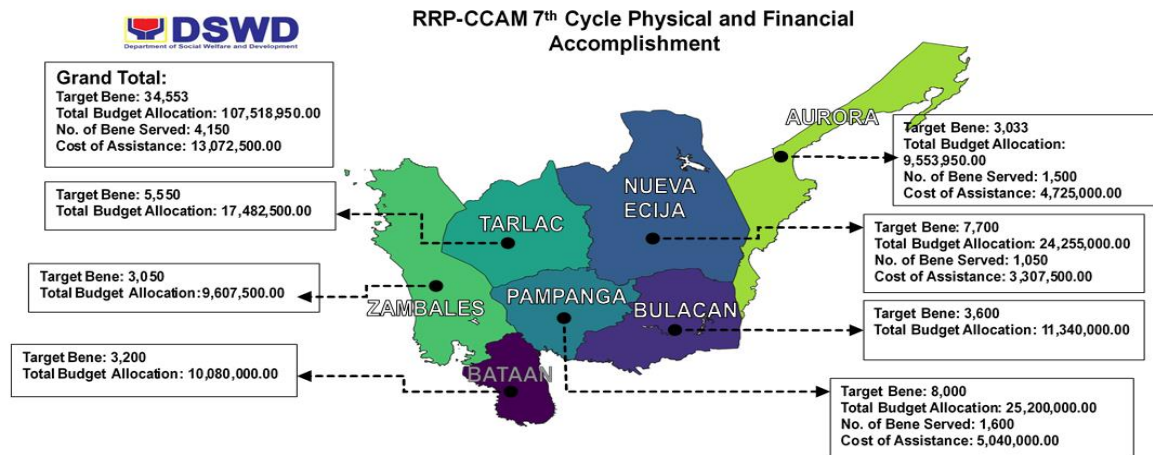


### 7<sup>th</sup> Wave Cycle (Current Fund)

For this year's implementation of resiliency program, the total target is 34,133 beneficiaries amounting to 107,518,950.00 with a rate of P 315.00 per day or 75% of the Regional Wage Rate of Central Luzon which is equivalent to P3,150.00 per



beneficiaries Out of the 95 total LGUs who signifies their acceptance and willingness to participate in the said project, 58 LGUs have already executed the implementation of their proposed project and activities

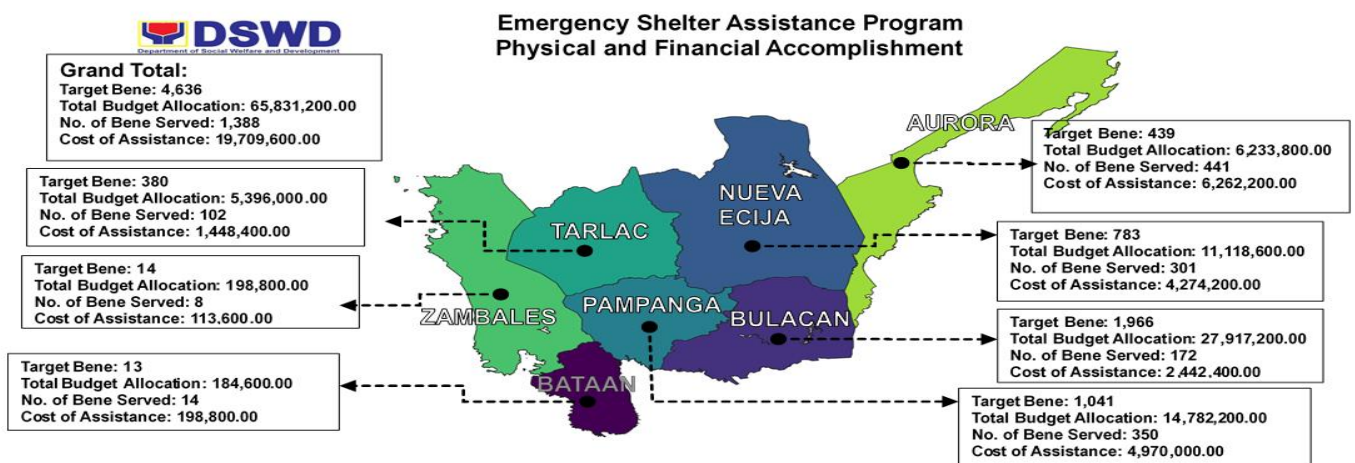


Note: the remaining unutilized fund are scheduled to be disbursed on July-November, 2021 based from the approved Monthly Disbursement Plan.

## 10.b. EMERGENCY SHELTER ASSISTANCE PROGRAM

During the occurrence of Typhoon Ulysses Region III was among the Regions in the country were mostly affected. Likewise, one of the major programs of the DRMD is the provision of Emergency Shelter Assistance in preparation for the said program DRMD proposed and submitted Early Recovery and Rehabilitation Plan for Central Office among the seven (7) Provinces that there was a total of 49,482 damaged houses (Partially 44,863 and Totally 4,639) that has been reported to the Department.

The Central Office initially downloaded fund is intended for totally damaged houses amounting to Php.65,831,200.00 for the 4,636 target beneficiaries.



The fund intended for partially damaged houses are still subject for downloading by the Central Office and the with a total target amount of Php.318,775,800.00 for 44,898 beneficiaries. Nevertheless, continuous validation and reviewing of documents submitted by LGUs is being undertaken in preparation for the releasing of grants once fund is downloaded to the Field Office.

## 1. Disaster Response Activities

The Department as the lead in Food and Non-Food Items cluster, continuous augmentation to LGUs that are mostly affected by COVID-19 Pandemic are provided to ensure that the families basic needs are provided. The DRRS facilitates the assessment and recommendations for any requests of LGUs and other Agency for relief assistance prior to endorsement to Regional Resource and Logistics Management Section (RRLMS) for schedule of release.

For the 2<sup>nd</sup> quarter of 2021, the Field office proactively provided Family Food Packs to the Province of Bulacan that were affected by the Modified Enhanced Community Quarantine last May 1-15, 2021 with the following breakdown to wit:

**Table 26: Priority Areas Physical and Financial Accomplishment:**

<b>PRIORITY TARGETS</b>	<b>Total number of FFPs provided</b>	<b>AMOUNT</b>
1 <sup>st</sup> Priority- focused on the response for COVID-19 with a total allocation of 14,200 Family Food Packs such as (COVID related deaths, families of active COVID cases and families under granular lockdown	7,441	3,807,641.00
2 <sup>ND</sup> Priority- are those families who haven't received any support especially the on-going "Ayuda" distribution.	55,047	29,055,218.00
<b>TOTAL</b>	<b>62,488</b>	<b>32,862,859.00</b>

Table 26: As shown above, the DSWD has a total target of 4,990 beneficiaries (Non-4Ps and 4Ps) for Emergency Subsidy Program under granular lockdown areas, as of June 30, 2021 4,360 beneficiaries had received their assistance, while the remaining 630 were identified ineligible.

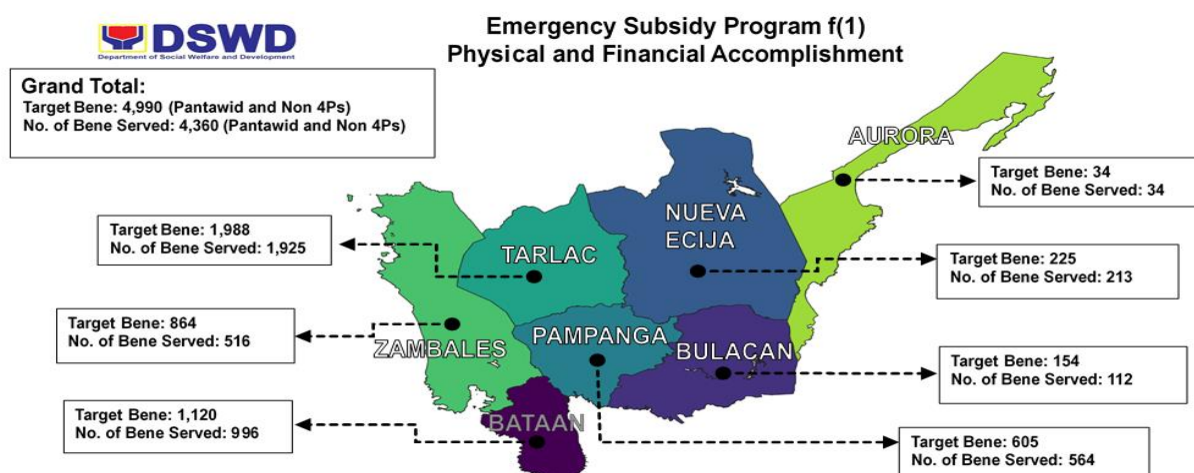
## 10c. DISASTER RESPONSE INFORMATION MANAGEMENT:

On January 2020 the Philippines marks its first case of Corona Virus in the country, since then the virus drastically spread in the communities particularly in the island of Luzon. In order to prevent more damages and preserved lives the Philippine Government declared the entire island of Luzon in a Enhance Community Quarantine. Thousands of Filipinos lost their lives and Jobs, many suffered from the massive impact of the pandemic to the communities.

As a response, the Government establish support mechanisms and strategies to manage the current crisis in the country. National Government Agencies, Local Government Units and other stakeholders was mandated to work hand in hand in lessening the Socio-Economic impact to the affected population.

The Department of Social Welfare and Development is mandated to ensure implementation of Social Protection programs and services to the affected families through the provision of relief assistance. Aside from this, R.A 11469 (Bayanihan Act 1) and R.A 11494 (Bayanihan Act 2) was enacted to deliver Social Amelioration Program to the Vulnerable and Indigent Sector, wherein the DSWD is mandated to implement the Emergency Subsidy Program in partnership in various Government Agencies.

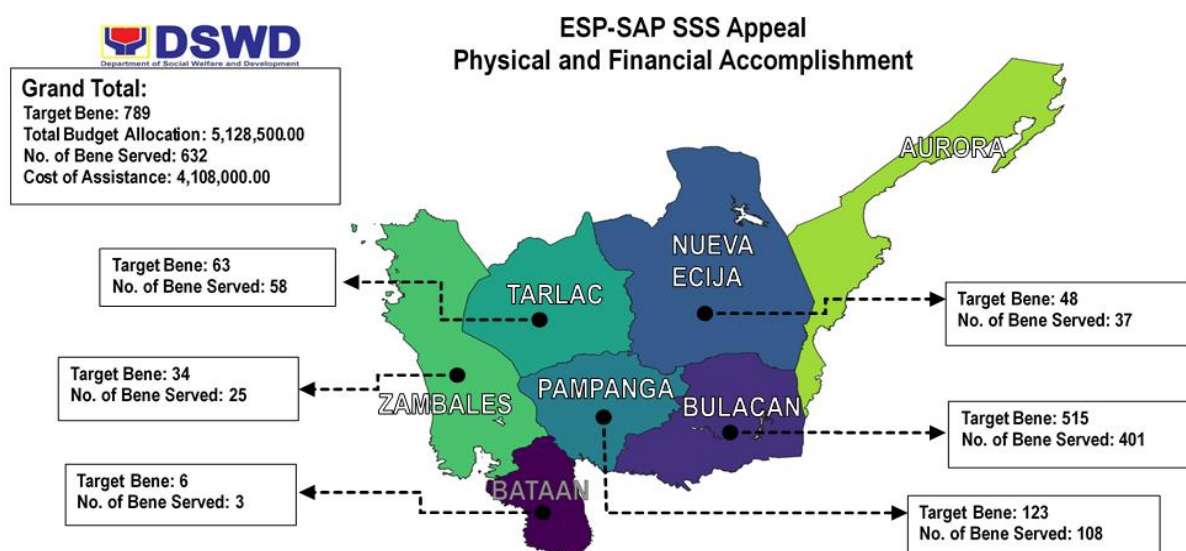
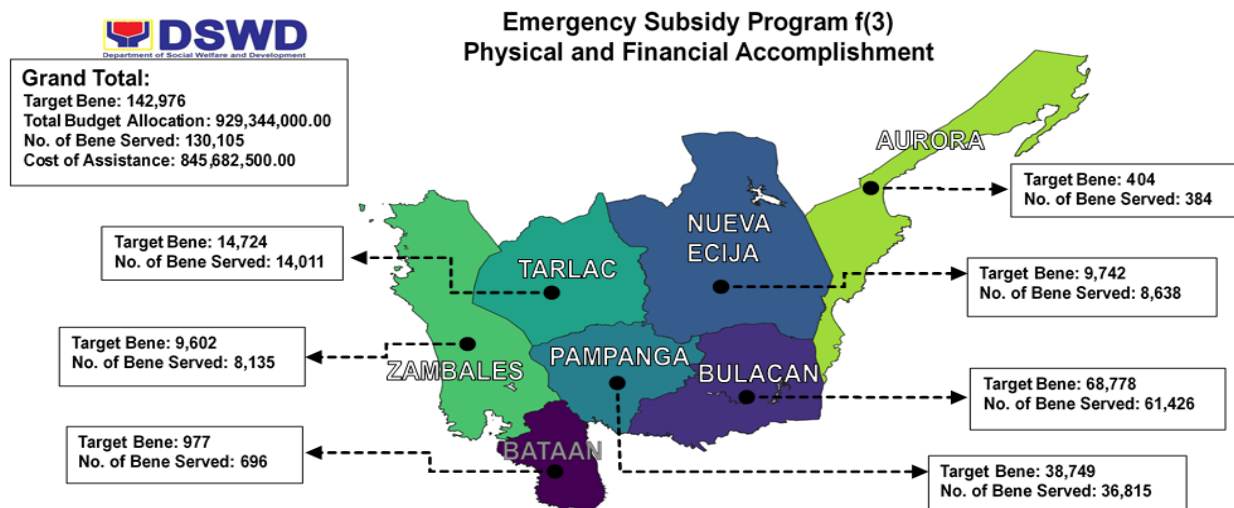
For Bayanihan Act 2, the Disaster Response and Management Division was identified to lead in the implementation of ESP-SAP under B2.



**Table 27: Physical Targets Versus Accomplishment:**

PROVINCE	TARGET			ACCOMPLISHMENT		
	Non-4Ps	Pantawid	Total	Non-4Ps	Pantawid	Total
Bataan	912	208	1,120	788	208	996
Bulacan	147	7	154	105	7	112
Nueva Ecija	210	15	225	199	15	213
Pampanga	589	16	605	548	16	564
Tarlac	1,832	156	1,988	1,772	153	1,925
Zambales	805	59	864	459	57	516
Aurora	33	1	34	33	1	34
<b>Grand Total:</b>	<b>4,528</b>	<b>462</b>	<b>4,990</b>	<b>3,904</b>	<b>457</b>	<b>4,360</b>

Table 27: based on the above representation the DSWD has a total target of 142,976 additional beneficiaries for Emergency Subsidy Program under RA 11494 or otherwise known as Bayanihan Act 2, as of June 30, 2021 130,105 beneficiaries had received their assistance, while the remaining 12,871 were identified ineligible.





### Summary of Accomplishment:

PROVINCE	CLEANLIST (A)	REFUNDED (D)	Total Paid (J)	Variance
Bataan	977	281	696	0
Bulacan	68,778	7,352	61,426	0
Nueva Ecija	9,742	1,104	8,638	0
Pampanga	38,749	1,934	36,815	0
Tarlac	14,724	713	14,011	0
Zambales	9,602	1,467	8,135	0
Aurora	404	20	384	0
<b>Grand Total:</b>	<b>142,976</b>	<b>12,871</b>	<b>130,105</b>	<b>0</b>

<b>Total No. of SSS Appeal:</b>	<b>1,004</b>	<b>6,526,000.00</b>
Total No. of Verified Eligible:	789	5,128,500.00
a. Number of Paid:	632	4,108,000.00
b. Number of Unpaid:	157	1,020,500.00
<b>Percentage of Accomplishment:</b>	<b>80.1</b>	<b>80.1</b>
<b>For Refund:</b>		
Total No. of Verified Ineligible:	196	1,274,000.00
Total No. of Unverified Bene:	19	123,500.00
Unpaid Eligible:	157	1,020,500.00
<b>Total Refund:</b>	<b>372</b>	<b>2,418,000.00</b>

**ORGANIZATIONAL OUTCOME 4: CONTINUING COMPLIANCE OF SOCIAL WELFARE AND DEVELOPMENT (SWD) AGENCIES TO STANDARDS IN THE DELIVERY OF SOCIAL WELFARE SERVICES ENSURED**

## 11. STANDARDS AND COMPLIANCE SETTING

The Standards Section FO III is mandated to regulate Social Welfare and Development Agencies based on RA 4373 an "Act to regulate the practice of Social Work and the operation of Social Work Agencies in the Philippine and for other Purposes, as amended by Section 4 of RA 10847". Memorandum Circular No. 17, series of 2018 (Rules and Regulation on the Registration and Licensing of Social Welfare and Development Agencies and accreditation of Social Welfare and Development programs and services, Executive Order 292 (Administrative Code of 1987), Title XVI, Chapter I, Section 3. Powers and Functions, to accomplish its mandates and objectives, the Department shall:

- a. (7) accredit institutions and organizations engaged in social welfare activities and provide consultative and information services to them;
- b. (14) set standards, accredit and monitor performance of all social welfare activities in both public and private sectors.

## ASSESSMENT OF PHYSICAL AND FINANCIAL ACCOMPLISHMENT

**Table 28: Standard Setting and Compliance Monitoring Annual Targets and Accomplishment**

OUTPUT INDICATOR		ANNUAL TARGETS	ACCOMPLISHMENTS			
OO 4: Continuing Compliance of Social Welfare and Development Agencies to Standards delivery of Social Welfare Services ensured			1 <sup>st</sup> QTR	2 <sup>ND</sup> QTR	3 <sup>RD</sup> QTR	4 <sup>TH</sup> QTR
Percentage of SWAs, SWDAs and service providers with sustained compliance to Social Welfare & Development Standards						
a. Registered & Licensed SWAs	58		1	16		
b. Accredited SWDAs Level 1	18		4	2		
c. Accredited SWDAs Level 2	4		0	2		
No. of SWAs and SWDAs registered, licensed and accredited						
a. Registered Private SWDAs	15		7	6		
b. Licensed Private SWAs and Auxilliary	15		4	6		
Level 1 Pre-Accreditation Assessment of SWAs						
- DSWD-Operated Residential Facilities (THFW & TLC)	2		1	1		
- LGU-managed Facilities	2		1	1		
- Private SWAs	1		1	0		
Level 2 Pre-Accreditation Assessment of SWAs						
- DSWD-Operated Residential Facilities (AMOR)	1		0	1		
Level 3 Pre-Accreditation Assessment of SWAs						
- DSWD-operated Residential Facilities (HAVEN)	1		0	0		
No. of CRCF certified for Excellence (HAVEN)	1		0	0		
Beneficiary CSO Accredited	1		1	4		
No. of service providers accredited						
- PMC	15		13	0		
- DCWs (ECCD Services)	420		0			

Percentage of SWDAs with RLA Certificates issued within 30 working days upon receipt of complete applications	100%	27%	55%		
Percentage of detected violations or complaints acted upon within 7 working days	100%	100%	100%		



This semester, 6 SWDAs for renewal were issued their certificates of Registration and License to Operate. The Tahanang Mapagpalang Immaculada Concepcion Foundation, Inc. finally accredited Level 3 or Center of Excellence and certificate issued on June 3, 2021. The remaining deliverables on RL will be achieved by 2<sup>nd</sup> semester. ECCD targets was adjusted from 200 to 50 accredited by this semester and carry-over the remaining 370 by 2<sup>nd</sup> semester based

on approved request dated May 27, 2021. However, targets remain unaccomplished despite of several consultation with the ECCD Focal per province and Accreditors due to sudden spike of COVID cases in respective localities. Hence, 420 targets will be carried out by 2<sup>nd</sup> semester as planned with the Focal and Accreditors.



All CRCFs must be accredited by end of the year. Thus, the Regional Inspectorate Committee (RIC) that includes the Standards Section as member religiously joined the follow through visits led by ARDO, Venus Rebuldela relative to standards compliance. Visitation and inspection had been done to Tarlac Home for Women in June 4, 2021. On the other hand, the Reception Study Center for Children (RSCC) was accredited Level 3 and issued certificate by SB on March 25, 2021.

Twenty (20) SWDAs were monitored out of the 30 targets for monitoring on sustained compliance this quarter. Health protocols strictly observed by the Standards Section staff during onsite monitoring. Following our deliverables for sustained compliance, remaining targets will be carried over on the next quarter.

In addition, four (4) CSO-SLPA from the Province of Tarlac have been issued certificates.



After reviewing the registry and those SWDAs with final notices since 2019, the Standards Section strategically planned to complete the validation of their non-existence until June 2021. However, three (3) technical staff were home quarantined because of exposure to other DSWD staff with confirmed cases. To date, a total of 11 were validated with signed Certificate of Non-Existence mostly signed by the officer/member of the Board of Director/Trustee and/or Barangay Officials.

As part of policy advocacy, the Standards Section regularly attended TARA meeting and discussed policies/guidelines specifically on SWMCC and PMC accreditation and also, presented the data on accredited service providers in their respective localities as they requested during the last quarter meeting. The Capability Building Section together with TARA Focal and Standards Section tentatively scheduled the PMC Training to PMC team members from Aurora Province on July 27-29, 2021 with POPCOM Focal as resource person. We also have reviewed LSWDOs Manual of Operations in the Province of Pampanga namely Masantol and Angeles City.

**Table 29: Financial Performance of Office/Program Fund utilization of the Standards Section for the 2nd Quarter CY 2021.**

Program/Activity/Project	Allocated Budget	Obligation To Date	Utilization Rate (%)	Disbursement	Utilization Rate (%)
RO Continuing Fund	142,433.13	142,433.13	100%	100,000.00	70.20%
CO Continuing Fund	250,000.00	198,500.00	79.40% <b>NOTE:</b> Balance amounting to 51,500 was for withdrawal last May 27, 2021. No confirmation yet from CO	198,500.00	79.40%

Program/Activity/ Project	Allocated Budget	Obligation To Date	Utilization Rate (%)	Disbursement	Utilization Rate (%)
Current	794,780.00	409,194.87	42.75%	Waiting for the data from the Personnel Section re Salary of COS. <b>Cash was unable to provide the data.</b>	
<b>GRAND TOTAL:</b>	<b>1,187,213.13</b>	<b>728,344.00</b>	<b>67.24%</b>		

For the RO Continuing Fund, obligation rate reached 100% and 100,000.00 or 70.20% was disbursed. While the CO Continuing Fund, around 198,500.00 or 79.40% was obligated and the remaining balance amounting to 51,500.00 was requested for withdrawal last May 27, 2021 since the section unable to utilize the fund until end of June 2021 due to adjustment on schedule of assessment of DCWs/DCCs by 2<sup>nd</sup> semester.

For Current Fund, from the 703,548.00 allotted budget, there were additional 41,232.00 and 50,000.00 downloaded intended for Other Professional Services and Awards/Rewards Expense (total of 794,780.00). Further, utilization as to obligation have reached 409,194.87 or 42.75%. Overall, the Section have closely reached the ideal utilization rate based on the WFP and MDP.

To ensure the full utilization of funds for CY 2021, the remaining balance from Other Professional Services and Training Expense were modified to Travelling Expense for the use of the Standards Section staff in their field visits for the 2<sup>nd</sup> Semester 2021.

**ORGANIZATIONAL OUTCOME 5: DELIVERY OF SOCIAL WELFARE AND DEVELOPMENT (SWD) PROGRAMS BY LOCAL GOVERNMENT UNITS (LGUs) THROUGH LOCAL SOCIAL WELFARE AND DEVELOPMENT OFFICES (LSWDOs) IMPROVED**

## 11. TECHNICAL ASSISTANCE AND RESOURCE AUGMENTATION

The year 2021 is the transition period of the second devolution in light of the Mandanas Ruling. It is also the continuation of the 3-year TARA plan implementation. Hence, the need to strengthen the capability building program for the LGUs through the LSWDOs.

The result of the Service Delivery Capacity and Competency Assessment and the initially conducted Intermediaries Needs Assessment has been very useful for the TARA programming in the Field Office. The results were used to develop the TARA agenda that is the focus of discussion for the quarterly consultation with the LSWDOs. Capability Building Activities for CY 2021 to 2022 are also based on the



assessment result where programs and services to be developed are also included in the area that needs Technical Assistance.

Since the TARA agenda is already plotted, the second quarter consultation and Knowledge Sharing Session were conducted with the team work of all concerned offices from the DPEO and other ODSUs.

Activities to strengthen the SWDL-Net are also continuous with active participation of new sets of officers and committees.

In addition, the Regional Learning and Resource Center (RLRC) continuously serves by means of providing space for small meetings of DSWD FO III ODSUs. It is also a space for the virtual trainings being attended and facilitated by the Capability Building Sections and other ODSUs.

The Service Delivery Capacity and Competency Assessment also started officially on June 1, 2021 by means of an overall orientation to target Local Government Units.

**Table 30: Service Delivery Assessment Baseline data (Outcome Indicator)**

<b>Outcome Indicator</b>	<b>Total No. of LGUs</b>	<b>Enhanced Service Delivery (Level 1)</b>	<b>Better Service Delivery (Level 2)</b>	<b>Improved Service Delivery (Level 3)</b>	<b>Total LGU Assessed</b>	<b>Variance</b>
5.1 Percentage of LSWDOs with improved functionality						
Province	7	14.29% (1 LGU)	57.14% (4 LGUs)	29.57% (2 LGUs)	100% (7 LGUs)	0
City	14	7.14% (1 LGU)	64.29% (9 LGUs)	14.29% (2 LGUs)	85.71% (12 LGUs)	2
Municipality	116	12.93% (15 LGUs)	43.10% (50 LGUs)	1.72% (2 LGUs)	57.76% (67 LGUs)	49
<b>TOTAL</b>	<b>137</b>	<b>17</b>	<b>63</b>	<b>6</b>	<b>86</b>	<b>51</b>

**Table 31: Target vs Accomplishments on Output Indicators of Office/Program**

Output	Accomplishments					Targets	Variance	Assessment
Indicators	Q1	Q2	Q 3	Q 4	Total			
5.2 Number of LGUs assess in terms of their functionality level along delivery of social protection								
a. Province	N/A	N/A		N/A		N/A		
b. City	0	1 (50%)	-	N/A		100% (2)		The remaining LGUs will be assessed until August, 2021.
c. Municipality	0	18 (36%)	-	N/A		100% (49)		
5.3 Percentage of LGUs provided with technical assistance	115% (134)	116% (136)	-	116% (136)		85% (117)		
5.4 Number of LGUs provided with technical assistance using digital platforms along social protection	110% (129)	116% (136)		116% (136)		85% (117)		Full target achieved
5.5 Percentage of LGUs that provided TA rated as satisfactory or better	115% (134)	116% (136)		116% (136)		85% (117)		Full target achieved
5.6 Percentage of LGUs provided with resource augmentation	N/A	100% (26 )		N/A		100% of requesting LGUs		Full target achieved
5.7 Percentage of LGUs that provided RA rated as satisfactory or better	N/A	100% (26)		N/A		100% of requesting LGUs		Full target achieved

Assessment will end at the month of August targeting the remaining LSWDOs in Nueva Ecija, Pampanga, Bataan and Bulacan covering thirty-two (32) LGUs.

To better improve the Field Office technical assistance, the following activity interventions were conducted:

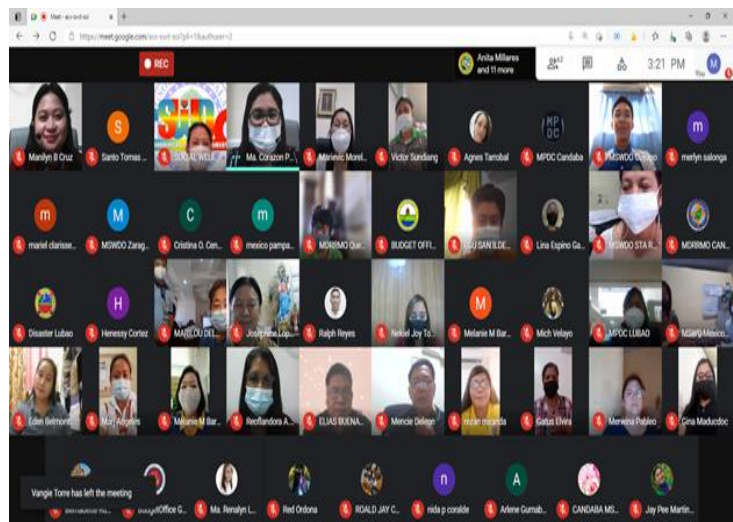
### Regional Monitoring Team Meeting

Conducted online Regional Monitoring Team meeting on April 12 and May 18 to refresh the members on the Service Delivery Assessment indicators, roles and process of assessment. Also discussed strategies to conduct the assessment despite pandemic. Meetings were attended by twenty-four (24) RMTs from DPEOs and Regional Office staff.



### Online Orientation on Service Delivery Capacity and Competency Assessment on June 1, 2021

Forty (40) out of fifty (50) LGUs invited attended the orientation. This activity aims to inculcate that the SDCCA is a mechanism of the DSWD to assess needs and analyse areas needing technical assistance. The participants composed of 40 C/MSWDOs, 20 Budget Officers, 15 LDRRM, 18 C/MPDOs, 19 HRMOs, 7 Sangguniang Bayan/committee head on social service, 5 LCE/Representatives



The activity's context setting was presented by the Regional Director whereas the tool's component and process of assessment were discussed by the TARA Focal. The schedules of assessment were finalized before the activity ended.

Out of 60 participants that evaluated the activity, 28 rated the TA outstanding, 31 very satisfactory and 1 satisfactory.



## Second Quarter TARA Consultation on Social Welfare and Development Programs and Services

The topics on the second quarter consultation were still based on the TARA agenda cull-out from the TARA plan. Below are the topics that served as the point of discussions:

- Inventory of accredited and expired licenses of PMC and SWMCC
- Presentation of PMC and SWMCC Accreditation guidelines
- Social Marketing of completed social technologies such as CARE-ABLE/ Community Action and Resources for Accessible and Better Living Environment for Persons with Disability, YAKAP Bayan and CIAGV
- RRPTP Programs and Services and Protocol and Handling Cases on child abuse, neglect and exploitation
- Refresher on Online Sexual Exploitation on Children (OSEC)
- Protocol on handling cases of child abuse and exploitation
- Presentation and Knowledge Sharing Session on the preparatory activities related to the full devolution in light of the Mandanas Ruling

**Table 32: Data on the provinces attended the consultation.**

PROVINCE	DATE	PSWDO	CSWDO	MSWDO	TOTAL
AURORA	June 14, 2021	1	0	8	9
BATAAN	June 29-30, 2021	1	1	11	13
BULACAN	June 22, 2021	1	3	20	24
NUEVE ECIJA	June 8, 2021	1	2	21	24
PAMPANGA	June 21, 2021	1	3	19	23
TARLAC	May 25, 2021	1	0	15	16
*Zambales	March 31, 2021	1	1	11	13
<b>TOTAL</b>		<b>6</b>	<b>10</b>	<b>105</b>	<b>122</b>

*\*Province of Zambales' consultation will be conducted in July.*

*\*1st quarter consultation conducted on March 30-31, 2021 was not included in the CBS first quarter report.*

Specific results were noted on the 2nd quarter TARA consultations such as:

- **Four (4)** batches of PMC training will be conducted starting last week of July to September. This is in line with the accreditation of PMC.
- **Lobbied hiring of affected staff** as a result of the upcoming full devolution in light of the Mandanas Ruling
- **Fourteen (14) LGUs** expressed their interest to **replicate the YAKAP Bayan**. These are Samal, Guagua, Lubao, Porac, Sta. Rita, Angeles City, Calumpit,

City of Malolos, Baliwag, Bulacan, Angat, Norzagaray, San Rafael and San Ildefonso.

- **Two (2)** LGUs namely Calumpit, Bulacan and Zaragoza, Nueva Ecija expressed their interest to replicate **CARe-ABLE** as completed social technology for Persons with Disabilities
- **2 LGUs** expressed their interest to replicate BuKLOD PAGLAOM para sa CICL, **3 LGUs for FDAPP**, and **1** on Sama-Bajau Localized Intervention and Learning Approach for Holistic Improvement or **SaLinLAHI**

### Orientation on Gender Based Violence in Norzagaray, Bulacan

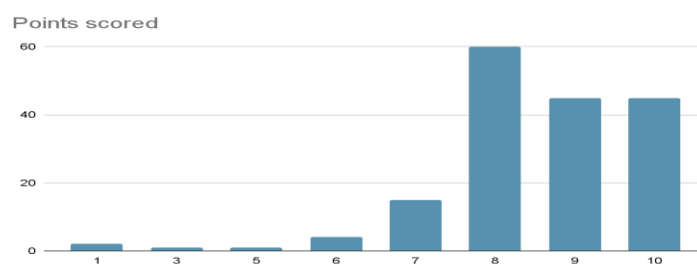
Based on the request of the LGU, the LGU of Norzagaray was provided with a Technical Assistance headed by the TARA Focal and SWO II Community-Based social worker in line with the celebration of Women's Month conducted on March 31, 2021. It was attended by the LGUs GAD TWG and Barangay VAWC. Out of 29 participants that evaluated the activity, 15 or 51.72% gave Outstanding rate and 14 or 48.28% gave Very satisfactory rating.

### Social Welfare and Development Forum: Solo Parent and PWD

This activity was conducted based on the SDCCA result that minimal innovations were noted on the implementation of the services related to the Solo Parent and Person with Disability sector. Concern on the issuance of IDs to these sectors were also encountered during the first quarter consultation with LSWDOs.

The activity conducted via google meet on April 29, 2021 where the morning session was allotted for the Solo Parent and afternoon session for PWD sector. Resource Persons were the Solo Parent focal of the Field Office, PSWDO Pampanga PDAO and the , and representatives from the DOH, DTI and DOLE.

In total, it was attended by 244 participants (191 females and 53 males) consisting of DSWD Field Office and DPEO staff, NGAs, LGUs composed of MSWDO, Solo Parent, PWD Focal/PDAO. The LGU cumulative count is 104 composed of 5 provinces, 12 cities and 87 municipalities. Out of the total participants, 174 evaluated the activity based on the evaluation form template for the forum. The overall rating was provided through the scale of 1 to 10, with an adjectival rating scale of *Very Dissatisfied to Very Satisfied*. Below is the overall rating:



## Training on Mental Health and Women Empowerment to DSWD FO III Leaders, LSWDOs and Other Partners

Last November to December 2020, the DSWD Field Office III conducted an activity entitled: “Psychosocial Support Intervention for DSWD Internal Staff and Local Social Welfare and Development Offices.” It aims to help the internal staff and the LSWDOs ventilate their challenging experiences in the implementation of SAP.

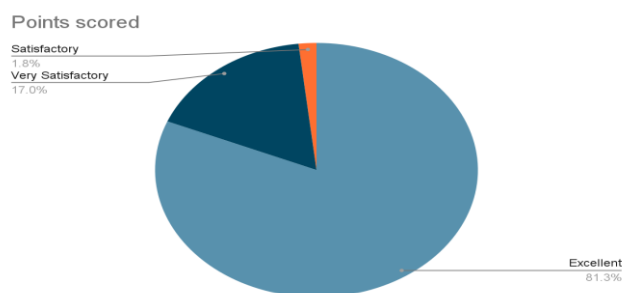
Aside from the emotional stress from the program implementation, some implementers, especially women, had physical effects such as enlargement of the heart, high blood pressure, miscarriage, and lupus. They have also felt stressed, and exhausted. Some even had anxiety.

Majority of the personnel are women. The pandemic and the implementation of SAP have taken a toll on their mental health and it affected their intrapersonal and interpersonal relationships. Because of this, the DSWD through the Capability Building Section, Policy and Plans Division conducted the activity entitled: “Mental Health and Women Empowerment for DSWD Leaders and LSWDOs.

These are two-day activities that ran in two batches: May 20-21, 2021, and May 27-28, 2021. A total of 329 participants attended the training (141 in the first batch and 188 in the second batch). The participants are DSWD leaders and Regional ABSNET representatives on the first batch, and Local Social Welfare and Development Officers and their staff, people from the academe, and medical social workers on the second batch.

The activity aims to advocate and incorporate gained knowledge in the policy formulation and program management of the Field Office. From their learnings, the participants were able to plan their next steps through their Re-entry Plan on Mainstreaming Learnings to Existing Policies and/or Office Processes.

The activity resulted in 10 re-entry plans, 7 for provinces, 1 for Field Office, 1 for ABSNET and 1 for 1 for SWDLNet and rated based on the LDI evaluation form required template.

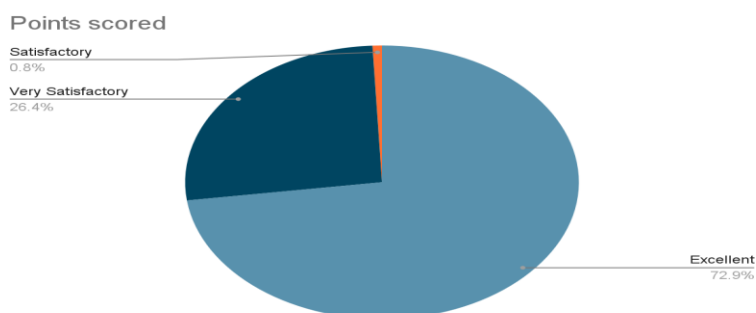


### Batch 1 Evaluation

Out of 112 participants that evaluated the activity, 91 rated the LDI excellent or 81.25%, 19 or 16.95% Very Satisfactory and 2 or 1.8% for Satisfactory

## Batch 2 Evaluation

Out of 129 total participants that evaluated the activity 94 or 72.87% rated the activity Excellent, 34 or 26.36% for Very Satisfactory and 1 or 0.78% Satisfactory



## 6. Social Welfare and Development Learning Network (SWDL-Net)

There are five activities initiated and participated by SWD L-Net members. These are Webinar on Gender and Development and Teenage Pregnancy on March 31, 2021, SWD L-Net Strengthening and Reorganization Meeting on April 8, 2021, Online Survey on DSWD Research and Evaluation Priority Agenda, 2nd SWD L-Net Committee Heads Meeting on May 14, 2021, and Ceremonial MOA Signing on June 11, 2021

The SWDL-Net Strengthening and reorganization that was conducted via blended activity was attended by 26 SWDLNet members. The result of the SDCCA and areas to improve was presented for the SWDLNet's information and its inclusion in the plan of action whether on capacity building or in research. Election of Officers and committee members were also facilitated.

In addition, the MOA signing between the SWDLNet and the Field Office represented by the Regional Director resulted in twenty-two (22) active SWDLNet members which are composed of Academe, NGA, ALSWDOPI and other SWDAs.

## 7. Online Knowledge Sharing Session on Social Protection Framework Popularization

This activity was facilitated by the CBS along with the PDPS on April 13, 2021 with the attendance of 168 (141 female, 27 male).

The KSS aims to provide understanding and appreciation of the importance of Social Protection and its significant contribution to the beneficiary targeting system and program planning; and integrate SPOF in the local development process. The SP plan was also present. Accordingly, the SP plan is a product of a series of national and regional consultations. Its objective for 2020-2022 focuses on the foundations for building a universal and transformative SP system in the country.

Activity feedback also ensured to gauge the effectiveness of the activity where 18 evaluated Outstanding, 71 for Very Satisfactory and 1 for Satisfactory.

## 8. Regional Learning and Resource Center (RLRC)

Aside from the technical assistance, the Capability Building Section is also maintaining the Regional Learning and Resource Center. The RLRC continues serving by providing a learning and meeting space for the FO ODSUs. A television is already installed for the online training and meeting presentation. The ODSUs also reserve their slot by completing the online reservation form. No reservation means no meeting policy is being practiced to ensure that accountability is installed if there will be any damage or even loss of items or materials. The ICTMS also provided a high-end computer based on request for the installation of KOHA.

## SUPPORT TO OPERATIONS AND GENERAL ADMINISTRATIVE AND SUPPORT SERVICES

### 12. SOCIAL TECHNOLOGY

The **Social Technology Unit** as the regional counterpart of the Social Technology Bureau contributes in leading the formulation of a new social technologies and in the enhancement of existing social welfare and development (SWD) programs and services for and with the poor, vulnerable and disadvantage Filipinos. It is committed to attain the Organizational Outcome 2 to promote and protect the rights of the poor and the vulnerable sectors by delivering protective programs to individuals, families, groups and communities in especially difficult circumstances, as well as support to operations.

#### Physical Target and Accomplishments:

### 13. Social Marketing Activities and Technical Assistance

With the help of modern technologies, Social Technology Unit has conducted online social marketing activity in collaboration with the Regional TARA Focal and Capability Building Section Head during TARA Meeting on March 9-10, 2021 in the province of Aurora to promote completed social technology project named CAREABLE for Persons with Disabilities. The meeting was attended by the eight (8) LSWDOs of the province. Following the discussion, the Expression of Interest (EOI) form was sent to their individual emails, and they were instructed to re-send it if they desired to replicate the said social technology project.



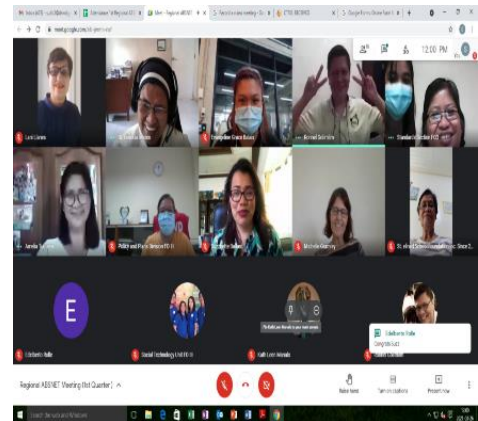


On March 17, 2021 another online social marketing activity was conducted in the province of Bulacan during the TARA Meeting. 23 LSWDOs attended the meeting. CAREABLE, a completed social technology project for persons with disabilities was discussed during the meeting. The Expression of Interest (EOI) form was sent to their respective emails immediately following the discussion, and they were instructed to re-send the EOI if they wanted to replicate the said social technology project.



Brief orientation about the Yakap Bayan Program was conducted at LGU Cabanatuan City on March 23, 2021. It was attended by the program focal and five (5) social workers under the LGU Psychosocial Intervention Unit. This also serves as a venue for the action planning of the City as part of the preparation in the program implementation. The social technology program will help them to combat the war against drugs and provide institutionalized aftercare services to their clients.

In collaboration with the Standard Unit, STU has conducted another social marketing activity thru online platform during the Regional ABSNET Meeting on March 26, 2021. Three (3) completed social technology projects were discussed such as Women Friendly Space, Comprehensive Intervention Against Gender-Based Violence and Gender Responsive Case Management. The activity has been successful that the SWDAs were able to identify social technologies that can help them to enhance especially their case management process in handling different VAW cases.



**Table 33: Targets versus Accomplishment on Output Indicator**

Output Indicator	Accomplishment					Target	Variance	Assess ment
	Q1	Q2	Q3	Q4	T			
Number of intermediaries replicating completed social technologies	2	-	-	-	2	5	4 or 40%	
Percentage of Intermediaries reached through social marketing activities								

Total no. of Intermediaries targeted	37	64	-	-	101	60	-41 or 168.33%	
No. of Intermediaries reached through social marketing activities	37	64	-	-	101	60	-41 or 168.33%	

## ASSESSMENT:

For the 1<sup>st</sup> Semester of 2021, STU promoted completed social technology during TARA Meeting. During the regional ABSNET Meeting, STU Focal and two programs focal were given a chance to discussed three completed social technology projects pertaining to women, such as Women Friendly Space, Comprehensive Intervention Against Gender-Based Violence and Gender Responsive Case Management.

The projected target number of intermediaries reached through social marketing activities has more than 165% major deviation from the actual accomplishment because of only Bulacan Province with the 23 LGUs participants attended during the 1<sup>st</sup> quarter TARA Meeting via Google meet on March 17, 2021, aside from the 1<sup>st</sup> quarter TARA Meeting through online platform of Aurora province on March 10, 2021 and Regional ABSNET Meeting on March 26, 2021 attended by 6 cluster President representing SWDAs each provinces except for the province of Nueva Ecija. 18 LGUs participants from Pampanga Province, 24 from Nueva Ecija and 12 LGUs from Zambales province attended the TARA meeting on March 18, 23&31, 2021. On June 29, 9 LGUs from Bataan Province were in attendance, and on June 30, 2021, the LGU of Moncada Tarlac was oriented on Yakap Bayan Program. Other LGUs oriented other completed social technology projects for the second semester is duplicate pax and/or participants (LGUs) from the first semester.

## 14. NATIONAL HOUSING TARGETING SECTION (NHTS)

One that is hailed as a pivotal development has been the introduction of a National Household Targeting System for Poverty Reduction popularly known as Listahanan. It was adopted as the Government's primary mechanism for targeting poor households for social protection programs in March 2010 through Executive Order 867 (EO 867). The registry of poor Filipinos across the country was used to identify beneficiaries of social protection programs such as the Pantawid Pamilyang Pilipino Program, Philhealth Universal Health Care (UHC) program, Unconditional Cash Transfer Program (UCT), among others. In fact, several Local Government Units used the Listahanan database for the implementation of their social protection and poverty alleviation programs.

It was also based on the EO 867 that allowed the DSWD to update this registry of poor households every four (4) years. Hence, on 2<sup>nd</sup> Semester of CY 2019, the National Household Targeting Section embarked the Listahanan 3 activities. It was




originally planning that the completion of the Listahanan 3 database was targeted on 1<sup>st</sup> Semester of CY 2020. However, given the problems faced by the project implementation i.e. adverse effect of Covid-19 pandemic, natural calamities and the pending approval for the financial requirements resulted for the deferment of major activities and project completion

**Table 34: Target versus Accomplishments on Output Indicators of Project**

Performance Indicator		Physical Target	Accomplishment	Variance	Assessment of Variance
21	No. of intermediaries utilizing Listahanan results for social welfare and development initiatives	N/A	N/A	0%	
	<i>a. No. of request for statistical data granted</i>	ANA	2 NGAs (DOH & OCD) & 2 Internal Office	0%	Full Target Achieved
	<i>b. No. of request for name-matching granted</i>	ANA	5,021 (SLP) & 13,847 (4Ps)	0%	Full Target Achieved
22	No. of barangays with functional Barangay Verification Team (BVT)	3,102	3,102	0%	Full Target Achieved
23	No. of cities/municipalities with functional Local Verification Committee (LVC)	130	130	0%	Full Target Achieved
24	Percentage of grievances received during validation phase resolved	ANA	384,921	12.82%	Minor Deviation

## Reasons for variance

### Outcome Indicator No. 24

-  The pending resolution of received grievances was due to non-availability of approved Sub-Allotment Allocation amounting to Php48M as a requirement for the Listahanan Validation and Finalization Phase continuation and the completion of Listahanan 3 database.
-  The NHTS was able to received 441,490 grievances from the November to December 2020. However, there are still 56,569 or 12.825 grievance intake sheet (GIS) for completion of encoding. The GIS was used during Area Supervisors receiving of complaints in order to facilitate the voluminous complainants arrived at the venues and considering that the schedule of is very limited.
-  Once the encoding has been concluded, the NHTS can proceed to the generation and printing of grievance evaluation forms. In the absence of approved budget, it is proposed that the NHTS with the assistance 130 C/MAT Leaders and C/MAT Members shall be tapped to ensure that the LVC Resolution shall be resolved immediately. The DPEO Listahan Focal and 4Ps Provincial Link will act as Team Leader of the Province to monitor the completion of resolved grievances.



**Table 35: Obligation Versus Disbursement**

Strategy/ Program/ Sub-Program/ Performance Indicator	Budget (GAA)	OBLIGATION						DISBURSEMENT					
		Amount			Percent Utilization			Amount			Percent Utilization		
		Q1	Q2	Total	Q1	Q2	Total	Q1	Q2	Total	Q1	Q2	Total
National Household Targeting System for Poverty Reduction	5,342,000.00	956,838.00	1,037,380.00	1,994,218.00	17.91%	19.42%	37.33%	868,913.00	1,111,090.00	1,980,004.00	16.26%	20.80%	37.06%

As of June 30, 2021, the NHTS received a total of Php5,342,000.00 allocated budget under GAA wherein Php1,994,218.00 or 37.33% were obligated while Php1,980,004.00 or 37.06% were disbursed.

## 15. INFORMATION AND COMMUNICATIONS TECHNOLOGY MANAGEMENT

In accordance with its primary function of providing support to the operation of the DSWD Field Office III, the **Information and Communications Technology Management Section (ICTMS)** under the Policy and Plans Division (PPD) strengthened its initiatives towards a systematized infrastructure system unit, networking, system administration and responding to requests for technical assistance over ICT-related issues and concerns. The section serves and works across units/sections/divisions, centers/institutions and Provincial Extension Offices (DPEOs) to sustain the productive application of ICTs to social welfare and development programs, projects, administration and services.

**Table 36: Target versus Accomplishment on Outcome Indicators Office**

Outcome Indicator	Accomplishment	Target	Variance	Assessment
<i>DSWD Enterprise Network with Uptime of 95 percent for FO</i>				
Percentage uptime for Field Office III	100%	95%	5%	Minor Deviation
Number of DSWD Sub- Regional Sites connected to the DSWD Enterprise Network	24	24	-	Full target Achieved

### DSWD Enterprise Network with Uptime of 95%

ICTMS FOIII maintains the uptime of the network to ensure the continuous operation of the FO. The FO monitors the uptime of servers, switches and Access point through ping info view and wireless controller. For the 1 semester, the only downtime experienced by the FO was the unscheduled power interruptions. Nevertheless, this concern was addresses with the standby generator of the Field Office.

## Number of DSWD Sub- Regional Sites connected to the DSWD Enterprise Network

FO also have 24 sub-regional sites covering the DPEOs (Pantwid), SWAD Offices and Center and Institutions. Also, the activity of the FO is monitored through the Cisco Meraki routers installed by the service provider of Central Office lone. Lost of signal and downtime are instantly report to lone for the urgent resolution of concern so that it will not hamper the operation of the sub regional site. Some offices that reported downtime but already resolved are DPEO Aurora, AMOR Village, DPEO Pampanga and Tarlac Lingap Center, DPEO Tarlac and DPEO Olongapo.

**Table 37: Target Vs. Accomplishment**

Outcome Indicator	Accomplishment	Target	Variance	Assessment
<i>Percentage/Number of Information Systems developed/enhanced and maintained</i>				
Percentage of functional information systems deployed and maintained	100%	100%	-	Full Target Achieved
Number of Information systems developed/enhanced in partnership with Business Owner	2	1	1	Major Deviation
Number of information systems maintained thru interventions and corresponding technical assistance to business owner/users	13	13	-	Target Achieved

### Percentage of functional information systems deployed and maintained

100 % of functional information systems are deployed and maintained. All deployed system of the Central Office and Locally developed by the Field Offices are regularly maintained by ensuring their uptime to ensure the smooth operation of the Field Office.

### Number of Information systems developed/enhanced in partnership with Business Owner

For 2021, the commitment of ICTMS is to enhance and maintain 1 Information System. Currently, the Information System enhanced is the inclusion of status of employment and funds source in the printing of DTR in the Human Resource Management Information System. In the 2<sup>nd</sup> Quarter, the features of the ITSTS has

been enhanced with the inclusion of inventory access of PPE and ICS equipment and including user of the ICT Equipment.

### Number of information systems maintained thru interventions and corresponding technical assistance to business owner/users

Currently, ICTMS is maintaining 13 databases and servers. (7) seven are hosted by the Central Office in which the field office monitors the uptime of servers and instantly report to Central Office if there are downtime or servers are inaccessible. While, (6) six were hosted and maintained by the Field Office.

**Table 38: Financial Allocation, Obligation Versus Disbursement**

Account Code	Allocated Budget	Obligations	Disbursement	Utilization Rate (%)	
				Obl.	Disb.
Other Professional Services	904,025.20	904,025.20	904,025.20	100%	100%
<b>TOTAL - ICTMS/CMF-RO CONTINUING</b>	<b>904,026.20</b>	<b>904,026.20</b>	<b>904,026.20</b>	100%	100%
Info and Communication Technology Equipment	3,000,000.00	-	-	0.00%	0.00%
Other Professional Services	4,062,412.00	3,910,853.50	3,910,853.50	96.27%	96.27%
RM – Buildings	3,500,000.00	-	-	0.00%	0.00%
RM - ICT Equipment	250,000.00	-	-	0.00%	0.00%
RM - Semi Expendable - ICT Equipment	250,000.00	-	-	0.00%	0.00%
Representation Expenses	60,000.00	-	-	0.00%	0.00%
Semi Expendable - ICT Equipment	500,000.00	-	-	0.00%	0.00%
Training Expenses	87,640.00	-	-	0.00%	0.00%
Travel Expenses-Local	197,920.00	16,858.00	16,858.00	8.52%	8.52%
<b>TOTAL - ICTMS/CMF - CURRENT</b>	<b>11,907,972.00</b>	<b>3,927,711.50</b>	<b>3,927,711.50</b>	<b>21.49%</b>	<b>21.49%</b>
Other Professional Services	243,888.48	243,888.48	243,888.48	100%	100%
Info and Communication Technology Equipment	3,000,000.00	-	-	0.00%	0.00%
<b>TOTAL - ICTMS/CMF -C.O CONTINUING</b>	<b>3,243,888.48</b>	<b>243,888.48</b>	<b>243,888.48</b>	<b>7.52%</b>	<b>7.52%</b>
<b>TOTAL CMF – CURRENT AND CONTINUING</b>	<b>16,055,886.68</b>	<b>5,075,626.18</b>	<b>5,075,626.18</b>	<b>31.61%</b>	<b>31.61%</b>

For the first semester, 2021, the funds of ICTMS is 13,055,886.68 with the following breakdown into CMF – RO Continuing of 904,026.20, CMF Current 8,907,972.00 and CMF – CO Continuing of 3,243,888.48. On the 2<sup>nd</sup> quarter Central Office downloaded additional 3,000,000.00 current funds for the ICT Equipment of the Agency Operation Center (AOC) in total ICTMS has 16,055,886.68 funds for 2021.

The CMF – RO Continuing funds of 904,026.00 has been requested for reallocation into other professional service to augment for the salary and wages of Budget and Treasury Management System (BTMS) Staff, requested creation of Computer Programmer III, and Administrative Assistant II. Request of reallocation has been submitted to Central Office last March 1, 2021. To date the continuing 904,026.00 of ICTMS has been 100% obligated by the budget section.

For the 2021 CMF Current Funds of 11,907,972.00, 32.98% 3,927,711.50 was already obligated. The 3,500,000 RM Building has completed the pre-procurement process last June 25, 2021 and pre-bidding is for scheduling of procurement. The proposal for the 500,000 semi expendable ICT equipment and 250,000 RM ICT Equipment has been submitted to the procurement section for inclusion in the centralized procurement of 2<sup>nd</sup> semester. Also, the funds for representation expenses (60,000), Training Expensed (87,640.00), Travel Expenses- Local (181,062.00) and portion of the RM Semi Expendable ICT equipment (100,00.00) are requested for reallocation to Office Fixtures and Furnitures for the AOC and ICTMS Office. A total of 478,702 is requested for reallocation. Lastly, the additional 3,000,000.00 funds proposal has been finalized and is for approval of the management.

In addition, Central Office downloaded 3,243,888.48 continuing funds for the salary of the created Administrative Assistant II (243,888.48) and Purchase of ICT equipment for the establishment of the Agency Operation Center (3,000,000.00). For the 3,000,000.00 Capital Outlay the Opening of bids was completed last June 23, 2021. The end user is waiting for the result of Post Qualification of Service Provider. While the 243,888.48 has been 100% obligated by the Budget Section.

The overall utilization of funds for 1<sup>st</sup> semester 2021 of ICTMS is 31.61 percent. Nevertheless, ICTMS has earmark of 7,580,139.12 proposal and projects. It is expected to have around 78.82% obligation by 3<sup>rd</sup> quarter 2021.

## **16. INTERNAL AUDIT**

The DSWD Internal Audit Charter 2019 (1st Edition) signed by Secretary Rolando Joselito D. Bautista states "With the approval and adoption of the Internal Auditing Standard for the Philippine Public Sector (IAS-PPS) under COA Resolution No. 2018-007 dated February 01, 2018 and issuance of COA Circular No. 2018-003 dated November 21, 2018 prescribing the use of the IAS-PPS, the Internal Audit Service (IAS) deemed it appropriate to craft the Internal Audit Charter (IAC) to conform with the requirements of the Standards".

Further, the purpose of DSWD-IAS is to provide independent, objective assurance and advisory activity designed to add value and improve the department operations. It helps DSWD accomplish its objectives by bringing a systematic disciplined approach to evaluate and improve the effectiveness of governance, risk management and control processes in its OBSUs, FOs and Attached/Supervised Agencies, in compliance to the IAS-PPS.

Secretary Corazon Juliano Soliman issued memorandum dated March 21, 2011 stating that the MAA is the counterpart of the IAS in the FOs. As counterpart of the IAS in the FOs, the MAAs assist management in all matters related to operations and management control through the independent appraisal of the adequacy and effectiveness of internal controls conducting management and operations audits to add value and improve the operations of the FOs.

Hereunder are the accomplishments of the IAU for the period January 1 to June 30, 2021:

- ✚ Consolidated the Integrity Compliance Report (iCORE) for the 4th Quarter 2020 and the 1<sup>st</sup> Quarter 2021 with summary based on the updates of the eight (8) IMP focal persons and submitted these to the IMC-CO thru email last January 6, 2021 and April 12, 2021, respectively
- ✚ Started the consolidation of the 2<sup>nd</sup> Quarter 2021 iCORE for submission to the IMC-CO on or before July 14, 2021
- ✚ Prepared FO's responses to the Internal Audit Observation Memoranda (IAOM) relative to the Operations Audit on the 1<sup>st</sup> Tranche SAP implementation of the IAS, in coordination with concerned officials and technical staff, and submitted these to the IAS last January 20, 2021
- ✚ Prepared the Agency Action Plan and Status of Implementation (AAPSI) on the Consolidated Annual Audit Report (CAAR) in coordination with the concerned officials and technical staff which was submitted to the CO's Management Division last February 5, 2021
- ✚ Submitted the minutes of the meeting last February 17, 2021 re: AAPSI and Status of Implementation of Prior Years Recommendations (SIPYAR) on the CY 2020 Management Letter and submitted this to the ARDA and RD last February 19, 2021
- ✚ Consolidated the AAPSI and SIPYAR re: CY 2020 Management Letter in coordination with concerned officials and technical staff and submitted these to the Resident Auditor last March 13, 2021
- ✚ Facilitated/made preparations for the conduct of the Exit Conference of the Resident Auditor on the CY 2020 Audit of Accounts and Transactions of the FO last March 18, 2021 and submitted the draft minutes of the activity on March 25, 2021
- ✚ Consolidated the updates re: CAAR 2019 as of April 15, 2021 and submitted to the FMS in compliance to USEC Pamonag's memo with deadline on or before April 30, 2021
- ✚ Submitted last May 10, 2021 to the Resident Auditor the AAPSI for the CY 2020 Management Letter (ML) and the SIPYAR
- ✚ Submitted the Management Comments on the SAOR re: CAAR 2020 to the Management Division of CO last June 11, 2021

- ✚ Started the audit on the Management, Utilization and Replenishment of Petty Cash Funds with the submission of the Audit Engagement Plan (AEP) last May 24, 2021, issuance of a Notice to Audit last May 28, 2021, conduct of a Virtual Open Conference last June 8, 2021 with powerpoint presentation and preparation of audit tools
- ✚ Joined the ISO Walkthrough Audit on 16 processes conducted by the DSWD ISO Consultant and the feedbacking and re-orientation on ISO 9001:2015 held on June 25, 2021
- ✚ Made preparations for the IQA as Audit Team Lead through coordination with IQA Team at the Central Office on the conduct of a virtual orientation with the FO's IQA Team Members using the prescribed templates likewise attended the FO's QMT Meeting last May 25 and June 28, 2021
- ✚ Attended and documented RD's Meeting with the RJJWC and RSCWC Technical Staff last May 19, 2021
- ✚ Made preparations for the RAGAC Meeting last March 3, 2021 re: SLP Skills Training Projects in DRT, Bulacan amounting ₱3,346,000.00 and submitted minutes of the meeting to the ARDA and RD last March 5, 2021
- ✚ Submitted last May 17, 2021 an assessment report on the Field Office's Perpetual Inventory System and Centralized Procurement System
- ✚ Prepared minutes of twelve (12) meetings regarding the unliquidated balances of six (6) NGOs re: PDAF fund transfer which were submitted to the ARDO and RD also, made necessary actions as assigned
- ✚ Prepared list of the CoopBank Center for Development Foundation, Inc. (CCDFI) beneficiaries and affidavit for the signing of an affidavit as proof of their receipt of capital assistance for the full liquidation of PDAF fund transfer to said NGO
- ✚ Provided inputs on the draft regional guidelines re: Solid Waste Management last March 11, 2021
- ✚ Submitted last May 21, 2021 the Report on the Monitoring of Collections and Deposits for the period January and February, 2021
- ✚ Attended the IAS training on the Preliminary Survey & Risk Control Assessment and Remote Auditing last May 31 to June 4, 2021
- ✚ Submitted the draft OFD DPC for CY 2021 to the Policy and Plans Division last March 12, 2021
- ✚ Submitted the Records Disposal Request for CY 2021 last January 20, 2021 likewise the Report of Unserviceable PPEs and semi-expendable properties last March 16, 2021
- ✚ Submitted the CY 2020 IPCR, CY 2021 IPC and IDP of the undersigned and IAU's support staff likewise the SALN as of December 31, 2020
- ✚ Acted as OFD Coordinator and farmed out communications/disseminated information/directives for the appropriate action of OFD Heads and technical staff
- ✚ Acted on directives/communications from the Regional Management, IAS, COA and other offices as necessary.



## 17. SOCIAL MARKETING

The **Social Marketing Unit or SMU** is the prime office that leads, manages, and promotes the brand of the agency. It develops, implements, and evaluates advocacies and communication campaigns that will raise awareness of the public on DSWD programs and services, transfers knowledge to the same, and modifies the public's behavior towards their own welfare and to the department.

**Table 39: Targets Versus Accomplishment**

Indicator	Accomplish-ments	Targets	Variance
1. Percentage of stakeholders informed on DSWD programs and services	98.5%	85%	13.71%
2. Number of social marketing activities conducted			
a. Information caravans	9	4	56%
b. Issuance of press releases	12	6	50%
c. Communication campaigns	1	1	1
d. Number of IEC materials developed	125	15	88%

## 18. HUMAN RESOURCE AND DEVELOPMENT

### A.1 HRPPMS - Performance Management

#### **Regional Performance Management Team Meeting (PMT)**

The second PMT meeting for CY 2021 was conducted last May 6, 2021 at the Office of the Assistant Regional Director for Administration. It was spearheaded by the Chairperson, OIC-ARDA Maribel M. Blanco together with the IPC & OPC secretariat from HRPPMS and Policy and Development Planning Section. The meeting was attended by five (5) members (5 females).

The HRPPMS secretariat provided a rundown on the 2021 Work and Financial Plan of RPMT for evaluation and approval of the Chairperson. The 2021 Work and Financial Plan detailed the following:



- Concern/s and objective/s of the RPMT in ensuring the alignment of the office plans and commitments to the overall organizational outcome;
- Strategies in conducting the four (4) phases of the DSPMS cycle at par with the designated timeline provided by the CO-counterpart;
- Expected outputs of the strategies or activities that will be conducted this 2021

### **Continuous monitoring of DSPMS Implementation**

The HRPPMS has been taking actions to ensure that the Performance Management System is being implemented throughout the Field Office, including the Extension Offices and Centers/Institutions.

### **CY 2021 1st Semester Individual Performance Checkpoint**

As part of the DSPMS cycle under Phase II, the HRPPMS secretariat issued a memorandum addressed to all offices last April 19, 2021, instructing all employees to prepare their Performance Checkpoint form (as applicable). All immediate supervisors were encouraged to provide sufficient guidance and support to their subordinates/staff as it is a venue for feedback and clarification of performance expectations.

### **CY 2021 1st Semester Individual Performance Contract Review**

Following the DSPMS timeline provided by the CO-counterpart, the HRPPMS issued a memorandum last June 23, 2021 signed by the Regional Director, instructing all personnel to their CY 2021 1<sup>st</sup> Semester IPCR.

Consequently, the HRPPMS complied with the preparation of Summaries of Performance Ratings of DSWD Field Office III Rank and File (1,617 personnel), and seven (7) Divisions through constant coordination with DSPMS Focal Persons. The summaries were presented to the Regional Director for her approval and immediately forwarded to HRMDS-CO dated June 9, 2021.

### **Completion of Requirements of the Newly Promoted Contractual Employees**

In relation to the completion of requirements, the HRMPPS took the initiative in providing the 2020 1<sup>st</sup> and 2<sup>nd</sup> Semester IPCRs copies of the newly promoted employees.

### **INNOVATIONS/ GOOD PRACTICES AND HINDERING FACTORS/PROBLEMS ENCOUNTERED**

<b>FACILITATING FACTORS/GOOD PRACTICES</b>	<b>HINDERING FACTORS / PROBLEMS ENCOUNTERED</b>
Centralized and ensuing recruitment process	Applicants waiving the position once hired which leads to repetition of hiring process

FACILITATING FACTORS/GOOD PRACTICES	HINDERING FACTORS / PROBLEMS ENCOUNTERED
Proficient in crafting of comprehensive minutes of the deliberation / resolutions	Urgent positions needed to be filled-up which affects the filling-up of other vacancies
Mastery/expertise in the field of specialization	Setting of timeline / schedule of panel interview due to conflict of schedule of PSB members
Efficient in addressing queries and other concerns of the applicants/clients	Occurrence of delay in filling up of job vacancies due to not qualified applicants (do not meet 75% of partial rating) especially for higher level positions
Proficient in the administration of different testing materials and skill-based examinations	Unavailability of testing room to accommodate at least the examinees stationed at the Regional Office
Systematic in filing, encoding, and checking of exam results	Simultaneous occurrence of job vacancies
Capable of doing multiple/simultaneous tasks at a given time	Delayed checking of skill- based examination
Coordinates effectively with concerned staff from various Division/Department or external affiliates intended to accomplish tasks	Scheduled activity (i.e pooling, exam, interview) may compromise due to urgent work / simultaneous occurrence of activities that overlaps with other tasks

## HR HELPLINE/KAMUSTAHAN

The HRMDD activated the HR HELPline for all the DSWD FO III employees who were subjected for 14-day Home Quarantine due to exposure or had direct contact related to COVID 19. Its primary goal is to conduct contact tracing and monitor the physiological and psychological status of the employees through *Kamustahan*. As to date, HR HELPline reached 710 employees regionwide.

Furthermore, the HR HELPline is open for all DSWD employees, this serves as an avenue for the employees to share and elevate their thoughts and sentiments during the COVID 19 pandemic. In addition, this is an opportunity as well for the HRMDD in attending on the welfare and Mental Health of the staff and extend the care and support of the management to the employees specially the Frontliners. All elevated concerns are treated with full confidentiality.

## **Issuance of Birthday Cards**

A total of 197 Birthday Cards from January to June were issued to 114 or 57.87% female employees and 83 or 42.13% male employees.

## **10 AM/ 2PM HABIT**

As we face the COVID-19 pandemic, the HRMDD came up with the initiative to modify the 10AM/2PM Habit from playing music to a reminder on the safety protocols for COVID-19 while inside the office via Public Address System. In this way, employees are constantly reminded and encouraged to practice the safety health protocols to ensure safety from the COVID-19 virus.

## **12NN PRAYER**

At present, more people are finding inspiration and fulfilment in their jobs by bringing their spirituality into the workplace. The nature and meaning of work are undergoing a profound evolution and the emergence of spirituality in the workplace points to the desire that there be more to work than just survival. We yearn for work to be a place in which we both experience and express our deep soul and spirit.

The Human Resource Welfare Section continues the regular 12nn corporate prayer of Angelus via the Public Address System which covers the whole facility of the DSWD Regional Office.

## **PROVISION OF COUNSELING SERVICE AND KNOWLEDGE SHARING ON MENTAL HEALTH TO STAFF**

The DSWD FO III as an agency who is mandated to implement social welfare services to individuals, also pledges itself to raise awareness on mental health issues, correct the stigma and discrimination associated with mental health conditions, identify and provide support for employees at risk, and facilitate access of employees with mental health conditions to treatment and psychosocial support. Hence, the HRMDD Welfare Section offers counseling to all employees who need this kind of support. To this date, 1 employee was provided counselling service through the Agency's Psychologist.

Currently, the counselling service is put on hold in the absence of a psychologist; however, a support group composed of volunteers trained in counselling is being established. The HRMDD is currently calling for volunteers through the memorandum issued dated June 30, 2021.

In addition, the Sustainable Livelihood Program (SLP) partnered with HRWS in the conduct of quarterly mental health learning sessions. Each quarter, a specific type of self-care is being discussed and SLP personnel are taught some techniques they can use to maintain or improve their mental health that can also help them boost their work productivity.

Ms. Klara Patricia Laureta served as the resource speaker for the Mental Self-care topic on March 30, 2021 while Ms. Nancy Lynne Mendoza talked about Emotional Self-Care last June 29, 2021.

## OTHER ACCOMPLISHMENTS

1. Enrolled 49 staff to the Biometrics
2. Issued 446 Certificates of Employment for permanent, contractual and MOA workers
3. Processed 23 Vouchers for Terminal Leave of resigned/retired staff
4. Prepared 3 certificates of unused leave credits of transferred regular/contractual staff
5. Confirmed 329 GSIS loan applications of staff
6. Updated 213 Service Records of staff relative to the increase in their salaries;
7. Updated the Personal Services Itemization and Plantilla of Personnel (PSIPOP) as of June 28, 2021
8. Facilitated application for the Philhealth Number and certification of payment of 47 COS/JO workers
9. Prepared 15 Notices of Salary Increment (NOSI)
10. Prepared 785 Notices of Salary Adjustment (NOSA)

## 19. FINANCIAL MANAGEMENT

The **Finance Management Division** of the DSWD Field Office III consist of 3 Sections, the Accounting Section, the Budget Section, and the Cash Section. The Division collectively aims to evaluation and assessment of Internal Financial Management Reforms vis-à-vis compliance with oversight regulatory and safety measures to ensure the effectiveness to support operations. The Division also commits to conduct strategic audits as part of Internal Audit Plan thru updated and continuing Internal Audit Practices in conformity with the Philippine Internal Auditing Standards in order to ensure transparency, accountability, and good governance.

**Table 40: Percentage of Actual Obligations Over Actual Allotment**

Outcome Indicators	Accomplishments	Targets	Variance	Assessment
Actual Obligations Over Actual Allotment	1,736,353,428.49 / 3,605,870,617.10 or <b>48.15%</b>	30% (end of 1 <sup>st</sup> Semester)	18.15%	Minor Deviation

As of June 30, 2021, the Agency was able to utilize 43.73% of Direct Release Funds and 50.61% of Centrally Managed Funds resulting to a **48.15% or Php 1,736,353,428.49 out of Php 3,605,870.617.10** was obligated. This overshoots the target of 30% utilization of Funds by a variance of 18.15% for the 1st Semester.

DSWD FIELD OFFICE III SUMMARY OF UTILIZATION (Over-all) - as of June 29, 2021				
DIRECT RELEASES				
CLASS	ALLOTMENT/ APPROPRIATION	OBLIGATIONS INCURRED	BALANCE	%
PS	130,457,212.53	60,905,056.51	69,552,156.02	46.69%
MOOE	1,156,428,416.30	501,829,540.67	654,598,875.63	43.39%
<b>TOTAL DR</b>	<b>1,286,885,628.83</b>	<b>562,734,597.18</b>	<b>724,151,031.65</b>	<b>43.73%</b>
CENTRALLY MANAGED FUNDS				
CLASS	ALLOTMENT/ OBLIGATIONAL AUTHORITY	OBLIGATIONS INCURRED	BALANCE	%
PS	277,904,862.00	171,844,194.92	106,060,667.08	61.84%
MOOE	2,011,932,310.18	983,088,820.30	1,028,843,489.88	48.86%
CO	29,147,816.09	18,685,816.09	10,462,000.00	64.11%
<b>TOTAL CMF</b>	<b>2,318,984,988.27</b>	<b>1,173,618,831.31</b>	<b>1,145,366,156.96</b>	<b>50.61%</b>
<b>GRAND TOTAL</b>	<b>3,605,870,617.10</b>	<b>1,736,353,428.49</b>	<b>1,869,517,188.61</b>	<b>48.15%</b>

Output Indicator	Allotment	Obligations	Util. Rate	Target	Variance
Actual Obligations Over Actual Allotment (101)	3,584,620,108.62	1,736,353,428.49	48.44%	30%	18.44%
Actual Obligations Over Actual Allotment (102)	21,250,508.48	-	0%	30%	-30%
Actual Obligations Over Actual Allotment (104)	-	-	-	30%	-

CO Continuing Funds				
P/A/Ps	ADJUSTED ALLOTMENT	OBLIGATIONS	BALANCE	UTILIZATION RATE
ICTMS	3,243,888.48	243,888.48	3,000,000.00	7.52%
SocTech	43,000.00	-	43,000.00	0.00%
NHTS	915,337.91	660,003.39	255,334.52	72.10%
SLP	11,435,000.00	11,435,000.00	-	100.00%
KC-KKB	12,900,624.94	8,665,578.83	4,235,046.11	67.17%
PSP AICS	9,699,800.00	4,811,977.00	4,887,823.00	49.61%
PSPAICS NBC 580	268,131,500.00	239,055,887.26	29,075,612.74	89.16%
UCT	4,454,998.76	491,572.95	3,963,425.81	11.03%
DRRP-DF	1,482,500.00	-	1,482,500.00	0.00%
STANDARD	250,000.00	198,500.00	51,500.00	79.40%
PSP AICS-Others 699	180,054,500.00	176,443,103.50	3,611,396.50	97.99%
Calamity Fund - QRF	3,000,000.00	3,000,000.00	-	100.00%
<b>TOTAL</b>	<b>495,611,150.09</b>	<b>445,005,511.41</b>	<b>50,605,638.68</b>	<b>89.79%</b>

As of June 30, 2021 the Agency was able to utilize 89.79% of CO-Continuing funds, the remaining 10.21% are from KC-KBB where the object code of mobile allowance has yet to be utilized due to approval of RSO, ICTMS where the 3M balance is under procurement process, SocTech whose funds are intended to be utilized this July, and Standards whose balance, along with other CO-Continuing funds are intended for NBC 586, the FO is currently waiting for the withdrawal allotment from CO for 100% utilization of these balances.

<b>RO Continuing Funds</b>				
<b>P/A/Ps</b>	<b>ADJUSTED ALLOTMENT</b>	<b>OBLIGATIONS</b>	<b>BALANCE</b>	<b>UTILIZATION RATE</b>
GASS	838,216.99	838,216.99	-	100.00%
ICTMS	904,026.20	904,026.20	-	100.00%
SocTech	334,725.00	334,725.00	-	100.00%
NHTS	69,967.39	69,967.39	-	100.00%
Pantawid	7,518,280.79	7,394,157.79	124,123.00	98.35%
SLP	882,767.14	882,767.14	-	100.00%
SLP LAG	562.00	562.00	-	100.00%
Centers	3,237,364.17	3,237,364.17	-	100.00%
CentersCRCF	1,800,038.76	1,800,038.76	-	100.00%
SFP	11,809,142.61	11,808,182.66	959.95	99.99%
SocPen	6,046,798.00	6,046,798.00	-	100.00%
Centenarian	35,531.17	35,531.17	-	100.00%
PSF Adoption	235,068.96	235,068.96	-	100.00%
PSF CommunityBased	40,648.00	40,648.00	-	100.00%
PSP AICS	7,904,268.45	7,904,268.45	-	100.00%
PWD	46,640.00	-	46,640.00	0.00%
Compre	11,671.81	11,671.81	0.00	100.00%
UCT	4,604,541.07	4,420,285.51	184,255.56	96.00%
SWATO	297,733.57	-	297,733.57	0.00%
RRPTP	261,373.39	261,373.39	-	100.00%
DRRP-CC	366,526.33	366,526.33	(0.00)	100.00%
DRRP-DF	894,636.63	894,636.63	-	100.00%
QRF	1,210,055.42	941,066.94	268,988.48	77.77%
STANDARD	142,433.13	142,433.13	-	100.00%
TARA	3,702,387.14	3,702,387.14	-	100.00%
TrainingProg	39,500.00	39,500.00	-	100.00%
PSIF SAP B2 SARO 18170	8,658,000.00	6,779,500.00	1,878,500.00	78.30%
PSP AICS-Others 5534	5,788,395.05	5,788,395.05	-	100.00%
PSP AICS-Others 6998	9,177,500.00	9,177,500.00	-	100.00%
CalamityFund - QRF	1,457,000.00	-	1,457,000.00	0.00%
KC-NCDDP GOP	557,291.69	-	557,291.69	0.00%
KC-NCDDP LP	257,423.87	-	257,423.87	0.00%
<b>TOTAL</b>	<b>78,315,799.17</b>	<b>74,057,598.61</b>	<b>4,258,200.56</b>	<b>94.56%</b>

The utilization of Field Office Continuing funds is now at 94.56% with a balance of Php 4,258,200.56 only. These remaining balances are mainly from Pantawid which appropriation are currently under procurement process and waiting for Purchase Order, this is same with Quick Response Funds which balances are currently under bidding process, whilst PWD/OP which funds are subjected for modifications to subsidies (assistive devices), and lastly SWATO savings are intended for the funds augmentation on NBC 586.

**Table 41: Actual Disbursement over Actual Obligations**

<b>Outcome Indicators</b>	<b>Accomplishments</b>	<b>Targets</b>	<b>Variance</b>	<b>Assessment</b>
Actual Disbursement over Actual Obligations	1,596,454,386.31 / 1,736,353,428.49 or 91.94%	80% (end of 1 <sup>st</sup> Semester)	11.94%	Minor Deviation

The Finance Management Division was able to record 91.94% Disbursement rate over Actual Obligations or **Php 1,596,454,386.31** out of **Php 1,736,353,428.49** was disbursed.

Output Indicator	Obligations	Disbursement	Rate	Target	Variance
Actual Disbursement over Actual Obligations (101)	1,736,353,428.49	1,596,454,386.31	91.94%	80%	11.94%
Actual Disbursement over Actual Obligations (102)	-	-	-	80%	-
Actual Disbursement over Actual Obligations (104)	-	-	-	80%	-

The table above shows that target percentage of actual disbursements over actual obligations for Fund Cluster 01 is **80% at the end of the year** in which the agency achieved the target with actual percentage of **91.64%** to date. As of June 30, 2021, total disbursement is **₱ 1,596,454,386.31** over the total obligation amount of **₱ 1,742,079,359.32**. The variance pertains to amount of DVs on-process between the Finance Sections and Program Owners.

As of June 30, 2020, the Finance Sections reached the **100.00%** cash utilization rate. Lapsed amount as of 1<sup>st</sup> quarter of CY 2021 is only **₱ 348.71** and **₱ 117.31** for 2<sup>nd</sup> quarter 2021 aggregating to **₱ 466.02**, with summary as follows:

**Table 42: Percentage of Advances to Officers and Employees Versus Actual Liquidated**

Output Indicator	Granted	Liquidated	Rate	Target	Variance
Advances to Officers and Employees	-	-	-	20% (end of 1 <sup>st</sup> Semester)	-

For the 1<sup>st</sup> semester, no cash advances were issued to officers and employees of the Agency, this is due to the suspension of issuance of CAs to Officers and Employees for the year except to the COA officials and emergency cases.



Output Indicator	Granted	Liquidated	Rate	Target	Variance
Advances to SDOs (current year)	2,140,118,199.00	329,011,500.00	15.37%	30% (end of 1 <sup>st</sup> Semester)	-14.63%

For Current year cash Advances, total amount of CA issued to SDOs is **₱ 2,140,118,199.00** of which **₱ 329,011,500.00** or **15.37%** was liquidated as of June 30, 2021.

Out of the 160 cash advances granted to SDOs this 1<sup>st</sup> Semester, amounting to **₱ 2,140,118,199.00**, only **₱ 701,109,500.00** or **32.76%** are already due and demandable of the total amount granted and out of the **₱ 701,109,500.00** due for liquidation, **₱ 191,943,000.00** or **27.38%** were already liquidated as of June 30, 2021.

The Finance Management Division, in behalf of the Regional Director, issues monthly demand letters for the liquidation of over-due cash advances where a total of 18 demand letters were forwarded to concerned SDOs from Jan- June 2021. As of to date, the remaining overdue balance of **₱ 509,166,500.00** is subject for issuance of demand letter this July 2021.

Output Indicator	Granted	Liquidated	Rate	Target	Variance
Advances to SDOs (previous year)	1,908,583,114.68	1,908,583,114.68	100%	100% (end of 1 <sup>st</sup> Semester)	Full Target Achieved

The Agency was already able to liquidate its issued cash advances to Special Disbursing Officers for the previous years.

Output Indicator	Granted	Liquidated	Rate	Target	Variance
Inter-agency Transfer of Funds (LGU – Current year)	-	-	-	20% (end of 1 <sup>st</sup> Semester)	-

As of this day, no inter-agency transfer of funds was issued to Local Government Units.

Output Indicator	Carry-over Balance as of Dec 2021	Liquidated	Rate	Target	Variance
Inter-agency Transfer of Funds (LGU – Prior years)	934,512,143.91	847,048,043.25	90.64 %	20% (end of 1 <sup>st</sup> Semester)	70.64%

As of June 30, 2021, the agency reached **₱ 847,048,043.25** or **90.64%** on liquidation of carry-over balance of **₱ 934,512,143.91**. This accomplishment can be attributed to the strict implementation of the Regional Memorandum Circulars and regional policies on transfer of funds and combined efforts all DSWD staff on the preparation and submission of liquidation reports to the Division.

For the remaining LGUs with balances, the Accounting Section and Program Implementers planned to conduct of field visit to the LGUs with unliquidated balance this 3rd quarter of CY 2021 as required.

Output Indicator	Carry-over Balance as of Dec 2021	Liquidated	Rate	Target	Variance
Inter-Agency Transfer of Funds (NGA – Prior Years)	44,635,154.91	34,490,247.16	77.27%	30% (end of 1 <sup>st</sup> Semester)	47.27%

The remaining carry-over balance of Inter-Agency Transferred Funds to NGAs for prior years amounting to **₱ 44,635,154.91** which refers to the remaining balance of transfer to two (2) *SUCs* amounting to **₱ 10,248,885.25**, the additional transfer of funds to three (3) *Philippine Carabao Centers* amounting to **₱ 34,185,912.00**, and the *Lung Center of Philippines* amounting to **₱ 200,357.66**.

The balances of Lung Center of Philippines was refunded to the Regional Office last February 26, 2021 which reflects the liquidation rate of 0.45%. To date, remaining unliquidated balance is **₱ 10,144,907.75** or **22.73%**.

#### ➤ PERCENTAGE OF AOM RESPONDED WITHIN TIMLINE

Of the 11 AOMs received in the first quarter of 2021 for financial audit of CY 2020 financial statements, ten (10) were with concerns and responded by the FMD of which **50%** of the AOM Commitments were already complied and still on-going on the compliance within the timeline.

For the 1Q of CY 2021, the remaining balance for disallowance for Hazard Pay with ND No. 15-05-101 (13)/02/12/15 amounting to ₱ 71,293.91 pertaining to balances of four (4) retired staff were remitted to Bureau of Treasury last March 11, 2021 with their balances with details as follows:

1. Felina Villajuan – 20,573.25
2. Angelita Sanga – 21,603.12
3. Irene Buhol – 18,750.68
4. Willian Nepison – 10,366.86

**Grand Total – P 71,293.91**

Last December 04, 2020, a memorandum and authority to deduct form was issued to concerned primary and secondary liable persons to settle the remaining balance of disallowance thru payroll deduction of CNA or voluntary cash payment to Cash Section until the end of December 2020.

Based on records, there are seven (7) staff considered as primary liable of which share is doubled the amount of share and one hundred (100) staff considered as secondary liable requiring the collection of their share on hazard pay amounting to ₱ **1,250.78** and ₱ **625.39** respectively which payment was made until the end of the year 2020. Settlement of this balance will be reflected in the Statement of Settlement of Disallowance as of March 31, 2021.

While other two (2) NDs are on appeal with ND No. 15-04-101 (13)/02/05/15 and 16-01-101 (15)/7/15/16 for Payment of NFA and Skills Training in Talbak and Camachin, DRT, Bulacan amounting to P 383,500.00 and P 2,466,000.00 respectively.

Also, additional outstanding disallowances amounting to P 2,522,270.00 issued prior to the effectivity of the Rules and Regulations on the Settlement of Accounts (RRSA) which had become final and executory.

Despite diligent efforts to locate all relevant documents only soft copy of the Report of Analysis of Disallowances and Charges as of June 30, 2011 is available as reference.

## **20. GENERAL ADMINISTRATIVE AND SUPPORT SERVICES (GASS)**

The Administrative Division is responsible for providing, maintaining and managing logistical requirements to support the Department in the attainment of its mission and vision. It is primarily responsible for the maintenance of assets/facilities, operations of transportation and sustenance of vehicle's roadworthiness, supervision of janitorial, security and communication services, records management and property and infrastructure maintenance.

To ensure that appropriate management systems and procedures are in place for economical, efficient and effective administrative service, specific responsibilities are

apportioned to its four (4) sections i.e., General Services, Procurement Services, Property Assets, and Supply and the Records and Archives Management.

## General Services

### I. ASSESSMENT OF PHYSICAL AND FINANCIAL ACCOMPLISHMENTS

This report highlights the undertakings of the General Services Section for the 2<sup>nd</sup> quarter as they ensure efficient, economical and effective general support services relating to safety and security of office personnel, premises, documents and properties; building and office facilities maintenance and motor pool services in order to provide the Regional Office personnel with a safe, clean and healthy environment conducive to the effective performance of their respective functions and delivery of services.

#### A. Targets vs. Accomplishments on Outcome Indicators

**Table 43: Number of Facilities repaired/renovated  
for 1<sup>st</sup> and 2<sup>nd</sup> Quarter of CY 2021**

Outcome Indicator	Quarter	Accomplishment	Targets	Variance	Assessment
Number of facilities repaired/renovated	1 <sup>st</sup>	1	1	Targeted repair and renovated has been 100% accomplished	-
	2 <sup>nd</sup>	3	3		-

For the 1<sup>st</sup> quarter of CY 2021, the target accomplishment for repair and renovated has been 100% accomplished. the facility that was repaired/renovated was the **Proposed Repair and Rehabilitation of Regional Director's Comfort Room and HRMD Office of Main Building at FO III**. The project started last January 19, 2021 and was completed last January 22, 2021. The total project cost is P47,641.95 and the payment for the said project is already on process.

Program / Project / Activity Name	Total cost of Project	Status/Remarks
<b>1<sup>st</sup> Quarter CY 2021</b>		
Proposed Repair and Rehabilitation of Regional Director's Comfort Room and HRMD Office of Main Building at FO III	P47,641.95	100% done
<b>2<sup>nd</sup> Quarter CY 2021</b>		
Repair and Improvement of Roofing of the AOSHI Façade, Ceiling of Overhang of Main Building Infront of AOSHI Bldg. including Waterproofing of Balcony	P220,003.39	100% done

Outsourcing of Technical Service Provider for the Preparation of Detail Drawings, Structural Design Analysis, Program of Works and Detailed Unit Price Analysis for One Infrastructure Project of DSWD FO III Under Capital Outlay	P260,000.00	100%
Removal And Installation of Aluminum Glass Window with Back-to-Back Wooden Counter of Social Tech Section to Be Occupied by Cash Section (Releasing)	P41,173.53	100%

Moreover, for the 2<sup>nd</sup> quarter of CY 2021, three (3) projects were completed and the target accomplishment for the said quarter is 100% accomplished. Below is the breakdown of infrastructure projects repaired, to wit;

Outcome Indicator	Quarter	Accomplishment	Targets	Variance	Assessment
Number of vehicles maintained and managed	1 <sup>st</sup>	18	100%	-	-
	2 <sup>nd</sup>	18	100%		

The table shows that among all the vehicles, the **ISUZU Truck Dropside Botswana SHR 922** was frequently repaired for the 1<sup>st</sup> quarter. Due to old age of the vehicle, minor repairs were always prioritized to prolong the vehicle useful life. The said vehicle is being used as a shuttle for staffs who conducts simultaneous payouts and delivery of various supplies and equipment to satellite offices. The General Services Section aims to provide safety to all the clients and employees who use the DSWD RP Vehicles by ensuring all vehicles are undergoing Repair and Maintenance, Preventive Maintenance and other necessary repairs needed to avoid future problems and delay of services needed by staff and clients. To date, 100% of the target number of vehicles are maintained. During this quarter, three (3) vehicles have undergone repair. One (1) vehicle's insurance and registration were renewed. See table 3.

VEHICLE	DATE OF REPAIR	PARTICULAR	AMOUNT PAID
NISSAN NAVARRA 4X2 EL MT Calibre 2016 (SAA 2528)	January 24, 2021	Vehicle Tires	<b>P39,200.00</b>
ISUZU DMAX (CM 3594)	March 8, 2021	Repair of Airconditioning unit of	<b>P4,750.00</b>
ISUZU DMAX CM-3594	Feb 10, 2021	Halogen Bulb, Crystal Bulb, Fog Light	<b>P350.00</b>

VEHICLE	DATE OF REPAIR	PARTICULAR	AMOUNT PAID
NISSAN NAVARRA 4X2 EL MT Calibre 2016 SAA2528	March 3, 2021	Air Filter	<b>P450.00</b>
NISSAN NAVARRA 4X2 EL MT Calibre 2016 SAA2528	March 12, 2021	Diagnose Check Engine and Sanction Control Bulb	<b>P1,500.00</b>
ISUZU TRUCK DROPSIDE BOTSWANA (SHR922)	January 8, 2021	Overhauling of injection pump assembly of RP vehicle SHR922	<b>P20,000.00</b>
ISUZU TRUCK DROPSIDE BOTSWANA (SHR922)	February 10, 2021	GSIS Insurance renewal of RP Vehicle SHR 922 Isuzu Dropsider	<b>P6,506.67</b>
	February 19, 2021	LTO Registration of RP Vehicle SHR 922 Isuzu Dropsider	<b>P2,819.06</b>
	March 8, 2021	Repair of Airconditioning unit	<b>P3,950.00</b>
<b>GRAND TOTAL 1<sup>st</sup> quarter</b>			<b>P79,525.73</b>
<b>2<sup>nd</sup> Quarter CY 2021</b>			
ISUZU DMAX (CM 3594)	June 10, 2021 June 18, 2021 June 3, 2021	Radiator Cleaning Wiper Motor Parameter Reading and Inspection	P950.00 P900.00 P2,336.02
ISUZU TRUCK DROPSIDE BOTSWANA (SHR922)	April 4, 2021 May 21, 2021  June 4, 2021  June 13, 2021	Repair of Aircon Inspection and Diagnosis Replacement of High-Tension wire of Alternator Engine Valve	P2,500.00 P650.00  P1,071.02  P950.00
TOYOTA REVO SFV 849	June 14, 2021	Engine Valve	P950.00
FOTON TORNADO TRUCK (SKX 237)	May 25, 2021	PMS	P7,250.00
NISSAN NAVARRA (SAA 2528)	April 12, 2021  May 20, 2021	Consult Test Engine Evaluation General PMS	P2,800.00  P72,322.46
<b>GRAND TOTAL 2<sup>nd</sup> quarter</b>			<b>P92,679.50</b>



As shown on the table illustrated above, for the 2<sup>nd</sup> quarter of CY 2021, the Foton Tornado Truck with Plate Number SKX 237 has undergone Preventive Maintenance Service last May 25, 2021. The RP vehicles are well maintained in order to prevent future problems that will concern the safety of our employees who are using the RP Vehicles. Moreover, minor repairs of these vehicles are always prioritized to avoid delay and prolong each vehicles useful life.

Additionally, due to voluminous number of payouts being conducted by the agency, the General Services Section also coordinated to Seven (7) Centers by borrowing additional vehicle that will support the need of the regional offices in the delivering and processing of encashment of checks, logistics support for the designated SDOs from Central Office as well as in the delivery of cash to different DPEOs. Also, the Regional Office borrowed one (1) RP Vehicle (SKS 298) to General Services Division of the Central Office to be used during payouts.

Finally, a vehicle rental is also available, however, due to the 15-days ruling by the Department of Budget Management, it is inevitably that the need of additional vehicle is needed in order to cater all requested trips specifically payouts.

**Table 44: Number of vehicles maintained and managed**

Program/ Activity/ Project	Quarter	Allocated Budget	Obligations	Disburse ment	Assessment	
					Obl.	Disb.
Number of vehicles maintained and managed	1 <sup>st</sup>	181,450.00	79,525.73	70,825.73	43.83%	39.03%
	2 <sup>nd</sup>	294,914.59	92,679.50	83,733.92	31.43%	29.39%

The total budget allocated for the Repair and Maintenance of vehicles under the General Administration Support Services (GASS) funds is P181,450.00. The allotted fund from other programs is still for update in the Work and Financial Plan (WFP). Moreover, during the 1<sup>st</sup> quarter of CY 2021, the total obligation is P79,525.73 with obligation rate of 43.83% while the total disbursed on the said quarter is P70,825.73 with a disbursement rate of 39.03%.

For the 2<sup>nd</sup> quarter, the allocated budget for vehicles maintained and managed has been adjusted amounting to P294,914.59. The total obligated for this quarter is P96,899.50 with an obligation rate of 32.86%. Moreover, the total disbursement is P89,953.92 with a disbursement rate of 30.50%.

The General Services Section ensures that the allotted budgets given are well monitored and utilized in order to prioritize all repairs needed concerning our RP vehicles. To prevent costly problems, ensure safety and maximize their availability in order to ensure their roadworthiness in transporting materials and supplies as well as safety of its passengers.

*The interpreted obligation percentage and disbursement percentage from HPMS Statistical Form 4-4a-4b 1<sup>st</sup> quarter of CY 2021 had been adjusted because of the additional downloaded fund intended for RM – Motor Vehicles for the 2<sup>nd</sup> quarter.*

**Table 45: Number of facilities repaired/renovated**

Program/ Activity/ Project	Quarter	Allocated Budget	Obligations	Disburse ment	Assessment	
					Obl.	Disb.
Number of facilities repaired/renov ated	1 <sup>st</sup>	4,250,000. 00	47,641.95	47,641.95	1%	1.12%
	2 <sup>nd</sup>	4,250,000. 00	521,176.91	41,173.53	12.26%	1.0%

For the 2<sup>nd</sup> quarter of CY 2021, the total obligation is P521,176.91 with an obligation rate of 12.26. however, the disbursement is P41,173.53 with a disbursement rate of 1.0%. The payment for the 2 repair/renovated facilities is still on process amounting to total of P480,003.38.

### Records and Archives Management Section

This report highlights the undertakings of the Records and Archives Management Section for the period January 1 to June 22, 2021 as they ensure efficient, economical and effective general support services relating to record administration, management and maintenance.

OUTCOME INDICATORS	ACCOMPLISHMENTS	TARGETS	VARIANCE	ASSESSMENT
Number of records disposed	100% or 60,050 out of 60,050 pages	100%	-	full target achieved

### Digitization of Records

The Administrative Division - Records and Archives Management Section is mandated to protect, preserve and properly manage/regulate the Agency's vital/valuable records. It is but imperative that the Field Office adopts/implements a new scheme using the latest information technology system that shall convert vital and permanent records on paper into a digital copy (with back-up) for easy retrieval, long-time preservation and safekeeping; hence the release of funds for the hiring of service provider for digitization of vital records at the regional level. In line with the approved digitization project, a service provider was procured to digitize a total of 1,313,100.00 paper-based records/documents of DSWD Field Office III and the

project was completed last November 26, 2020. To ensure continuity of the project, the Document Management System (DMS) will be installed to offices and individual orientation or mentoring will be provided prior to their application and usage of the system. Memo will be issued on this but the tentative schedule is on the 2<sup>nd</sup> quarter. The section also proposed to procure scanners for all divisions, provincial extension offices and centers and institutions if not possible this year, it will be for inclusion for next year's work and financial plan.

## **Disposal of Records**

Last February 18, 2021, actual disposal of valueless records of CY 2019 and 2020 was conducted. A total of 18.47 cubic meter or 6,599 kilos which is equivalent to 795 sacks were disposed thru sale mode of disposal. Instead of public bidding for the sale of valueless records, we have availed the services of NAP's official buyer, D' Lacoste Enterprise. The sale proceeds amounting to P15,111.71 were forwarded to the Cash Section.

For this year, the Section was able to submit another request for disposal of valueless records to National Archives of the Philippines last March 22, 2021 for possible conduct of 2nd round of disposal activity. These valueless records were taken from various offices' inventory as well as documents taken at the covered court and other temporary storage areas. This request was approved last May 17, 2021 by the National Archives of the Philippines, however, as per phone conversation and follow up with the NAP disposal team, the conduct of actual disposal of these valueless records was put on hold due to the current pandemic situation. To date, the Section is still accepting Requests for Disposal of Valueless Records for inclusion to another separate disposal request.

## **PROPERTY, PLANT AND EQUIPMENT (PPE) AND SEMI-EXPENDABLE PROPERTIES**

### **a. Submission of Regional Physical Count of PPEs and Semi-Expendable Properties**

To ensure the integrity of custodianship of all DSWD – Field Office III properties, an actual conduct of annual Physical Inventory Count of all Properties Plant and Equipment (PPEs) and Semi-Expendable Properties was done successfully despite of the pandemic. The Inventory Committee, members of the Technical Working Group (TWG) and other members of the Property, Asset and Supply Section (PASS) verified the properties to the 53 Accountable Offices of the Region last CY 2020.

Prior to the submission and finalization of the Regional Physical Count of Property, Plant and Equipment (RCPPEs), a reconciliation was conducted by the Accounting and PASS. The said report was submitted to the Office of Resident Auditor and DSWD Central Office on January 23, 2021. The Accountable Offices were immediately provided a copy of the report after it was signed by the Inventory Committee and approved by the Regional Director.

The table shows that out of 27 Offices 35 units are newly acquired properties. While 103 items were transferred. And total of 206 items were renewed. The issuance of Property Accountable Receipt (PAR) is Property, Records and Equipment Management Information System (PREMIS) generated reports since the PASS is 100% utilizing the PREMIS.

#### Newly Acquired Semi-Expendable Properties Per Office

Office	ARTICLE	QUANTITY
ARRS	Office Table	3
	Office Chair	3
	Vertical Filing Cabinet w/ Safety Vault	1
	Epson Printer	1
	Steel filing cabinet	1
ARDO	Epson Printer	1
	Epson Printer	2
PLANNING SECTION	Vertical Filing Cabinet w/ Safety Vault	1
CASH UNIT	Vertical Filing Cabinet w/ Safety Vault	1
	Epson Printer	1
HRMDD	Vertical Filing Cabinet w/ Safety Vault	6
	Epson Printer	3
BUDGET UNIT	Vertical Filing Cabinet w/ Safety Vault	1
ADMIN DC	Vertical Filing Cabinet w/ Safety Vault	1
BUDGET UNIT	Vertical Filing Cabinet w/ Safety Vault	1
RECORDS	Vertical Filing Cabinet w/ Safety Vault	1
CBSS	Vertical Filing Cabinet w/ Safety Vault	2
	Epson Printer	1
CBU	Vertical Filing Cabinet w/ Safety Vault	2
	Epson Printer	1
PROCUREMENT	Vertical Filing Cabinet w/ Safety Vault	2
SLP	Vertical Filing Cabinet w/ Safety Vault	2
PASS	Vertical Filing Cabinet w/ Safety Vault	3
	Epson Printer	1

<b>CIU</b>	Vertical Filing Cabinet w/ Safety Vault	1
	Epson Printer	1
<b>PERSONNEL</b>	Vertical Filing Cabinet w/ Safety Vault	4
	Epson Printer	1
<b>ACCOUNTING</b>	Vertical Filing Cabinet w/ Safety Vault	2
<b>STANDARDS</b>	Vertical Filing Cabinet w/ Safety Vault	2
<b>FMD</b>	Vertical Filing Cabinet w/ Safety Vault	1
	Epson Printer	1
<b>PROTECTIVE</b>	Vertical Filing Cabinet w/ Safety Vault	2
<b>SUPPLEMENTAL FEEDING</b>	Vertical Filing Cabinet w/ Safety Vault	1
	Epson Printer	1
<b>ORD</b>	Vertical Filing Cabinet w/ Safety Vault	1
<b>SOCPEN</b>	Vertical Filing Cabinet w/ Safety Vault	1
	Epson Printer	1
<b>PPD</b>	Vertical Filing Cabinet w/ Safety Vault	1
	Epson Printer	1
<b>SMU</b>	Vertical Filing Cabinet w/ Safety Vault	1
<b>SLP</b>	Vertical Filing Cabinet w/ Safety Vault	1
<b>PLANNING</b>	Vertical Filing Cabinet w/ Safety Vault	1
<b>RCC</b>	Vertical Filing Cabinet w/ Safety Vault	1
<b>PROMOTIVE</b>	Vertical Filing Cabinet w/ Safety Vault	1
<b>SOC TECH</b>	Vertical Filing Cabinet w/ Safety Vault	1
<b>BUDGET UNIT</b>	Vertical Filing Cabinet w/ Safety Vault	1
	Epson Printer	1
<b>DRMD</b>	Epson Printer	4
<b>ICTM-4P's</b>	Epson Printer	1
<b>UFMS</b>	Epson Printer	1
<b>4P's</b>	Epson Printer	2
<b>GENERAL</b>	Epson Printer	1

<b>SERVICES</b>		
<b>ACCOUNTING</b>	Epson Printer	2
<b>DPEO NUEVA ECIJA</b>	Vertical Filing Cabinet w/ Safety Vault	3
	Epson Printer	7
<b>DPEO BATAAN</b>	Vertical Filing Cabinet w/ Safety Vault	3
	Epson Printer	3
<b>DPEO BULACAN</b>	Vertical Filing Cabinet w/ Safety Vault	3
	Epson Printer	5
<b>DPEO AURORA</b>	Vertical Filing Cabinet w/ Safety Vault	2
	Epson Printer	2
<b>DPEO ZAMBALES</b>	Vertical Filing Cabinet w/ Safety Vault	1
	Epson Printer	3
<b>DPEO TARLAC</b>	Vertical Filing Cabinet w/ Safety Vault	1
	Epson Printer	4
<b>DPEO PAMPANGA</b>	Vertical Filing Cabinet w/ Safety Vault	1
	Epson Printer	5
<b>AMOR VILLAGE</b>	Epson Printer	2
<b>RRCY</b>	Epson Printer	2
<b>HAVEN</b>	Epson Printer	2
<b>THFW</b>	Epson Printer	2
<b>TLC</b>	Epson Printer	2
<b>RHFG</b>	Vertical Filing Cabinet w/ Safety Vault	2
	Epson Printer	2
<b>RSCC</b>	Long nose	1
	Epson Printer	2
	Vertical Filing Cabinet w/ Safety Vault	5
<b>TOTAL</b>		<b>148</b>

### Procurement Services

The Procurement Section under the Administrative Division at the regional level is primarily responsible for the efficient, effective and timely provision of goods and supplies, services and other logistical requirements to support the attainment of the agency's vision and mission. It directs all the activities of the agency pertaining to procurement planning, purchasing, and contract management and monitoring in pursuant to Section 75 of Republic Act No. 9184, otherwise known as the



“Government Procurement Reform Act” promulgated the Revised Implementing Rules and Regulations (IRR) for the purpose of prescribing the necessary rules and regulations for the modernization and regulation of the procurement activities.

### III. OVER-ALL ASSESSMENT AND CONCLUSION

As all is becoming accustomed and resilient to the new context, there is this conviction and hope that all planned activities and targets be delivered in a given timeline while being flexible and ready if needs a sudden change of plan and strategy.

For this quarter, the Sustainable Livelihood Program Field Office III was not able achieved its target for both output and outcome indicators. However, the Field Office III has 31.60% obligations for current funds and 100% disbursement for the CO continuing funds. In line with the thrusts and priorities, the Field Office will obligate funds until the end of July 2021 and disbursement until September 2021. For the next quarter, the FO will continue to facilitate the disbursement, implementation and monitoring of the SLP LAG projects.

SLP Field Office III shall continue to conduct various capacity-building activities and consultation meetings with SLP-NPMO and field staff to ensure smooth flow of operations and address all issues and concerns encountered in the course of the implementation of the program, implement strategies and best practices such as regular monitoring and spot checks to SLP implemented projects in accordance with existing guidelines, provides technical assistance, coaching and mentoring sessions to SLP staff in their respective assigned provinces.

Despite the risks and hazardous threats of Covid-19, and with the strong dedication, commitment and enthusiasm of the SLP field staff and SLP-RPMO, continuous strong support of the management, inter-office supports, coordination and partnership with the LSWDOs, LCEs, LGUs and inter-agency partners, the Field Office III is still positive that the regional targets for both output and outcome indicators can be achieved within the set timeline.

Given the above trends of the implementation, it is apparent the huge delays or backlogs of the program in terms of the plans/targets of the region across of the implementation. In fact, it is already quite alarming for the region how to respond and manage this particular challenge. In particular, for the KC NCDDP Additional Financing, the lost six months yet having the same timelines is quite unusual in the history of the program.

Apparently, the current regional issue to meet these program deliverables boils on the absence of enough manpower requirements. The chain effects of the problem had now been felt due to increase of scope of the program with the limited timeline of completion. Although, it was clear that most of these hindering factors are not within the control of the region yet, there was no other option given but to find innovative strategies to meet these challenges. This year implementation will be very tough

given the above-mentioned circumstances as it will requires an almost perfect program management strategy. Commitment and visibility of the staff at the ground despite of the current pandemic is necessary to provide timely technical assistance to communities and provide effective solutions to encountered problems along the implementation. The support of the local government units both at the barangay and municipal level especially in terms of delivery of local counterpart contribution is highly significant.

For the Centers and Institutions, this year target beneficiaries per center, the Center Heads find it difficult to accomplish their targets with the flow of the referrals due to pandemic. And one of the major concerns of the Center Heads is that the targets are beyond their center's bed capacities. Despite the challenge, the Head Social Workers are still doing their best to achieve/accomplish their targets and they are strengthening their links with the LSWDOs and NGOs for possible referrals.

Nevertheless, the priority of supporting, maintaining, and delivering of service to the residents is still being given of highest importance. The staffs were also given with proper support as issues have risen and were given enough support to be fixed.

Generally, regular Social Pension program assessment on its accomplishments falls out for major deviation due to limited time allotted for pay out for its regular social pension unlike the centenarian which have already an existing data which was submitted in the previous years. The adjustments made for 2020 unpaids were finally done and normalizes as we commenced unserved beneficiaries. The unit is trying its best efforts to wrap up the 1<sup>st</sup> Semester targets and we were left with 47.23% less than half of the target for the semester.

The additional beneficiaries grant for 5,543 will still be downloaded this 2<sup>nd</sup> Semester by August 2021 as part of the later release funds. We are also targeting this 2<sup>nd</sup> semester the tapping out of grants to their cash cards and cash payout for those who still don't have the cash cards. We are left with a deficit of 30,916 cash cards including our projections for the additional beneficiaries this year. However, the data on declared deceased beneficiaries will be consolidated so that we will have overall status of what we ought to plan and target. These developments can lessen the risky cash pay outs and reduced the usage of SDOs that can be utilized by other programs as well.

The Department's preparation for the eventual turnover of the sector to the National Commission for Senior Citizens as per R.A. 11350 can be anytime soon or by 2022, these can support them to have a foundation for the management of the new office. We are also optimistic that our people who have been with the unit for more than 8 years as maximum will be absorbed since in the draft IRR, there was no mention about them, although we are aware it shall follow a competency-based recruitment and qualification standards of the Civil Service law.

The physical and financial target versus accomplishment of the services provided of Crisis Intervention Section has been fully achieved.

Based on the result of UCT Listahanan payout, 83.92% or 92,905 out of 110,705

UCT Listahanan beneficiaries were paid for CY 2018 and 81.12% or 71,832 out of 88,546 beneficiaries generated payroll for CY 2019. The following are the reasons for the remaining unpaid:

- Identified as unlocated or no longer residence based on their registered address in our master list
- Others were reported by DPEOs with major discrepancies on their names
- Lack of supporting documents (i.e., proof of relationship for the Authorized Representative and valid ID)
- Deceased
- Gone missing
- Working local and abroad
- locked-down
- Imprisoned
- "no show"

Further, based on the memorandum from Usec. Camilo G. Gudmalin dated January 14, 2021, one of the directions and priorities of UCT program for CY 2021, is the revalidation of UCT Listahanan beneficiaries. This activity shall be completed for the generation of cash card and printing of UCT ID. The cash card shall be used for the distribution of the remaining unpaid cash grants for CY 2018-2020. Hence, UCT ID will serve as an access card to receive government programs and services (UCT Advisory No. 24).

Based on the result of revalidation as of May 31, 2021, 90.86% or 132,387 out of 145,700 UCT Listahanan beneficiaries were validated. There was a remaining variance of 13,313 UCT Listahanan beneficiaries. Furthermore, revalidation activity has been extended to saturate all the remaining 13,313 UCT Listahanan beneficiaries until July 15, 2021.

In spite of the impeding factors and concerns brought about by the COVID 19 pandemic, the Unit continuously finds alternatives to deliver its tasks accordingly. Hence, the two-output indicator (Regular Foster Parent and Regular Prospective Adoptive Parents Developed) was fully accomplished for the quarter, while the Issuance of CDCLAA and ICA Clearance were not accomplished due to the above-mentioned factors as the ARRU regularly reminds stakeholders on the prompt facilitation and submission of children's dossiers. Close coordination with the Community-Based Social Workers for updates on actions taken re: Case Referrals. Children placed out for Foster care was not accomplished due to the unavailability of Foster Parents due to the factor mentioned above.

The performance of CBSS for this year is low especially on the physical and financial utilization of funds. With the present emergency situation in the country, the Field Office efforts to ensure for the 100% utilization for the first semester was not met. Our strength is very visible and shows high level of efforts and commitment to deliver results.

Community-based Social Workers at the Regional Office and 7 provinces were still involved/mobilized in full support to the pay-out of Social Pension to indigent senior citizens, Centenarian and Expanded Assistance Crisis Situation (EAICS) as well as in the Social Amelioration Program in the seven provinces.

On the other hand, CBSS maintained close coordination with the TARA Focal in the provision of technical assistance to LSWDOs through the conduct of individual /group consultation through virtual /online meetings in the implementation of the retained (Adoption, AICS, SFP, Social Pension, RRPTP and Social Amelioration Program and devolved programs especially with the approval of the Mandanas Ruling.

Deliverables as to physical and financial targets have reached more than 50% of its target for CY 2021. Meaning, the Standards Section may achieve its whole-year targets except the DCWs/DCCS which is beyond our control. Attribution of this accomplishments emanated from the continuous monitoring, technical assistance and policy advocacy efforts through RAB, ABSNET Clusters and TARA meetings.

Monitoring on continuing compliance of eighty (80) SWDAs is strategically planned to be accomplished until 4<sup>th</sup> quarter. With the current surge of COVID 19 cases, the Standards Section will possibly resort to virtual monitoring particularly in the localities with high cases/declared under enhanced community quarantine. To top it all, the Standards Section performance as to OPC and PGS commitment remain progressive.

The Capability Building Section is implementing dynamic technical assistance to external intermediaries. Aside from this, the partnership also grew because of the reorganization of Central Luzon SWDLNet. Accomplishing physical targets also made possible because of team work and internal convergence of the FO ODSUs and DPEOs. The online platform was also maximized from the small meetings up to the implementation of the TARA plan. Despite this, financial accomplishment needs to improve by enhancing the TARA WFP based on checkpoint.

The Social Technology Unit has performed and deployed its own efforts and initiatives to strengthens its network and establish harmonious relationship and linkages to the local government units through LGU visitations. The office did not schedule any activities for the 1<sup>st</sup> quarter that will utilize funds because of the anticipated late download of SARO to prevent postponement and cancellation of activities. STU has devoted the 1<sup>st</sup> quarter to update its database, program planning and preparation of project proposals.

STU achieved its quarterly target with major deviation of more than 54.05% when it comes to the number of intermediaries reached thru online social marketing activities because of the 100% attendance of 8 LGUs in Aurora, 23 LGUs in Bulacan, and 6 clusters President of SWDAs, 18 LGUs in Pampanga, 24 LGUs in Nueva Ecija and 12 LGUs in Zambales.

The Listahanan 3 remains to be the most challenging implementation because of the Covid-19 pandemic which greatly affects the timeline of completion, delayed approval and downloading of required budget, among others. However, these challenges did not stop the NHTS to perform with resilience, commitment and teamwork, to wit;

- ✚ On February 23, 2021, a Google form was forwarded to all former Listahanan field and encoding staff to confirm their availability and willingness to engage in the continuation of the Validation and Finalization Phase. A total of 1,085 response were received which includes 213 Area Supervisors, 603 Enumerators, 14 Encoding Supervisors, 180 Encoders and 75 Verifiers;
- ✚ Re-contracting of 62 Area Supervisors engaged on April to June 2021 to continue in the receiving of complaints in barangays and perform other tasks assigned by the NHTS. A three (3) batches virtual orientation was also conducted prior to the actual deployment to ensure that important instructions and directives were cascaded to them;
- ✚ Meeting relative to uncovered 4Ps beneficiaries was conducted on March 31, 2021 with the RPMO to discuss updates, proposed strategies and plan to ensure that all program beneficiaries will be covered and included in the Listahanan 3 database;
- ✚ In anticipation with the downloading of budget, the NHTS prepared revise Action Plans and Financial Plans per instruction of the NHTO;
- ✚ In support to the Finance Management Division, the NHTS Team continuously provide updates through RFC Advisories and Listahanan Memoranda to all former staff on the availability of their checks i.e. Travel Claims, other claims. In fact, the AA IIIs scheduled releasing of checks in the Province of Bulacan on June 02, 2021;
- ✚ The undersigned also acted as resource speaker during the Orientation of Data Privacy Act with ABSNET-Pampanga conducted through a webinar on May 21, 2021. A commendation letter was forwarded by the association dated June 06, 2021;
- ✚ Another set of confirmation on the availability of former field and encoding staff was facilitated thru Google form on the 2<sup>nd</sup> Quarter of 2021, wherein 1,044 confirmed staff are still available for re-engagement; and
- ✚ The ITO II and the RFC was also tasked to provide input on the draft Agency Operation Center (AOC) Concept Paper and crafted Regional Guideline on the Data Sharing in relation to Institutionalization AOC until end of 1<sup>st</sup> Semester 2021.

For the 1<sup>st</sup> semester 2021, the 2<sup>nd</sup> phase in the rehabilitation of the Network Infrastructure has been completed. The preparation in the establishment of the AOC is on track especially the continuing funds which is targeted to be obligated until end of June 2021. Compliance and implementation of the ICT Helpdesk has been started in compliance to the ISO SOP-05 ICT Support Service ticket. In the distribution of ICT Equipment, it is considered that the equipment will cover not only the Field Office but also the DPEOs and Centers and Institutions in which ICTMS was able to achieved.



For the performance indicators, majority of the target were achieved. While, for the financial accomplishment the section is focused on the utilization of continuing funds until June 2021 and the proposal of the current funds are already submitted to procurement while the remaining are for approval of the management this 3<sup>rd</sup> quarter of 2021.

Despite of the challenges, ICTMS was able to deliver the needs of the Department as support to operations on its physical and financial target. The section aims to continuously focus its operation in the delivery of prompt IT support services and effective resolutions to various ICT problems to support and ensure the smooth operation of the Field Office, DPEOs and Centers and Institutions.

For the Internal Control Unit, all employees of the FO are enjoined to ensure that internal controls within their areas of assignment are installed, implemented and monitored to achieve ethics, efficiency, effectiveness and economy (4Es) in all aspects of operations as well as to detect and prevent fraud, waste and errors in the use of public funds and property likewise inefficient and uneconomic practices.

The full support and cooperation of all employees is likewise enjoined for the success of all internal auditing-related activities that will be conducted for the improvement of the FO's systems and procedures to obtain reasonable assurance that the agency's mandate and sectoral goals are achieved for the benefit of its clients and live up to its core value of "Serbisyong Walang Puwang Sa Katiwalian".

The Social Marketing Unit of DSWD Field Office III has continuously carried out its functions for the achievement of its targets for the 1st semester of CY 2021. First quarter targets for all 5 performance areas were achieved within the set timeline.

For the first indicator on the awareness of KAP survey respondents, SMU was able to surpass the intended target of 85%. Based on the analysis and report from the Social Marketing Service, 100% of beneficiaries and 97% of non-beneficiaries are aware of at least 2 DSWD programs aside from 4Ps. This shows a total awareness rating of 98.5% for all 72 respondents. Results reflect that SMU has been effective in providing timely and useful information about the different DSWD programs.

Meanwhile, following the communication campaign of SMU on DSWD Field Office III Centers and Institutions during the month of June, SMU was able to conduct seven (7) information caravans about the seven (7) CRCFs in the region namely, Reception and Study Center for Children, AMOR Village, Haven for Girls, Tarlac Lingap Center, Regional Home for Girls, Tarlac Home for Women, and Regional Rehabilitation for Youth. Aside from these, SMU was also invited to discuss the Sustainable Livelihood Program on-air on DWNE. Face-to-face information caravan was also conducted to former rebels in Carranglan, Nueva Ecija wherein the AICS program was discussed.

For the 1st semester, SMU was also able to issue 12 press releases and develop 125 IEC materials. Contributory to this accomplishment is the initiative of the unit to regularly inform and engage the public and media partners of the various activities and programs of the department.



With the continuous posting of relevant information and updates on DSWD programs and services on the Field Office's official social media accounts, the Field Office was able to garner an average engagement rate of 16.68% for the 1st semester of 2021.

As reflected, most of the Finance Management Division target performance indicators were achieved, whilst those unachieved targets were due to uncontrollable circumstances. The manifested accomplishments in this assessment report can be attributed to the combined efforts of every staff in the Accounting Section, Budget Section, Cash Section and DC Office, that through their hard work and perseverance, the Division was able to achieve such accomplishments. This being said, the contribution of the Operations Cluster and the Management also played a vital role on the achievement of Agency target and goals.

There some difficulties and challenges that arose this semester, however, through the combined efforts of the Administrative cluster and the Operations cluster, gaps were bridged and strategic measures and plans were devised in order to efficiently and effectively deliver different social welfare service delivery.

It is also noteworthy to mention the different initiatives and good practices of the Division which proved to directly impact the attainment of 1<sup>st</sup> Semester accomplishments, activities such as the Internal Budget Hearing which results to early submission of 2022 budget proposal of the Agency, Quarter Consultation Dialogue with P/P/A Focal Persons which continuously proves to be vital activity in providing a venue where the issues, challenges and concerns of P/P/As are ventilated and addressed by the Finance Management Division and the Management, the conduct of Orientation on the Duties and Responsibilities of SDOs which was an initiative of the Division towards addressing the issues on the increasing number of newly bonded SDOs and liquidation problems, the on the spot Technical Assistance Visit of the Division to Centers and Institutions which was aimed towards empowering and further capacitating the Centers in terms of fiscal management, and the Field Visit of the Accounting Section with Program Focal Persons to NGOs with dormant unliquidated of transfer of funds. Lastly, it is without a doubt that the teamwork of all staff across the Finance Management Division which results to a system approach, along the said initiatives, to address arising issues and challenges proved to be vital in the achievement of the Divisions' and Agency's fiscal targets.

This being said, the Division continuously commits to further exert best efforts to ensure that all targets and commitments will be met by the end of year. The Division also further commits to be more persistent and proactive in providing support to the operation in their fiscal targets, transforming negative challenges to positive reinforcements towards efficient and effective utilization of the financial resources in a manner that is transparent, accountable and results oriented.

1. **Automated Purchase Request form** - the Procurement Section in collaboration with the PDO II from the Office of the OIC-DC at the Administrative Division developed and installed a system – the Automated Purchase Request form - to facilitate the easy consolidation of all purchase request submitted by the end users to lighten the burden and not need an additional manpower;
2. **Inventory Based Procurement** – in connection with the adoption of a **Perpetual Inventory System** of PASS, Inventory Based Procurement was also duly implemented to conform with COA Circular 2012-003 Section 33 – **“Prevention of Irregular, Unnecessary, Excessive, Extravagant and Unconscionable use of funds or property; power to disallow such expenditures” (IUEEU)**. This structure relies on the submission of a duly approved **Inventory Report** (for consumables) or **Replacement Plan** (for Semi-Ex Equipment) which must be true, accurate and reliable as it will serve as the justification why the need for purchase is apparent. Procurement is now based primarily on a **“Needs Analysis basis”** and not on a **“Want Aspect”**. It also heavily contradicts with the previous scheme of focusing solely on the ‘Utilization of Funds’ and not with actual necessities;
3. **Market Study/Feasibility Study of E.U.s’** – prior crafting their proposals and PRs, the End-user unit are required to conduct a Market Research/Feasibility Study regarding the items/materials/services they are trying to request for procurement. This is mainly done to determine the prevailing market price of the latter as basis for the ABC to justifiably defend its costing. Market/Feasibility Study is also done to benchmark applications in the existing market with regards to the Technical Specifications & Scope of Work;
4. **Revised RFQ/Annex A and B Forms** – in order to explicitly express in detail the Terms and Conditions to prospective bidders, the section revised the Request For Quotation Form (RFQ), Annex A forms, with the primary aim of clearly conveying the requirements/requisites of every procurement modality. Additionally, the newly introduced Annex B Form or ‘Technical Specifications Form’ was crafted so as to duly determine the compliance of the prospective bidder under each individual item/parameter of the request. Again, this is to fittingly decide which bidder is acquiescent with our request;
5. **Revised RSO of BAC TWGs** – the Section amended the list of identified BAC TWGs to further broaden the pool of assessors of the BAC. The TWGs support functions, in addition to their inherent functions are the following:
  - a. Review of the Technical Specifications, Scope of Work and Terms of Reference;
  - b. Review of Bidding Documents;
  - c. Short listing of Consultants;

- d. Eligibility Screening;
  - e. Evaluation of Bids;
  - f. Post-Qualification; and
  - g. Resolution of Request for Reconsideration;
6. **Evaluation Reports of Bid Offers** – in connection with the Revised BAC TWGs, the Evaluation Reports are obtained from them at any stage of the Bidding Process stated above, whenever the BAC requires such. Under Negotiated Modality, Eval Reports are to be provided to the BAC primarily prior approval of the Abstract of Quotation (AOQ) to assist the BAC in the decision of award;
7. **Invitation of Prospective Bidders** – to ensure competitiveness and fairness of public procurement, the Procurement Section, in close coordination with the BAC Sec, invites a **wide roster of prospective bidders** that are allowed to partake in every procurement opportunity (based primarily from their Mayor's Permit and BIR COR), particularly through e-mail followed by telephone conversation. This is being implemented regardless of procurement modality, but mainly through bidding; and
8. **Receipt of ALL BIDS** – all quotations/bids from prospective bidders, particularly those that are invited to participate by the section, are to send their offers to the official e-mail address of the BAC-Sec (be it through mail or e-mail), as per what it duly stated on the **Revised RFQ and on the instructions enclosed on the e-mail**. Subsequently, all bidders who fail to comply with this requirement will be considered disqualified. This is being done to guarantee accountability and lawfulness of public procurement - to predominantly prevent situations that defeat competition, minimize the risks of conflicts of interests and exercise better control over compliance with RA. 9184 provisions on procurement procedures.

The following factors has greatly contributed to facilitating the current status of Field Office III performance:

- The participation of all Pantawid Workforce and the support of the top management are highly commendable to ensure gender responsive delivery of services especially to our partner beneficiaries.
- The application of the Disaster Response Operations Procedures is seen to be an advantage because it is an accelerated process yet, it should be remembered that most of the municipalities are new to the program. Besides, the current target is for the institutionalization of the CDD which been difficult to achieve even after the completion of the three years of the program implementation in the previous cycles.
- Allowing contractual staff as SDOs and increasing bond limit of existing SDOs for cash advances helps targets client and disbursement achieved for the quarter.

- The 100% attendance of the intermediaries during social marketing activity only shows that they are interested, LSWDOs support, recognize and appreciate the importance of technical assistance given by the Field Office.
- The regular conduct of Quarterly TARA Meeting in Field Office III wherein all Program Focal Persons like the STU Focal could attend and discuss program and address the issue and concern of the LGU has been a good practice of the Field Office which needs to be sustained. It is a good venue for the Social Technology Unit to conduct its social marketing activities and advocate the completed social technologies to reach its target number of local government units. This good practice of Field Office III has been very functional, very unique and sets it apart from other regions.

On the other hand, the following factors were identified that hindered smooth operations at the FO level but may still be improved this 2<sup>nd</sup> semester:

- The current crisis we are facing right now, which includes the large number of microenterprises has been affected due to the enforcement of community quarantine and displaced workers that needs assistance to various DSWD programs
- Existing protocols on COVID-19 which contributes to the difficulty on the conduct of major activities of the KC-NCDDP. Added to which, is until now no hired staffs for the needed Area Coordinating Teams which upon hiring still needs to be trained and provided with the program fluency. Hence, there's an expectation of their fast accumulation of knowledge and learning curve and strong commitment on their work to help the region manage these targets.
- The sad plight still remains for the Procurement Section in terms of staffing requirement with its continually growing procurement transactions. More responsibilities set in and the accountability increases, but its pool of staff remains underrated. Through the years, procurement is one area in the support services that has been left behind in terms of organizational development despite its very critical role in delivering the needs of its stakeholders in the area of goods, services, infrastructure projects, and consulting services.

This situation gives more reason to move towards the professionalization of its staff by scrapping most of the clerical/non-professional positions and increase the number of professional staff with technical or supervisory functions

*In line with the Department's mandate to protect the social welfare of Filipinos and to promote social development in the country, the Field Office III continuous to exert all efforts for the fulfilment of our **DSWD Core Values** of Respect for Human Dignity, Integrity, and Service Excellence are hereby updated as: "Maagap at Mapagkalingang Serbisyo" to the poor, vulnerable and marginalized citizens in the region.*

*With the emerging and evolving challenges on accountability, integrity, unity, competence and loyalty are the employees' much needed attributes for the Field Office III to achieved its desired goals. Moreover, this is to strengthen the DSWD*

*mandate in the promotion and protection of the rights of everyone, especially the socially or economically disadvantaged.*

#### IV. ISSUES, CONCERNS, AND RECOMMENDATIONS

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
<b>A. PANTAWID</b>		
Since a single training lasts for 10 days as this is being done through online platform, there is an overlapping of activities both in the Pantawid as well as augmentations from other programs. But we are trying to employ the best strategies that we know to respond to other deliverables.		
<b>B. SUSTAINABLE LIVELIHOOD PROGRAM</b>		
Additional funds for Individual referrals	Continuous follow-up to NPMO	To provide separate funding support for referrals to expedite processing and funding
Final Assessment Report (FAR) System for SLP projects CY 2017 onwards		To fast track on the cascading of the updated OMAS with 2017 onwards FAR system
Hiring of the remaining MOA PDOII position to be deploy in the field.	HRMDD to facilitate the hiring process of the additional SLP MOA Field staff.	
<b>C. KALAHI-CIDSS-NCDDP</b>		
Limited manpower vs. the gargantuan program deliverables. Diffidently, for the KC NCDDP Additional Financing, there was a huge delay in the approval of its budget which had a chain effect across the different aspect of	For the HR unit to consider best options/strategies to fast track hiring of the required staffs especially the members of the Area Coordinating Teams.	For the NPMO to consider revisiting the given timelines of the program completion given the incurred delays of the implementation which are beyond the

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
the implementation especially on the hiring of staffs. However, given the whole deliverables with only few people from the RPMO to conduct all the activities and coordination at the ground and with the existing challenges of COVID-19 it is really hard to manage all these deliverables of the program in the past. Added to which, is the late hiring of the RPMO Technical Staffs especially at the Engineering Unit to provide the technical assistance to the communities on the preparation of the engineering documents and to review and recommend the same at the NPMO. Only this 2 <sup>nd</sup> week of May 2021 this RPMO Technical staff was hired and on board. As of to date, the RPMO still waiting for the hiring of the urgently needed Program Evaluation Officer IV, Supervising Administrative Officer, SWO III of the Balik Probinsya program and the 67 staffs of the Area Coordinating Teams to be deployed in the different municipalities covered by the KC NCDDP Additional Financing.	<p>For the RPMO to conduct regular meeting, tactic session and other capacity building interventions with the Area Coordinating Team and/or community volunteers for timely technical assistance provision and resolution of problems.</p> <p>Conduct monthly Expanded Regional Program Management meeting to include other key units' heads/representatives of the region involved in the program implementation to ensure the immediate discussion and resolutions of the encountered problems of the program.</p>	control of the different regions/implementers and considering the existing threat of COVID-19 which delimits movement of program staffs.
For the KC NCDDP implementation again, the reasons for variance in the accomplishment of the region is the chain effects of the delays in the approval and release of its funds by the DBM. In fact, based on record the budget was just approved by the agency late of this quarter hence, most of the preparatory activities at the NPMO such	For the KC NCDDP implementation it was the major strategy of the RPMO to commence the implementation while waiting for the hired Area Coordinating Teams. If necessary to capacitate the LGU hired/designated Municipal	



ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
conduct of trainings and planning with the RPMO are just commencing. To sum up it's almost six months had passed before the NPMO had concrete information about the program implementation. For other the other regional targets under the KC: KKB and Balik Probinsya the most hindering factors is again the current limited manpower of the region due to the non-hiring of the required staffs and a big challenge is the current COVID-19 pandemic which delimits activities and movements of the RPMO in facilitating the different activities of the implementation. In fact, there were cases that the LGUs had declared lockdown which therefore causes rescheduling of activities.	Coordinating Teams to assist in the facilitation of the activities of the implementation. As to recommendation, the RPMO indeed appeal for the fast tracking of the hiring process of the field staffs to be deployed in the different staffs if possible as early of 1 <sup>st</sup> week of August 2021 in order to give justice on the prepared implementation plan of the program. KC NCDDP AF has provided the almost impossible timelines of implementation as it requires completion of all sub-projects to be funded by end of the year without consideration on the six months delays of the implementation. The same recommendation for other modality of the program particularly the hiring of SWO III for the BP2. Moreover, we want also to request/recommend for the provision of vehicle for the RPMO since it is one of the major difficulties of the team considering that we are still under this new normal due	

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
	to COVID-19. Also, this will help to lessen time consumed for travels considering the distance of the covered municipalities.	
For the BP2 additional concerns is the delayed provision of the livelihood support to the 19 beneficiaries at San Jose Del Monte, Bulacan due to conflict of some existing guidelines on the provision of livelihood assistance through the Sustainable Livelihood Program. In the previous guidelines of the SLP under MC 22 the beneficiaries are not eligible to receive the assistance however, it was superseded by the latest issuance of the MC 15 which now granting the beneficiaries of the said livelihood support. However, in the recent guidelines by the National Housing Authority it says that "beneficiaries that are currently working in Metro Manila are not eligible of the assistance.	The issue was already discussed with the SLP which as per discussion it will be raised during the BP2 national conference for resolution. However, again the presence of the hired SWO III/BP2 focal would be very much helpful in the monitoring and follow on the status of such this major concern of the program and to ensure targets are delivered based on given timelines and standards.	
<b>D. AMOR VILLAGE</b>		
Staff Plantilla Positions/ Staff Protection Policy (only two staff are permanent)	To recommend plantilla positions for Social Workers II, Psychologist, Nurse, Utility, Houseparents and all other positions	To approve plantilla positions for Social Workers II, Psychologist, Nurse, Utility, Houseparents and all other positions
The present budget allocation is 40% for administrative and 60% for program	Need for separate budget allocation for salaries and to follow	To provide actual budget subsidy per client.

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
	the 60% budget allocation for program and 40% administrative	
No specialist/therapist for our clientele	Provision of Occupational Therapist, Physical Therapist and Speech Therapist for the center to be part of the Rehab Team in providing appropriate interventions for children with especially those with multiple disabilities.	To approve position for specialists/therapists of the center.
<b>E. HAVEN FOR GIRLS</b>		
Trainings for the center staff on how to handle CICL and VHT	Conduct training on how to handle CICL and VHT	Conduct training on how to handle CICL and VHT
Slow movement of court cases due to COVID 19 Pandemic	Coordinated with the Court Personnel of different courts in Region 3.	Continuous coordination with the court personnel
Some of the Local Social Worker are not responsive/participative in the parenting capability assessment (pca) of the clients' family. It results to overstaying of the clients in the center.	<p>Continuous coordination and case conferences to partner agencies and social workers of the local government unit are being undertaken to properly work out the reintegration of the clients to their families.</p> <p>Submitted PCA request.</p> <p>Coordinated with Local Social Worker.</p>	Continuous follow up and coordination with the Local Social Workers regarding the parenting capability assessment.

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
Loneliness and homesickness of the residents due to the suspension of visitation.	<p>Individual counseling</p> <p>Involve clients in the recreational activities of the center to lessen their homesickness.</p> <p>Parents are allowed to visit their children but at the gate only.</p>	<p>Continuous involvement of the client to the recreational activities to prevent loneliness.</p> <p>Continuous monitoring and supervision of the residents to avoid self-harm.</p>
<b>F. RHFG</b>		
High target given by the Central Office		To consider the plan of each CRCF who has the actual knowledge and experience of what is happening in the locality, particular the flow and trend of admission.
Capital Outlay for repairs, maintenance and improvements of facility and equipment as a requirement to sustain the level of accreditation (level 3)	Ensure that RHFG proposed repairs, maintenance and improvement projects for facility and equipment is funded by 2022	<p>Approve the requested proposed projects and ensure that budget for which is allotted through strong justification to DBM</p> <p>Rally for support from the House of Representative to ensure appropriate budget is given/allotted for CRCF nationwide.</p>
<b>G. RSCC</b>		
Various approval of emergency documents, purchases, etc. as to support the needs of the client during the Covid-19 crisis	Fast-tracking of processing of documents especially since they are "emergency" or of	

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
	significant importance and is during the Covid-19 crisis.	
<b>H. SOCIAL PENSION</b>		
Unpayrolled UCT SocPen / Variances for the following years; 2018→ 12,070; 2019→ 36, 519 and 2020→ 19, 565 with no Cash Cards	Follow up response to Memo dated May 17, 2021 sent to PMB Central Office on the possible Top up of regular SocPen for 2 <sup>nd</sup> Semester with deficit Cash Card of 24,844	To provide response to the top up inquiry and update FO on the deficit Cash Cards including the 2018 and 2019 unpaids thru OTC.
Cash Cards for C.Y. 2022 as mode of payment mechanism for SocPen grants.	For FO to indicate in the WFP for 2022	Finalized cash card guidelines with LBP
Absorption of Field Office workers from RSPU to the National Commission of Senior Citizens	Inputted to National Commission IRR on the priority adoption of existing RSPU staffs as most qualified subject to Civil Service guidelines.	Ensure the adoption and hiring of existing RSPU staffs to form part of the IRR or guidelines in hiring people for the program particularly social pension.
Ensure the safety of Employees during the COVID-19 Pandemic.	Continuous observance of health protocols in the workplace.	Formulate policies and programs that will protect DSWD staff and clients from COVID 19.
CIS staffs are exposed to get infected by Covid-19 since majority of their work involves working in contact with the clients.	Continuous provision of Personal Protective Equipment (PPE's).  To schedule staffs for Covid-19 vaccine who are willing to be vaccinated.	Request for immediate provision of health protection gears and equipment for CIS staff.  Provision of hazard pay for AICS staff regardless of employment status.

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
Online CRIMS is not fully implemented by DPEOs due to weak internet connection.	Provide DPEOs internet connection solely for Online CRIMS of AICS	Provision of funds for internet connection.
Procurement of computers, printers and tables for staffs to ensure efficient and effective service to clients.	Request fund allocation for IT equipment.	Provision of Capital Outlay.
<b>I. UNCONDITIONAL CASH TRANSFER</b>		
Approval of request for modification of funds	Request for modification of funds was already submitted dated March 29, 2021.	To fast-track request for modification of funds
List of unpaid beneficiaries	UCT RPMO already submitted dated April 13, 2021.	NPMO will endorse the list to <b>LBP</b> Batasan for Top-Up.
Follow-up on the submitted 76,600 UCT Listahanan and remaining 19,565 SocPen beneficiaries for cash card generation.	RPMO is targeting its distribution with the Landbank this 3rd Quarter to avoid bottleneck of LBP targets by last quarter	For NPMO to fast track with the Land Bank the cash card generation of UCT Listahanan and for the remaining SocPen beneficiaries.
Status of guidelines for Deceased beneficiaries that are supposed to be beneficiaries of 2018 (169) and 2019 (3,521) UCT grant, but the recent guidelines that was issued only last December 2020 is no longer allowing them to be eligible which is not consistent with the earlier policy.		Current policy needs to be revisited and explore that they still be entitled.



ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
<b>J. PROTECTIVE</b>		
Non-submission and non-compliance on the documentary requirements needed for the issuance of CDCLAA and ICA	Continuous provision of technical assistance through the conduct of fora and orientation to Child Caring Agencies (CCAs) /Residential Care Facilities (RCFs)/ Local Government Units (LGUs) via Google Zoom Meetings  Regular follow-up of cases to concerned Social Workers	For the Program Management Bureau to continuously implement the conduct of orientation via Google Zoom Meetings
Fast-tracking of children's cases to facilitate permanent placement	To constantly follow-up to the concerned Agencies the timely submission of Case Load Inventories to ensure the proper turn-around period of case management	For the Program Management Bureau/ Standards Bureau to communicate as well with CCAs on the strict submission of CLI
Monitoring of CCAs as well as children placed under Adoption and Foster Care	Continuous provision of technical assistance and conduct of assessment interview thru phone call/text message per memo of PMB	
Approved Request for Modification of Continuing funds for Administrative Cost in the establishment of One Stop Shop at the Clark International Airport was cancelled since it was not included in the WFP of ISSO	Request ISSO for a letter why said funds was cancelled and need for withdrawal. Request for modification of unutilized funds for professional services	To provide clarification on the cancellation of funds. Which was already approved for modification. To consider modification of

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
	and mobile communication for purchase of PPEs and Transportation of Workers at OSS. Clark	unutilized professional services and mobile communication for purchase of PPEs and transportation expenses (TE) of workers at OSS Clark
Due to the COVID-19 Pandemic, localized lockdown is being declared on the Provinces in the Region this causes delays in the implementation of our Programs such as CCAM and Payout of Financial Assistance.		
Contractualization of MOA/JOB DRMD Staff		DRMB to facilitate the request for contractualization of MOA and J.O staff.
Upgrading of position- SWO I to SWO II and 1 PDO I to PDO II and Conversion of position from MOA to Contractual status		SWO I with MOA status is performing all tasks relative to regulatory functions including monitoring of compliance in the Province of Tarlac and Zambales.  Transfer of SWO I to other Division/Section with contractual status hence, the conversion of employment status from COS to Contractual is highly recommended.  Request immediate posting and hiring of SWO I to HRMDD.

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
Additional two (2) PDO IIs Contractual status		To consider additional staff by CY 2022 to handle regulatory functions in the Provinces of Bataan, Zambales and Aurora.
<b>K. CAPABILITY BUILDING SECTION</b>		
Conduct of SWDA Convention		For further discussion with RAB officers by next quarter meeting.
LSWDOs request for the approved recommended organizational structure		Approval of the recommended LSWDO structure for the LGUs basis on the hiring of additional staff relative to full devolution  Responded during 2nd quarter consultation that the structure is still for approval
Pending request of 5 PDOs (1 Librarian and 1 IT for the RLRC functionality, 1 TARA technical staff, 1 PDO/Financial Analyst and 1 PDO for COA)		Endorsement of the request to the Office of the Secretary
Pending request for withdrawal of SARO amounting 128,250.00 (350100100002000(SWIDB)-2021-III-003) with the purpose of the SWIDB initiated activities	Endorsement of the request to the FMS  Submitted request on May 17, 2021 and resubmitted with certification of no obligation on June 21, 2021	

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
<b>L. TARA</b>		
Incentive scheme to SDA like SGLG	<p>The FO responded that what is being worked out at the Central Office is to coordinate with DILG to include SDA indicators in social protection indicators of the SGLG.</p> <p>Also shared that the main purpose of the SDCCA is to assess the needs of the LGUs in terms of social service that will be the basis of the DSWD on providing responsive technical assistance.</p>	Update on this concern since it is a recurring issue since SDCCA started.
Specific guideline/s penalizing LGUs with no accredited PMC and SWMCC	Presented the gains of having accredited PMC and SWMCC	<p>To have a compilation of gains of being accredited PMC and SWMCC.</p> <p>IEC Materials informing the public of the importance of undergoing counselling facilitated by the accredited PMC.</p> <p>Policy requiring the accreditation of SWMCC</p>
<b>M. SOCIAL TECHNOLOGY UNIT</b>		
Continuous Technical Assistance to the Field Office	Guidance and technical support for incoming CO III to become effective in performing roles and functions of the office.	Continuous Technical Assistance, support and guidance to the

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
The Comprehensive Program does not have a designated program focal.	Immediate hiring of CO III to supervise the program as we make preparation for Mandanas-Garcia Rulings.	STU
<b>N. LISTAHANAN</b>		
Limited number of Approved ATH esp. for enumerator and encoding staff resulting to delayed completion of Listahanan 3	Submit proposal to Dir. Ambubuyong and Sec. Bautista citing the need for additional ATH for enumerator and encoding	
Limited number of HAFs and stickers (200k) to cover the 441K complaints received resulting to deferred assessment/ re-assessment	Borrow excess HAFS and Stickers from FO 1 and NCR	
Spot Monitoring of HHs enumeration to be conducted by the NHTS and ensuring that all 4ps will be enumerated which is expected to encounter erroneous HHs enumeration and non-completion of targets complaints esp. 4ps	Involve the C/MATs and DPEO staff to monitor and ensure the coverage of 4ps beneficiaries.	
Non-availability of storage for encoded HAFs and other forms which may result to non-compliance to DPA 2012 and NAP, prone to damage/spoilage of pertinent documents	Coordination with budget section to utilize the allocated 738k budget for building rental and or extension of A 74 Bistro	
Facilitation of processing and releasing of Listahanan claims which may result to receiving of complaints from the staff in various flat-forms and prone to cancellation of stale checks.	Designating area Supervisor as support staff to be mainstreamed in the Records Section for the processing of	

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
	<p>RSOs, Locator and Travel Orders, HRMDD for the hiring and MOA signing and processing of salaries, FMD for the financial transactions, NHTS as the Financial Analyst in charge in the management of WFP obligation and disbursement recordings and accounting.</p> <p>Enhancement of Monitoring System, Scheduling of Provincial Pay outs for the available checks for release.</p>	
<b>O. ICTMS</b>		
Additional ICT equipment to replace aging ICT equipment	Preparation of updated ICT replacement plan	Approval of ICTMS Central Office on the proposed 2022 ICT replacement plan
Capital Outlay Funds in compliance for the EODB and ISO of the Field Office	Prepared proposal for the 2022 budget of ICTMS	Approval of Central Office on the proposed 2022 budget of ICMTS
Contractualization/Regularization of ICTMS Staff		ICTMS CO to propose contractualization/regularization of ICT Staff
Different understanding in preparation of ICTMS Reports		Training of ICTMS Staff in preparation of various report like ISO forms, HPMS etc.



ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
Difficulties in the additional indicator in the HPMES report		ICTMS Central Office to provide technical assistance
<b>P. SOCIAL MARKETING UNIT</b>		
Lack of workforce	Request other offices to provide additional staff who shall be designated as information officers.	Lobby with the management, DBM & CSC to provide additional IO positions to FOs
Not enough equipment / low quality equipment	Use personal equipment / other unit's/office's equipment	Provide budget for the purchase of equipment
<b>Q. FINANCIAL MANAGEMENT</b>		
Lacking Heavy Duty Scanners and Printers	For the Field Office to facilitate the procurement of 3 heavy duty scanners and 2 printers needed for the record-keeping of the liquidation reports prior on submission to Resident COA Office.	
Numerous for compliance liquidation folders of current year cash advances	For the Program Owner and SDOs to facilitate the compliance of lacking documents and signatures especially those overdue cash advances.  For the SAP-ESP Provincial Coordinators to closely monitor the implementation and submission of liquidation reports to the Regional Office.	
Frequent follow-up and late submission of TEs within the timeline in reference to issued	For the Management to agree and strictly implement the issued	

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
memorandum dated March 24, 2021	memorandum on TE processing.	
FMIS Implementation – System Issues	A separate Team to help our FMIS developer, Floren Ian Leonardo and if the support team will fail to improve the FMIS, I am asking for support to modify to consultancy services to finalize the issues on the system.	
BTMS Implementation – System Issues	The implementation of BTMS and FMIS should also be part of the IPC of all ABC heads and Concern offices as this should be part of our commitment and target as a whole.	
Wrong payees name of in the Cash Assistance Payroll and Disbursement Vouchers which resulted to spoiled checks.	For all office concerned to ensure accuracy of payees' names in the CAP and DV.	
Bulk of DVs were received and processed near the closing of books.	All Division to strictly observe/follow the cut-off time on processing of DVs.	
Unavailability of signatories which affects the processing of claims.	Ensure there is always available signatory for the smooth processing of claims.	
Slow release 2020 checks for premium, TEV, last salary, GSIS and HDMF contribution refund of resigned staff despite notifying concerned office regularly.	To pay last salary, refunds and other claims of resigned workers through LDDAP-ADA instead of check.	
Cross-referencing of names of NHTS staff with LBP cash card in database versus cash	ATM account, instead of cash card is recommended for	

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
<p>assistance payroll prior to processing and issuance of checks;</p> <p>Frequent batch errors in the level of servicing bank for claims paid thru cash cards.</p>	newly hired workers/short-term employees.	
Inability to deposit/report to Central Office re: SAP refunds from LGUs directly deposited to FO3's bank account for SAP. This is due to non-submission of the required reports from the LGU.	To send letters to concerned LGUs in order to confirm SAP refunds directly deposited to FO3's SAP account.	
Some checks are still unclaimed despite several information and follow up to concerned offices/staff	To continue the techniques that facilitates the release of check	
Reconciliation of actual cash disbursement of Cash Section and Accounting Section	To continue weekly reconciliation of cash disbursement per PPA	
Only two (2) staff with Permanent position are deployed to the Procurement Section (1= AO V & 1 = AA 1) despite the onset of programs and the responsibilities and accountability the work entails.		For Central Office to provide plantilla positions specifically designated in the Section with Support staff atleast with contract of service
Submission of Purchase Request and relevant requirements as declared in a carefully designed PPMP	The end user must timely submit its purchase requests with completed staff work based on their well-crafted PPMP	
Procurement Section not aware of the activities of various program	Schedule a Procurement Dialogue to involve the section in the devise of their PPMP and Work and Financial Plan of the various programs	

ISSUES/CONCERNS	RECOMMENDATIONS	
	For the Field Office	For the Central Office
Short notice download of funds for the conduct of C.O initiated activities which results to failed biddings and frequent movement of dates		For CO to practice a two (2) month advance notification to FO's prior the conduct of their trainings to provide lead time for procurement
With the creation of a separate BAC and TWGs, the need for proper trainings/seminars is a MUST to capacitate all member	Follow up request to the Central Office or to its identified training personnel to spearhead the conduct of Capability Building Activities for Procurement Practitioners	Conduct of Quarterly or Semestral Capability Building for the members of the BAC, its TWGs/Secretariat and of the Procurement Section

**Consolidated by:**

**PEARL ANGELI MELENDEZ**  
PDO II – M&E

**KARISSA JOY S. DORIA**  
PDO II – Technical Staff

**GRACIA A. ZABLAN**  
PO III – PDPS Head

**Reviewed and Recommending Approval:**

**MELANIE M. BARNACHEA, MASW**  
PO IV/OIC – PPD Chief

**Approved by:**


**MARITES M. MARISTELA, CESO III**  
Regional Director

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